

# REGULAR MEETING AGENDA Wednesday, December 20, 2023 at 7:00 p.m.

# CITY HALL 6090 Woodson Street Mission, KS 66202

# Meeting In Person and Virtually via Zoom

This meeting will be held in person at the time and date shown above. This meeting will also be available virtually via Zoom (<u>https://zoom.us/join</u>). Information will be posted, prior to the meeting, on how to join at <u>https://www.missionks.org/calendar.aspx</u>.

If you require any accommodations (i.e. qualified interpreter, large print, reader, hearing assistance) in order to attend this meeting, please notify the Administrative Office at 913-676-8350 no later than 24 hours prior to the beginning of the meeting.

# CALL TO ORDER AND PLEDGE OF ALLEGIANCE

# ROLL CALL

# **Comments from Mayor and Councilmembers**

# Presentation to Councilmember Ken Davis

# Presentation to Councilmember Kristin Inman

#### Installation of Councilmembers

- Trent Boultinghouse, Ward I
- Mary Ryherd, Ward II
- Brian Schmid, Ward III
- Cheryl Carpenter-Davis, Ward IV

# 1. PUBLIC HEARINGS

1a. Public Hearing on 2023 Budget Amendments

# 2. SPECIAL PRESENTATIONS

3. ISSUANCE OF NOTES AND BONDS

# 4. CONSENT AGENDA

NOTE: Information on consent agenda items has been provided to the Governing Body. These items are determined to be routine enough to be acted on in a single motion; however, this does not preclude discussion. <u>If a councilmember or member of the</u> <u>public requests, an item may be removed from the consent agenda for further</u> <u>consideration and separate motion.</u>

# **CONSENT AGENDA - GENERAL**

4a. <u>Minutes of the November 15, 2023 City Council Meeting</u>

## **CONSENT AGENDA - Finance & Administration Committee**

Finance & Administration Committee Meeting Packet December 13, 2023 Finance & Administration Committee Meeting Minutes December 13, 2023

- 4b. KERIT Renewal
- 4c. Property and Casualty/General Liability Insurance Renewal
- 4d. DTI Renewal
- 4e. Network Equipment Replacement
- 4f. 2024 Budget Adoption
- 4g. 2024 Budget Spending Authority
- 4h. Drug and Alcohol Council Recommendation
- 4i. CMB Renewals
- 4j. 2024 Human Service Fund (HSF) Allocation

# **CONSENT AGENDA - Community Development Committee**

<u>Community Development Committee Meeting Packet December 13, 2023</u> <u>Community Development Committee Meeting Minutes December 13, 2023</u>

- 4k. Powell Community Center (PCC) North Bathrooms Remodel
- 4I. Powell Community Center (PCC) Steam Sauna Retiling

# 5. PUBLIC COMMENTS

# 6. ACTION ITEMS Planning Commission

9/25/2023 Planning Commission Minutes

- 6a. Adoption of the Tomorrow Together 2040 Mission Comprehensive Plan (page 5)
- 6b. Final Plat Popeye's on Johnson Drive 6821 Johnson Drive (PC Case #23-24) (page 103)
- 6c. Special Use Permit Digital Billboard 6650 W. 47th Terrace (page 108)

# **Miscellaneous**

# 7. COMMITTEE REPORTS

# Finance & Administration, Mary Ryherd

Finance & Administration Committee Meeting Packet December 13, 2023 Finance & Administration Committee Meeting Minutes December 13, 2023

- 7a. 2024 Legislative Priorities (page 208)
- 7b. Classification and Compensation Recommendations (page 238)
- 7c. 2023 Budget Amendment (page 245)

# Community Development, Lea Loudon

Community Development Committee Meeting Packet December 13, 2023 Community Development Committee Meeting Minutes December 13, 2023

- 7d. Rock Creek Channel Preliminary Project Study Report (page 249)
- 7e. CARS Agreement for the Roe Avenue (Johnson Drive to 63rd Street) 2024 CARS Project (page 329)

# 8. UNFINISHED BUSINESS

# 9. NEW BUSINESS

9a. Water Works Park Inclusive Playground Equipment Purchase (page 338)

# 10. COMMENTS FROM THE CITY COUNCIL

# 11. COUNCIL COMMITTEE LIAISON REPORTS

Sustainability Commission (Kring/Thomas) Parks, Recreation + Tree Commission (Loudon/Ryherd) Mission Magazine Editorial Board (Boultinghouse) Family Adoption Committee (Chociej)

## 12. MAYOR'S REPORT Appointments

- 12a. Planning Commission (all terms expiring 12/31/25)
  - Amy Richards
  - Cynthia Smith
  - Megan Cullinane

12b. Parks, Recreation & Tree Commission (all terms expiring 12/31/25)

- Nicole Sullivan
- Cindy Long
- Jacque Gameson

12c. Sustainability Commission (all terms expiring 12/31/25)

- Terri Baugh
- John Arnett
- Mike Patterson
- Cathy Boyer-Shesol
- Lauren Reiter-Schmid
- Ramsey Attaria

12d. Climate Action Plan Task Force

• Lauren Reiter-Schmid

# 13. CITY ADMINISTRATOR'S REPORT

- 13a. December Business Updates
- 14. EXECUTIVE SESSION

# **ADJOURNMENT**

City of Mission	Item Number:	6a.	
ACTION ITEM SUMMARY	Date:	December 20, 2023	
Community Development	From:	Brian Scott	

#### RE: Adoption of the "Tomorrow Together 2040 - Mission Comprehensive Plan"

**RECOMMENDATION:** Approve the ordinance formally adopting the "Tomorrow Together 2040 - Mission Comprehensive Plan."

**DETAILS:** Comprehensive plans have long been used as a tool for planning the future growth of cities. The traditional methodology for a comprehensive plan has been to examine current trends in population growth, business development, transportation systems, land use, and community facilities and then to develop a vision for what the city may look like at some point in the future. Based on that vision, recommendations are then developed for a systematic approach to the future growth of the city that may include future annexation of territory (if necessary), specific land uses and zoning, extensions or upgrades of roads and infrastructure, and location of community facilities such as parks and fire stations.

Mission's first comprehensive plan was adopted in 1968. Subsequent comprehensive plans were adopted in 1995, 1999 (update), 2007 and 2011 (update). The City embarked on the most recent update to its comprehensive plan in 2019. A request for proposals (RFP) was developed by staff with input from the Planning Commission and sent to prospective planning firms as well as advertised on the American Planning Association's website.

Proposals were evaluated by a selection committee and the top five firms were invited to the city for interviews. Confluence was ultimately selected based on their planning experience, team make-up, knowledge of our community, and the fact that they had recently completed similar studies for two neighboring communities (Roeland Park and Merriam). The City Council approved a contract with Confluence at the end of 2019 and the study began in March of 2020.

The project kick-off was a joint work session with the City Council and the Planning Commission to understand the purpose and components of a comprehensive plan. The joint work session was held on the eve of the coronavirus pandemic. Because of the rapidly evolving turn of events with the pandemic and stay-at-home orders issued by the Governor, the project was temporarily paused. The project resumed in the late summer of 2020 with the appointment of a steering committee.

A formal community kick-off meeting was held in October 2020 when the project website was unveiled. Community engagement was severely limited due to the social distancing requirements of the ongoing coronavirus pandemic. The project web page was able to fill that void by offering several on-line engagement tools including an interactive pin-map, visual preference survey, and budgeting tool.

Related Statute/City Ordinance:	KSA 12-747
Line Item Code/Description:	NA
Available Budget:	NA

City of Mission	Item Number:	6a.		
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The committee learned about the current demographic and economic make-up of the city, reviewed responses from the on-line engagement tools, discussed ideas about current development patterns and what they would like to see, and considered other topics around sustainability, transportation and mobility, and housing. The Steering Committee began to meet in person during the summer of 2021 to formulate a vision statement and develop recommendations in each of the key areas of the plan.

The vision statement and recommendations were presented to the public in an open house held in November of 2021. A final draft of the plan – known as "Tomorrow Together – 2040 Mission Comprehensive Plan" - was completed and presented to the City in the winter of 2022.

Due to staff transitions and an onslaught of development applications in 2022, review of the draft plan took longer than anticipated. Two joint Planning Commission and City Council work sessions were held in 2023, and senior management staff reviewed the draft plan prior to its presentation to the Planning Commission in September 2023.

Key themes from the "Tomorrow Together" plan include:

- Preservation of the natural environment through better storm water management practices, conservation and expansion of green space, and greater emphasis on sustainability measures that will reduce the community's overall carbon footprint.
- Enhance mobility throughout the community for pedestrians, bicyclists, transit users, and others by creating stronger connections, slowing traffic, and providing greater safety.
- Support of a variety of housing options in the community by preserving existing housing stock while allowing for development of new housing stock that is appropriate for neighborhoods or commercial areas based on density and design.
- Encourage continued economic prosperity for the community by supporting existing businesses in the community and development of new businesses that align with the long-term vision for the community.

Each of these key themes are more fully explored in the six chapters of the plan which goals and suggested implementation strategies identified throughout.

- Natural Features and Environment
- Parks and Recreation
- Transportation and Mobility
- Economic Revitalization

Related Statute/City Ordinance:	KSA 12-747
Line Item Code/Description:	NA
Available Budget:	NA

City of Mission	Item Number:	6a.	
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- Housing and Neighborhoods
- Infrastructure Maintenance and Enhancements

Chapter 10 of the plan is the Implementation Plan where the recommendations are summarized and given a priority ranking. There are two appendices to the plan. Appendix A provides an analysis of the existing conditions of the community including population trends, demographics, housing, and economy, and Appendix B provides a summary of community input received from the on-line engagement tool as well as the open house that was held in November of 2021.

It is important to note that the "Tomorrow Together" plan represents a snapshot in time, but is not intended to be a static document. Comprehensive plans are meant to be living documents that evolve over time with the community. Ideas and concepts presented in the plan are meant to provide context for the recommendations that were based on extensive public or stakeholder input and professional experiential knowledge to stimulate further discussion and analysis.

Recommendations presented in the plan will require further review, analysis, and discussion based on changes in demographics, updated studies, continued public input, and on-going implementation. Implementation of the plan will ultimately be achieved through some action of the City such as a new service or program, a policy directive, or code change. Although we are completing the plan, we are just beginning the journey.

#### Planning Commission Action

The Planning Commission held a public hearing on the Comprehensive Plan draft at its September 2023 meeting. Chris Shires, a principal with Confluence and the project manager, provided an overview of the plan and its recommendations. Considerable discussion ensued regarding the proposed Future Land Use Map and in particular buffers around single-family areas.

The Planning Commission voted 6-0 (2 abstaining) to recommend approval to the City Council of the Tomorrow Together 2040 - Mission Comprehensive Plan with the following amendments:

- 1. Future Land Use Map:
  - a. Change the land use designation for those properties north of 56th Street and east of Foxridge Drive from "Mixed Use High Density" (purple color) to "Medium Density Residential" (apricot).
  - Extend the "Medium Density Residential" (apricot color) designation west of Lamar and north of Johnson Drive between the "Mixed-Use Medium Density" (Light Purple) abutting Johnson Drive and "Low Density Residential (Yellow)" so that everything south of 58th Street (or an line equivalent to 58th Street) is

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Line Item Code/Description:	NA
Available Budget:	NA

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"Medium Density Residential" up to those properties along the north side of Johnson Drive.

- c. Correct the area that is identified as park on Beverly at 55th Street as an error.
- 2. Future Land Use Definitions:
  - a. Change the land use definition for "Mixed Use Medium Density" to development no greater than three stories and no greater than 24 units/acre.
- 3. Implementation Plan:
  - Under strategy 3B of Transportation and Mobility change the reference from NACTO Blueprint for Autonomous Urbanism to NACTO Urban Street Design Guidelines as language in the Comprehensive Plan

The City Council held a work session on November 15, 2023 to review the draft plan and the recommendation from the Planning Commission. During that work session staff presented a recommendation to accept recommendations 1 and 3 from the Planning Commission, but to leave the definition of "Mixed Use Medium Density" as recommended in the original draft of the plan. A copy of the memo from Brian Scott, Deputy City Administrator for Planning and Development Services, explains the staff's rational for not recommending rejection of the Planning Commissions proposed definition change.

The draft document linked to the packet reflects the changes recommended by the Planning Commission with a note on page 18 to revise the definition for "Mixed Use Medium Density" back to what was originally proposed. Staff has prepared an Ordinance for Council consideration with accepts recommendations 1 and 3 from the Planning Commission but rejects the recommendation related to the definition of "Mixed Use Medium Density." In order to approve the "Tomorrow Together 2040 – Mission Comprehensive Plan" excluding the change in the definition of "Mixed Use Medium Density" recommended by the Planning Commission the ordinance will require a 2/3 majority vote of the City Council.

**CFAA CONSIDERATIONS/IMPACTS:** The "Tomorrow Together - 2040 Mission Comprehensive Plan" identifies goals and strategies that align with the Community for All Ages initiative. Many of the recommendations from the plan such as preservation

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Line Item Code/Description:	NA
Available Budget:	NA

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and enhancement of park space, transportation and mobility, and housing support the goal of making Mission a community for all ages and are indicated as such with a the CFAA logo next to the recommendation.

Related Statute/City Ordinance:	KSA 12-747
Line Item Code/Description:	NA
Available Budget:	NA



# MEMORANDUM

То:	Laura Smith, City Administrator
From:	Brian Scott, Deputy City Administrator – Planning and Development Services
Date:	October 16, 2023
Regarding:	Proposed Amendment to Medium Density Mixed-Use

The City of Mission initiated an update of its comprehensive plan in 2020. With assistance and guidance from Confluence, an established planning consulting firm recognized throughout the Midwest, the City undertook an extensive community engagement process that included a Steering Committee made up of community stakeholders and leaders, use of an interactive website to conduct a visual preference survey and interactive mapping tool, and a community open house. The plan developed from this process is the "Tomorrow Together – 2040 Mission Comprehensive Plan."

This plan was presented to the Planning Commission for their consideration at the September 25, 2023 meeting. Much of the discussion was focused on the proposed Future Land Use Map in the plan, and in particular proposed definitions of land use types. The intent of this memo is provide some contextual background on the proposed Future Land Use Map and land use definitions and then discuss in more detail the Planning Commission's proposed amendment to the definition of the Medium Density Mixed-Use land use type.

#### Proposed Future Land Use Map

A land use map is simply a map of the city showing various types of land uses such as residential, commercial, and industrial uses. Ideally, land uses are organized to take advantage of certain features of the city such as industrial land uses close to highway access or commercial uses along a main corridor. Land uses are also organized so that there is compatibility between uses, meaning that one land use is not placed next to another that may result in a negative impact to either. It is important to note that a land use map is <u>not</u> a zoning map. The land use map is more general and visionary in nature. A zoning map is more specific to permitted uses, set-backs, height restrictions, density, and massing of buildings.

The proposed future land use map in the Tomorrow Together plan does not differ much from the one in the current comprehensive plan. The land use pattern in the city is generally still the same and includes:

• low-density, residential use to the north and south of Johnson Drive;

- high-density, residential use predominately in the northwest corner of the city; and
- commercial uses along Johnson Drive and Martway Street through the center of the city.

The definition of the land use types, however, were discussed and revised during the development of the Tomorrow Together plan based on input from a visual preference survey, the community open house, and guidance from the Steering Committee. Those revised definitions were included in the draft plan that was presented to the Planning Commission at their September meeting.

#### Definitions of Proposed Future Land Uses

The proposed definitions not only provide examples or a general description of the type of land use, but also provide the number of proposed dwelling units per acre for residential uses or the floor area ratio (FAR) for retail or office uses.

FAR can be a difficult concept to grasp even for those who are familiar with urban planning. FAR is the ratio of the total building square footage to the square footage of the parcel or lot that the building sits on. The greater the FAR the more building square footage is permitted to be on the lot.

A FAR 0.5 might be a single-story building that covers only half of the lot, such as the Hy-Vee grocery store. A FAR of 1.0 would be a single-story building that covers the entire parcel from front to back and side to side - think of some of the older buildings in downtown Mission along Johnson Drive that sit right at the sidewalk and right next to the adjacent building. However, a FAR of 1.0 could also be a four-story building that sits on only a quarter of the lot as shown in the diagram below:



Definitions of land use types as originally proposed in the Tomorrow Together Plan are as follows:

**Low-Density Residential** – Single-family or two-family, detached residential uses with a density of 3 to 6 dwelling units per acre.

**Medium-Density Residential** – Horizontally attached rowhouses or townhomes or the "missing middle" typology such as fourplexes or courtyard apartments with a density of 6 to 12 units per acre.

**High-Density Residential** – Vertically stacked residential apartments or condos with a density of 12 or more units per acre.

**Commercial** – Land uses such as retail, services, restaurants, and hotels. Expected density for these types of uses may be 0.25 FAR.

**Office** – Land uses that would encompass administrative or professional uses during normal business hours with a density of 0.25 FAR.

**Business Park / Light Industrial** – Medium or large-scale office and light industrial uses with a density of 0.30 FAR.

**Mixed-Use Medium Density** – Land uses that include a mix of housing, retail and / or office that is scaled to a more pedestrian orientation. The uses might include a single building with uses stacked (housing over retail) or a group of buildings with individual uses all within a cohesive development. Density for this type of land use may be 12 to 45 dwelling units per acre or a FAR of 1.0 to 3.0 for office or retail.

**Mixed-Use High Density** – Same concept as Mixed-Use Medium Density, but at a higher concentration. Density for this type of land use may be 50 or more dwelling units per acre, or an FAR of 3.0 to 10.0 for office or retail use.

Parks and Pathways – Parks, trails and other recreational areas.

**Public and Semi-Public** – Government owned land such as county and city facilities, schools, and churches.

As stated above, the proposed future land use map in the Tomorrow Together plan has not really changed from what is in place currently in the existing comprehensive plan. The most notable exception to that would be in the application of Mixed-Use High Density, which is now applied to all of the commercial properties on the west side of the city. This allows for future development of the west side commercial areas to be more in keeping with the vision of the Form Based Code overlay district that was adopted for this area over a decade ago.

The Mixed-Use Medium Density designation has also been applied to many of the properties in the downtown core and along Johnson Drive, areas that are identified as commercial or office use in the current land use map.

## Planning Commission Amendment of the Mixed-Use Medium Density Definition

The Planning Commission proposed two amendments to the proposed Future Land Use Map that would extend Medium Density Residential use along the north side of Johnson Drive, west of Lamar and along Foxridge, north of 56<sup>th</sup> Street. The intent of this is to provide a buffer between the Low Density Residential uses and Medium and High Density Mixed-Uses. Staff is supportive of this amendment.

The Planning Commission expressed some reluctance to the application of Mixed-Use Medium Density in the downtown area of the city when the draft of the Tomorrow Together plan was presented to them in September. The concern was not so much the application of a mixed-use land type itself, but rather the proposed density of the Mixed-Use Medium Density at 12 to 45 dwelling units per acre or a FAR of 1.0 to 3.0 being too dense. After much discussion, the Planning Commission proposed that the <u>definition for Mixed-Use Medium Density be amended</u> to be no more than 24 dwelling units per acre and structures no taller than three stories.

The Planning Commission has reviewed a number of development applications over the past two years, mostly for multi-family residential projects in the downtown area. Though not always articulated clearly in the minutes, staff has sensed a general frustration amongst some members with the size and mass of the proposed developments, many of which exceed the density (both in height and number of units per acre) currently allowed in the zoning code and thus, require deviations for approval.

An example of that would be the Mission Bowl project, which was approved by the Planning Commission in 2020 at five-stories and 53 units per acre when the Main Street 2 (MS-2) zoning for the property permitted no more than three-stories or 35 units per acre. Likewise, that density was continued with the more recent submission for Mission Bowl Phase II, which would also be five-stories and 56 units per acre. There was even some discomfort among a few on the Commission about the 58/Nall apartment building that was approved at three-stories and 50 units per acre, which is compliant within the Downtown Neighborhood District zoning that the property was rezoned to.

#### Market Realities and Future Growth and Development

Developers are attracted to Mission because of the sense of place it offers as a result of intentional investment by the City over the last 15+ years. Access to restaurants, shops, and services within walking distance is appealing to many apartment dwellers (and even non-apartment dwellers). Supporting a vibrant and economically strong downtown requires residents living in and around the downtown (e.g., density).

This is especially true in a post-pandemic economic environment where office workers who once occupied Mission's downtown office buildings and frequented local businesses are opting to work from home. While there have been many news stories about the effects of this phenomenon in larger cities such as San Fransico and Chicago, it is occurring in Mission as well.

In addition to these factors, many developers are facing challenges with both changing market dynamics and financial constraints that result in larger buildings (height and density) being the only option to make their projects financially feasible. City staff has heard anecdotally from more than one development team that renters prefer to live alone as opposed to having a roommate, which is why many newer apartment buildings have 50% or more of their units as studios or one-bedrooms. In addition, the cost of land acquisition, construction, and financing have increased dramatically in the past several years, reaching the point that it is necessary to build larger buildings in order to rent enough apartments at market rate that a developer can realize a reasonable return on their investment.

When developing in Mission, most of these infill projects must be accomplished on lots that are one acre or less in size. When looking at these smaller lots, parking becomes one of the biggest challenges for the developer to overcome. The solution has become to build structured parking (ex: The Locale) or podium parking (ex: Mission Bowl.) These responses to parking have the benefit of making the most productive use of the available land and hiding the parking, which can be unsightly. However, these solutions often result in taller buildings.

# Staff Recommendation

There is certainly a balance between needing and allowing larger development projects and maintaining the walkability and pedestrian scale that makes Mission so attractive. The Together Tomorrow Steering Committee struggled with this very issue. While many on the Committee were desirous of greater, quality density in the downtown area, they also realized the need to keep an element of the human scale which is why the Mixed-Use Medium Density land use was created and specified at 12 to 45 dwelling units per acre or a FAR of 1.0 to 3.0 for office or retail.

It should be noted, too, that many of the existing apartment buildings in and around the downtown area exceed the maximum of 24 units per acre that the Planning Commission is suggesting in the amended Mixed-Use Medium Density definition the Planning Commission included in their recommendation to the City Council.

While the amended definition may be reflective of the position of the current members of the Planning Commission it does not align with Mission's past visioning efforts, nor much of the conversation collected through the development of the Tomorrow Together Plan. Nor would it enable the type of growth needed to support the continued success and adaptation of Mission's downtown corridor that the Governing Body has repeatedly identified as a top priority. Staff is of

the opinion that the definition of Mixed-Use Medium Density as initially proposed in the Tomorrow Together plan (12 to 45 dwelling units per acre or a FAR of 1.0 to 3.0 for office or retail) should remain as is.

Location	Lot Size	Number of Units	Number of Units Per Acre	Building Height	
5905 W. 58 <sup>th</sup> Street Built 1960	0.77 Acres	26 Units	33.8 Units Per Acre	Three Stories	F251208-3010 03/14/2004
5601 W. 58 <sup>th</sup> Street (Mission Point Apt.) Built 1968	0.39 Acres	16 Units	41 Units Per Acre	Three Stories	046-063-08-0-10-33-001.00-0 04/10/2010
5708 Outlook (Mission Point Apt.) Built 1973	0.40 Acres	17 Units	43 Units Per Acre	Three Stories	01/19/2022

5954 Woodson Mission (Mission Hill Apt.) Built 1976	3.16 Acres	120 Units Across Four Buildings	38 Units Per Acre	Three Stories	01/19/2022
5932 Outlook (At Home Apt.) Built 1966	0.77 Acres	27 Units	35 units Per Acre	Three Stories	046-063-08-0-40-04-013.00-0 01/13/2016
5928 Reeds (Mission Woods Apt.) Built 1972	0.39 Acres	12 Units	31 Units Per Acre	Three Stories	01/19/2022
6201 Johnson Drive (The Locale) Built 2019	2.65 Acres	200 Units	75 Units Per Acre	Five Stories	01/13/2022

5399 Martway (Mission Bowl) Being Built	3.17 Acres	176 Units	55.5 Units Per Acre	Five Stories (four stories over podium parking)	
5808 Nall (58/Nall) Not Yet Built	1.54 Acres	77 Units	50 Units Per Acre	Three Stories	
5819 Nall (Mission Vale) Not Yet Built	0.98 Acres	19 Units	19 Units Per Acre	Two Stories	

# CITY OF MISSION, KANSAS ORDINANCE NO. \_\_\_\_

# AN ORDINANCE ADOPTING THE "TOMORROW TOGETHER 2040 - MISSION COMPREHENSIVE PLAN" AS THE OFFICIAL COMPREHENSIVE PLAN OF THE CITY OF MISSION, KANSAS

WHEREAS, Section 12-747 of the Kansas State Statutes authorizes the Planning Commission of the City of Mission to develop a comprehensive plan for the orderly development of the city and specifically requires adoption of such plan before zoning and/or subdivision regulations can be adopted; and

**WHEREAS,** the City of Mission first adopted a comprehensive plan in 1968 and has adopted subsequent updates to that plan over the years; and

**WHEREAS,** the City of Mission embarked on its most recent update in March of 2020 with assistance from Confluence, a regionally recognized planning consulting firm; and

**WHEREAS**, the process for updating the plan utilized extensive community engagement including a steering committee composed of community stakeholders, an interactive website with a pin map and various survey tools, an community Direction Finder survey, and a community open house; and

WHEREAS, these efforts resulted in the "Tomorrow Together 2040 - Mission Comprehensive Plan" that was presented to the Planning Commission at a public hearing on September 25, 2023; and

**WHEREAS**; notice of the public hearing was duly given by publication in the official newspaper of the City as required by law; and

WHEREAS, after taking testimony at said public hearing and giving due consideration, the Planning Commission voted 6-0 (2 abstaining) to recommend to the City Council adoption of the "Tomorrow Together 2040 Mission Comprehensive Plan" with amendments; and

**WHEREAS**, the recommendations of the Planning Commission were reviewed during a Novmeber 15, 2023 City Council Work Session where Staff indicated support for two of the three amendments recommended by the Planning Commission, specifically rejecting the recommendation to revise the definition of "Mixed Use Medium Density" and leaving it as originally presented in the Comprehensive Plan draft.

# NOW, THEREFORE BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF MISSION, KANSAS:

**Section 1. Adoption of the Comprehensive Plan** – Pursuant to K.S.A. 12-747, the Governing Body of the City of Mission, Kansas hereby adopts the "Tomorrow Together 2040 - Mission Comprehensive Plan" (Exhibit A) as presented accepting two of the three amendments proposed by the Planning Commission.

**Section 2. Official Copy Kept on File** - There is hereby incorporated by reference the City of Mission, Kansas "Tomorrow Together 2040 - Mission Comprehensive Plan;" prepared by the Community Development Department of the City of Mission and adopted by the Planning Commission on September 25, 2023 and amended by the City Council on December 20, 2023. An official copy of this Plan shall be kept on file at the Community Development Department Office – 6090 Woodson Road – to be open to inspection and available to the public during normal business hours.

**Section 3. Annual Review of the Comprehensive Plan** – Pursuant to K.S.A 12-747(d) the Planning Commission of the City of Mission, Kansas is hereby directed to review no less than annually the "Tomorrow Together 2040 - Mission Comprehensive Plan" and propose any amendments, extensions and/or additions as may be deemed appropriate to fulfill the goals of the plan and the City.

**Section 4. Effective Date** – This Ordinance shall take effect and be in force from and after its adoption and publication according to law.

PASSED AND APPROVED BY THE CITY COUNCIL OF THE CITY OF MISSION, KANSAS on this 20th day of December 2023.

**APPROVED BY THE MAYOR** on this 20th day of December 2023.

Solana Flora, Mayor

ATTEST:

Robyn L. Fulks, City Clerk

# APPROVED AS TO FORM:

David Martin, City Attorney Payne & Jones, Chartered King 2 Building 11000 King Street Overland Park, Kansas 66210

# **3.2 Future Land Use Definitions**

#### **Future Land Use Definitions**

The Future Land Use Plan includes several land use categories. The definitions for each category are below as well as examples provided by Confluence. Examples with actual street address underneath are those found in Mission that fit the definition provided.

#### **Low-Density Residential**

Includes detached single-family residential, single-family residential bi-attached, singlefamily residential with one accessory dwelling unit, civic uses, schools, and churches.

Density: 3 to 6 dwelling units/acre





6504 Woodson Drive





01/14/2022 5324 Woodson Drive



046-063-08-0-30-11-019.00-0 01/12/2016

6309 W. 62<sup>nd</sup> Terrace



KP13500000 0021A 01/19/2004

 $6300 \text{ and } 6302 \text{ W}. \ 49^{\text{th}} \text{ St}.$ 

#### **Medium-Density**

Residential Includes horizontally attached rowhouses and townhomes. Also includes "Missing Middle" housing typologies such as duplexes/ triplexes/fourplexes, courtyard apartments, cottage courts, and multiplexes.

Density: 6 to 12 dwelling units/acre





046-064-17-0-10-19-010.00-0 04/02/2010

6306 Kennet Place Kennet Place



KP19500001 0002 01/19/2004 6312 W. 51<sup>st</sup> Street Linconshire





046-063-05-0-20-04-004.00-0 01/29/2016

5100 Foxridge Drive Silverwood Apts.





4900 W. 60<sup>th</sup> Terrace Roeland Court Townhomes



01/19/2022 6228 Ash Street Lido Villas





046-063-08-0-10-21-015.00-0 04/07/2010 5718 Outlook Street Mission Pointe Apts.

#### **High-Density Residential**

Category includes vertically attached residential apartments and condos.

Density: 12 or more dwelling units/ acre

#### Commercial

Includes typical retail uses such as sales or services, hotels, motels, and restaurants





01/14/2022 6800 Johnson Drive Applebee Restaurant





046-063-08-0-10-29-011.00-0 01/16/2016

6004 Johnson Drive Mack Hardware





KP27500000 0019 03/14/2004

5830 Nall Avenue Kremer Dental Offices



#### Office

Activity during normal business hours that includes administrative, professional, and research; may serve as a transition from residential to commercial uses.

Density: 0.25 FAR

#### Business Park/Light Industrial

Includes typical medium- or large-scale office and light industrial uses.

Density: 0.30 FAR



046-063-08-0-20-25-001.00-0 01/16/2016

5799 Broadmoor

5828 Reeds Script Pro

#### Mixed-Use High-

#### **Density** Includes

pedestrian-friendly mix of housing, office, and retail uses in either a multi-story building (vertical mixeduse) or in a cohesive development of separate or attached buildings (horizontal mixed-use).

Density: 3.0 to 10.0 FAR for retail/ office and 50 or more dwelling units/acre for residential





01/13/2022 6201 Johnson Drive The Locale





5399 Martway Street Lanes at Mission Bowl

#### **Mixed-Use Medium-**

#### **Density** Includes

pedestrian-friendly mix of housing, office, and retail uses at medium densities in either a multi-story building (vertical mixed-use) or in a cohesive development of separate or attached buildings (horizontal mixed-use).

Density: 1.0 to 3.0 FAR for retail/ office and 12 to 45 dwelling units/ acre for residential





No Examples Currently in Mission

## Parks and Pathways

This category includes parks, recreation land, and trail areas.





#### Public/Semi-Public

Includes government-owned land, schools, churches, museums, and institutions





City of Mission	Item Number:	6b.
ACTION ITEM SUMMARY	Date:	December 20, 2023
Community Development	From:	Brian Scott

# RE: Final Plat – Popeye's on Johnson Drive – 6821 Johnson Drive (PC Case 23-24)

**RECOMMENDATION:** Adopt the Resolution accepting the Final Plat for Popeye's on Johnson Drive including the dedication of all rights-of-way, easements, and construction easements so noted on said plat.

**DETAILS:** Popeye's Louisiana Kitchen restaurant is currently located at 6821 Johnson Drive. The restaurant has been closed since the beginning of this year when the building sustained serious fire damage. After a thorough evaluation, the ownership group has opted to raze the exiting restaurant building and construct a new one.

A preliminary development (PC Case #23-16) was submitted to the City late this summer. It was considered by the Planning Commission at their July 2023 meeting and approved by the City Council in August.

At the time of preliminary development plan submission, staff requested that the applicant also submit an application to plat the property. In doing so, staff requested that additional right-of-way along Johnson Drive be dedicated to the City so that the sidewalk aligns with the sidewalk along that block to the east (in front of Natural Grocers). The preliminary plat was considered by the Planning Commission at the same time as the preliminary development plan and approved.

A final development plan and final plat were considered by the Planning Commission at their November 27th meeting. Both were approved by a 7-0 vote.

The final plat and acceptance of the additional dedication of right-of-way along Johnson Drive is now presented for City Council approval.

**CFAA CONSIDERATIONS/IMPACTS:** The City is requesting additional right-of-way along Johnson Drive for the purpose of having a wider sidewalk with street amenities such as landscaping. The wider sidewalk will provide more space for walking and a greater sense of security in that walkers will not be as close to the traffic along Johnson Drive. Walkability and safe modes of transportation is one of the pillars of the Community for All Ages initiative.

Related Statute/City Ordinance:	Section 440.240 et. al. of the Mission Municipal Code
Line Item Code/Description:	
Available Budget:	







November 27, 2023 **Planning Commission** 

Staff Report

# AT A GLANCE

**Applicant:** CSM Groups, DBA Popeye's Louisiana Kitchen

Location: 6821 Johnson Drive

**Property ID:** KF251208-2052 Case Number: 23-24

**Project Name:** Popeye's Final Plat

**Project Summary:** The applicant proposes a dedication of additional right-of-way for public improvements on Johnson Drive.

**Current Zoning:** C-2B

**Proposed Zoning:** N/A

Current Land Use: Drive-Through Restaurant/Vacant

Proposed Land Use: N/A

N/A Public Hearing Required

Legal Notice: N/A

Staff Contact: Karie Kneller, Planner







# **PROPERTY BACKGROUND AND INFORMATION**

The applicant, CSM Groups, dba Popeye's Louisiana Kitchen, has submitted an application for a final plat for the property located at 6821 Johnson Drive, on the southeast corner of Johnson Drive and Broadmoor Street. The preliminary plat was approved by the Planning Commission at its August 28, 2023 meeting and public hearing.

# **PROJECT PROPOSAL**

The applicant proposes a replat of the former Popeye's drive-through restaurant site, with certain site improvements in the public right-of-way to meet the intent of the municipal code and Form Based Code overlay. Improvements include a widened pedestrian path, or pedestrian "plaza," and eliminating existing curb-cut on Johnson Drive. Park benches, pedestrian-scaled streetlights, and bike racks improve the pedestrian realm, and additional landscaping in the pedestrian right-of-way improves the walkability along Johnson Drive and Broadmoor Street.

# PLAN REVIEW AND ANALYSIS

#### **Mission Comprehensive Plan**

The property lies within the FBC overlay district. The FBC takes precedence for development and performance standards over the municipal code for setback, height, architectural features, and priority of the pedestrian realm. The front and side street setbacks require a 0-to-10-foot setback, and the rear and side yard setbacks require a minimum of zero feet.

# Analysis: The setbacks conform with the regulations set forth in the FBC. The right-of-way provided by the setback includes space for features that improve the pedestrian experience and attempt to meet the intent of the Comprehensive Plan.

#### Johnson Drive Design Guidelines

According to the requirements of the Johnson Drive Design Guidelines, sidewalks on Johnson Drive shall be a minimum of eight feet wide. Sidewalks on secondary streets such as Broadmoor shall be a minimum of five feet wide. Elements that enhance the pedestrian realm, including park benches, pedestrian-scaled streetlights, bike racks, and landscaping are required.

# Analysis: The proposal provides an extended pedestrian "plaza" along Johnson Drive that will include outdoor seating, landscaping, street lighting, and bicycle amenities as part of the final development plan.

# **Municipal Code**

Section 440.240 stipulates that following approval of the preliminary plat by the Planning Commission,



a final plat shall be considered by the Planning Commission and, upon approval, by the Governing Body for final approval. Section 440.260 stipulates that final plats shall be approved by the Planning Commission if it determines that:

- 1. The final plat substantially conforms to the approved preliminary plat
- 2. The plat conforms to all applicable requirements of the municipal code.
- 3. All submission requirements have been satisfied.
- 4. Approval of the final plat is affirmed by the majority of the Planning Commission.

## RECOMMENDATION

Staff recommends that the Planning Commission vote to recommend approval of Case #23-24 Popeye's Final Plat.

#### PLANNING COMMISION ACTION

The Planning Commission will consider Case #23-24 Popeye's Final Plat at its November 27, 2023 meeting.

# **CITY COUNCIL ACTION**

City Council will consider Case #23-24 at its December 20, 2023 meeting.

City of Mission	Item Number:	6c.
ACTION ITEM SUMMARY	Date:	December 20, 2023
Community Development	From:	Brian Scott

# RE: Special Use Permit - Digital Billboard – 6650 W. 47th Terrace (PC Case #23-22)

**RECOMMENDATION:** Adopt the Resolution approving a special use permit for the installation and operation of a digital billboard on property located at 6650 W. 47th Terrace.

**DETAILS:** The property at 6650 W. 47th Terrace is located on the north side of I-35 and is split by the county line. A portion of the property sits in Mission and a portion in Kansas City, Kansas. The Best Drive Tire Store is located on the property.

An application was recently submitted to locate a digital billboard on the front portion of the property near I-35. Billboards are permitted with a special use permit in any zoning district except residential. There are no specific stipulations on size or height in City code, but there are requirements outlined in the state statutes governing the size, location, and operation of billboards along interstates and highways. This application complies with those requirements, but the applicant will need to obtain a sign permit from the Kansas Department of Transportation.

The sign is being located in an existing floodplain, but the applicant has submitted a "no rise letter" from an engineer certifying the sign will not have any negative flooding impact on surrounding property.

The Planning Commission considered the application for a special use permit to locate and operate a digital billboard on property at 6650 W. 47th Terrace at their meeting on November 27th. The application was approved by a vote of 7-0. The initial special use permit is for a period of ten (10) years. Once this period has expired, the special use permit may be renewed again if there are no objections or issues.

# CFAA CONSIDERATIONS/IMPACTS: N/A

Related Statute/City Ordinance:	Chapter 430 of the Mission Municipal Code		
Line Item Code/Description:	NA		
Available Budget:	NA		

# CITY OF MISSION, KANSAS ORDINANCE NO.\_\_\_\_

# AN ORDINANCE AUTHORIZING CERTAIN PROPERTY WITHIN THE CITY OF MISSION, KANSAS TO BE USED FOR OR OCCUPIED BY A SPECIAL USE

WHEREAS, an application for the establishment of a Special Use Permit has heretofore been made to occupy or use property located at 6650 W. 47th Terrace located in the City of Mission, Johnson County, Kansas for the following use or uses: Digital Billboard; and

**WHEREAS,** said property is currently zoned "M-1" General Industrial District wherein such uses are not permitted without a Special Use Permit; and

**WHEREAS,** notice of said original application was duly given as required by law by publication and mailing; and

**WHEREAS,** a public hearing was held pursuant to law before the Planning Commission of the City of Mission on November 27, 2023, and the recommendation of said Planning Commission was acted upon by the City Council of the City of Mission as required by law:

# NOW, THEREFORE BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF MISSION, KANSAS:

**Section 1. Special Use Permit Granted** - Pursuant to Section 430.100 et. seq. and Section 445.180 et. seq. of the Mission Municipal Code, a Special Use Permit to locate and operate a digital billboard ("Sign") in accordance with the application (PC Case #23-22) on file with the Community Development Department of the City of Mission, 6090 Woodson, Mission, Kansas 66202, is hereby granted for the subject property as described below subject to the stipulations in Section 2 and all other laws and regulations.

Address:	6650 W. 47th Terrace
Property Tax ID:	KP13000000 0002
Legal Description:	FREEWAY INDUSTRIAL PARK LT 2 EX W
	100' MIC 1018 2

**Section 2.** Stipulations of the Special Use Permit - The Special Use Permit referenced in Section 1 of this Ordinance is hereby granted subject to the following stipulations:

- A. Applicant shall obtain a sign permit from the Kansas Department of Transportation as well as the City of Mission.
- B. The Sign shall comply with K.S.A 68-2231 et. seq. as well as the City of Mission Municipal Code.
- C. The Sign must display a static image for a minimum of eight (8) seconds and have an interval change time of two (2) seconds or less.
- D. Erosion control during construction shall be sufficient to protect waterways and reduce runoff impact.
- E. Maintenance and continued operation of the Sign shall be the responsibility of the property owner or owner's agent in perpetuity; the special use permit is transferrable.
- F. Abandonment, including lack of maintenance or continued operation, shall nullify the special use permit within six (6) months.
- G. The special use permit shall be effective following City Council approval for a period not to exceed ten (10) years, at which time the property owner may submit an application for continuation of the special use permit for another period of time to be determined.

**Section 3.** Zoning Remains - The approval of this Special Use Permit shall not change the zoning currently assigned to the property by the Official Zoning Map.

**Section 4.** Effective Date - This Ordinance shall take effect and be in force from and after its adoption and publication according to law.

PASSED AND APPROVED BY THE CITY COUNCIL OF THE CITY OF MISSION, KANSAS on this 20th day of December 2023.

APPROVED BY THE MAYOR on this 20th day of December 2023.

Solana Flora, Mayor

ATTEST:

Robyn L. Fulks, City Clerk

# **APPROVED AS TO FORM:**

David Martin, City Attorney Payne & Jones, Chartered King 2 Building 11000 King Street Overland Park, Kansas 66210





November 27, 2023 Planning Commission

**Staff Report** 

# AT A GLANCE

Applicant: Interstate Holdings, LLC

Location: 6650 West 47th Terrace

Property ID: KP13000000 0002

Current Zoning: M-1

Proposed Zoning: N/A

Current Land Use: Light Industrial

Proposed Land Use: N/A



Public Hearing Required

Legal Notice: November 7, 2023 Case Number: 23-22

Project Name: Freeway Industrial Park Billboard Special Use Permit

# **Project Summary:**

The applicant proposes a digital billboard sign on the subject property at 6650 West 47th Terrace. The site is currently developed with a light industrial warehouse building. The property lies in the 100year floodplain.

Staff Contact: Karie Kneller, Planner







## PROPERTY BACKGROUND AND INFORMATION

The subject property is located at 6650 West 47th Terrace, on the north side of Interstate 35, west of the Lamar Street exit. The property is zoned M-1 "General Industrial." Adjacent properties located within the City of Mission are also zoned M-1. The existing structure straddles the Mission and Kansas City, Kansas boundaries and currently houses an office/warehouse business, Bestdrive Tire Store, according to the Land Based Classification Standards (LBCS). The subject property and adjacent properties lie within the 100-year floodway (AE) of Turkey Creek as designated by the Federal Emergency Management Agency (FEMA). Developments on properties in the floodway are required to obtain a "No-Rise" certificate from a professional engineer.

# **PROJECT PROPOSAL**

The applicant proposes a new digital billboard on a developed property, within the City of Mission boundary, that would be located in the green space fronting 47th Terrace. The applicant included a "No-Rise" certificate in its submittal that supports data that shows no impact on the 100-year flood elevations, floodway elevations, and floodway widths of Turkey Creek. The proposed sign face is 15 feet high by 50 feet wide and stands 60 feet in total height with the column pipe pole. The pole is set back from the property line by 25 feet and the sign face is completely within the property boundaries.

# PLAN REVIEW AND ANALYSIS

# **Mission Comprehensive Plan (2007)**

The Mission Comprehensive Plan (2007) future land use map identifies the subject property as "Business Park/Research: Sub-Urban," defined as areas that contain high density office and service business, as well as some very limited light manufacturing typically in single-use suburban business park settings.

# Analysis: The current land use is consistent with the Mission Comprehensive Plan (2007) future land use map and land use definition.

# Mission Comprehensive Plan (2023 Draft Update)

The draft 2022 Comprehensive Plan identifies the subject property as Light Industrial/Warehouse. It is defined as typical medium- or large-scale office and light industrial uses.

# Analysis: The current land use is consistent with the draft Mission Comprehensive Plan (2023).

# **Municipal Code**

Under Article III, a digital billboard is defined in Mission's municipal code at §430.020 as a billboard which has a computer-controlled board that displays an image through the use of light emitting diode


(LED) display, or similar technology. Mission's municipal code requires a special use permit for billboards according to §445.180 Designated Use. Billboard signs are permitted in any district except for residentially zoned districts with a special use permit. Special uses may be approved by action of the City Council after recommendation from the Planning Commission, and may be approved with conditions. Conditions may include, but are not limited to the following §445.190(C)(1-8):

- 1. Requirements for special yards, open spaces, density, buffers, fences, walls and screening.
- 2. The installation of landscaping and maintenance.
- 3. Provisions for erosion control.
- 4. Limitations on ingress and egress movements into and out of the site and traffic circulation.
- 5. Limitation on signage.
- 6. Limitation on hours of operation and other characteristics of operation.
- 7. Conditions specifically listed under the individual special use.
- 8. Other conditions deemed necessary to ensure compatibility with surrounding land uses.

Analysis: The property is currently developed as office/warehouse under the LBCS. Staff has not observed, nor made aware of, erosion control issues or limitations regarding ingress or egress into or out of the site. The billboard use on the site is considered a separate use not associated with the current land use. There is vegetated buffer in the right-of-way between I-35 and the frontage road (47th Terrace) of the property.

Additionally, under "Criteria for Considering Applications," §440.140(E), the Planning Commission and City Council shall give consideration to pertinent criteria, such as:

1. The character of the neighborhood.

2. The zoning and uses of nearby properties and the extent to which the proposed use would be in harmony with such zoning and uses.

3. The suitability of the property for the uses to which it has been restricted under the applicable zoning district regulations.

- 4. The extent to which approval of the application would detrimentally affect nearby properties.
- 5. The length of time the property has remained vacant as zoned.

6. The relative benefit to the public health, safety and welfare by retaining applicable restrictions on the property as compared to the destruction of the value of the property or hardship to the owner association with denying its request.



7. The Master Plan or Comprehensive Plan.

8. The extent to which the proposed use would adversely affect the capacity or safety of that portion of the road network influenced by the use or present parking problems in the vicinity of the property.

9. The recommendation of the professional staff.

10. The extent to which utilities and services, including, but not limited to, sewers, water service, police and fire protection and parks and recreation facilities, are available and adequate to serve the proposed use.

11. The extent to which the proposed use would create excessive stormwater runoff, air pollution, water pollution, noise pollution or other environmental harm.

- 12. The extent to which there is a need for the use in the community.
- 13. The economic impact of the proposed use on the community.

14. The ability of the applicant to satisfy any requirements applicable to the specific use imposed pursuant to the zoning district regulations.

Analysis: It is Staff's determination that the application for a special use permit meets all applicable criteria in §440.140 under the following circumstances: character and zoning of surrounding area is compatible with the use in an industrial/warehouse setting along a major interstate highway; the zoning restrictions are suitable for the current land use, as well as the proposed billboard land use under consideration; approval of the application would not conceivably detrimentally affect nearby properties, as there is precedent for billboards in nearby locations and along major interstate highways in the region that are consistent with the use in the proposed area; The property is not currently vacant, but additional build-out of buildings on property in the area would not be advisable due to the impact on the floodway, thereby restricting the owner's ability to generate additional revenue on the site; the Comprehensive Plan is compatible with the proposed use according to the 2007 Plan and the 2023 Draft Update; there are no anticipated safety issues beyond possible driver distractions along the interstate (see U.S Department of Transportation Federal Highway Administration study as part of the attached packet); electric utilities are in place on-site and the billboard would not create additional stormwater, air pollution, water pollution, noise pollution, or other environmental harm; staff does not identify a need or economic need for the use in the community; the required "No-Rise" certificate has been provided with the application.

Kansas State Statute Article 22, 68-2234 pertains to the sign standards as applicable for the proposed billboard sign. The statute covers configuration, size, spacing, and lighting in order to ensure the sign does not obscure traffic signs and signals or create safety hazards (see statute and Kansas Department of Transportation outdoor advertising guidelines as part of the attached packet).



### Analysis: The proposed sign shall adhere to all applicable state statutes to maintain the special use permit.

Under Mission municipal code at §430.140 Removal of Obsolete or Abandoned Signs, if a structure or premise is abandoned for a six-month period of time signs shall be deemed obsolete and abandoned. The owner shall be responsible for removing any such signs.

# Analysis: The billboard sign in this case is not associated with the building or structure on premises; however, should the sign be abandoned of its specific use as advertising or if it is abandoned due to lack of maintenance, the special use permit would cease to be valid after a six-month period.

Under §445.210 of the City's municipal code, Special Use Permits may be for a specified time period or continual. Revocation of a Special Use Permit may be granted if any of the following conditions are met:

- Non-compliance with any applicable requirement
- Non-compliance with any special conditions imposed at the time of approval
- Violation of any provisions of the Code
- Where conditions of the neighborhood have changed to the extent that approval would be unwarranted
- Violation of any State or Federal law or regulation

#### RECOMMENDATION

Staff recommends that the Planning Commission recommend approval of the electronic billboard to the City Council with the following conditions:

1. Applicant shall obtain a sign permit from the Kansas Department of Transportation as well as the City of Mission.

2. The Sign shall comply with all K.S.A 68-2231 et seq as well as the City of Mission Municipal Code.

3. The sign must display a static image for a minimum of (8) eight seconds and have an interval change time of (2) two seconds or less.

4. Erosion control during construction shall be sufficient to protect waterways and reduce runoff impact.

5. Maintenance and continued operation of the billboard shall be the responsibility of the property owner or owner's agent in perpetuity; the special use permit is transferrable.



6. Abandonment, including lack of maintenance or continued operation, shall nullify the special use permit within six months.

7. The special use permit shall be effective following City Council approval for a period not to exceed 10 years, at which time the property owner shall submit an application for continuation of the special use permit in perpetuity or until the property is redeveloped.

#### PLANNING COMMISION ACTION

The Planning Commission will consider the application for Case #23-22 Freeway Industrial Park Billboard during a public hearing at its November 27, 2023 meeting.

CITY COUNCIL ACTION

Following Planning Commission recommendation, the City Council will hear Case #23-22 at its December 20, 2023 meeting.









September 20, 2023

Karie Kneller City of Mission 6090 Woodson Street Mission, Kansas 66202

#### RE: STORMWATER MANANGEMENT STUDY FREEWAY INDUSTRIAL PARK LOTS 2 & 7 MONOPOLE DIGITAL BILLBOARD PRELIMINARY DEVELOPMENT PLAN & SUP

Dear Karie:

Per City of Mission requirements, we are submitting the following storm water letter in support of the PDP & SUP application for Freeway Industrial Park Lots 2&7 Monopole Digital Billboard. The billboard is located at 6650 W. 47<sup>th</sup> Terrace Mission, KS 66203 on a 4.07-acre site. The Northwestern portion of the site does lie within the City of Kansas City limits.

The site currently drains from North to South with slopes ranging from 0% to 5% and eventually drains into KDOT ROW and across I-35 to Turkey Creek. With the construction of the proposed signage the drainage patterns will remain the same. A detailed map of the drainage patterns is in Appendix A. The proposed sign will be approximately 50' tall. However, the proposed pole that will hold the sign is proposed to be 3.0' in diameter. This equates to approximately 7 square feet of added impervious area.

There is FEMA identified floodplain located on the proposed property per Flood Insurance Rate Map Panel No. 20091C0008G. The proposed sign is to be located within the Zone AE floodplain. However, the sign is located within an ineffective flow location. Per city requirements a No-Rise certification letter is being submitted with this report.

After the sign has been constructed the site will have a 7 sq ft increase in impervious area on a total of 177,289 sq ft site. This correlates to a 0.003% increase in the impervious area. Given there is a minimal increase in impervious areas the proposed improvements can be considered negligible for stormwater and storm quality requirements.

In conclusion, there is a minimal increase in the impervious area between the existing site and after the proposed sign is constructed. We would request that the minimal increase be considered negligible, and that the stormwater management and storm water quality requirements not be applicable to the proposed project.

If you have any questions regarding the study or the information presented, please contact me. Thank You.

Sincerely,

#### SCHLAGEL & ASSOCIATES, P.A.



Jake A. Hattock, P.E. Project Engineer Direct Dial 913-322-7155



#### ENGINEERING "NO-RISE" CERTIFICATION

This is to certify that I am a duly qualified engineer licensed to practice in the State of Kansas

It is to further certify that the attached technical data supports the fact that Freeway Industrial Park Billboard will proposed \_\_\_\_\_ (Name of Development) not impact the 100-year flood elevations, floodway elevations and floodway widths on \_\_\_\_\_ Turkey Creek \_\_\_\_\_ at published sections (Name Of stream) Merriam, City of in the Flood Insurance Study for (Name of Community) Aug 3, 2009 dated and will not impact the 100-year flood elevations, floodway elevations, and floodway widths at unpublished cross-sections in the vicinity of the proposed development.

09/21/2023 (Date) 

seal:

Signature)

**PROJECT ENGINEER** 

(Title)

14920 W. 107TH STREET, LENEXA, KANSAS 66215 (Address)



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### — ATTENTION —

This brochure is only a guideline for outdoor advertising and is not intended to be inclusive of all applicable laws. Sign applicants and owners are responsible for knowing the laws and ordinances that control signage. If there is a conflict between this brochure and any federal, state, or local laws or ordinances, the law or ordinances will prevail. Please call KDOT if you have any questions.

### **Statutory Authority**



# Why is the Kansas Department of Transportation involved with outdoor advertising?

As part of the Highway Beautification Act (also known as the Lady Bird Johnson Act), federal law requires all states to provide continuing, effective control of outdoor advertising (Title 23, U.S. Code, Section 131). Failure to comply may result in a 10 percent reduction in Kansas's Federal Highway funds.

### What is Outdoor Advertising?

Outdoor Advertising is signs, displays, and devices in areas visible from and adjacent to the federal-aid primary system in existence on June 1, 1991 and any highway which is not on such system but which is on the national highway system. These signs are controlled in order to protect the public investment on such highways, and to promote the safety and recreation value of public travel, and to preserve natural beauty.

# What type of signs does this apply to in Kansas?

Kansas licenses three types of signs, legal conforming, direction, and official. Each has its own specific rules that apply.

Legal conforming signs are signs that are in commercial or industrial zoned areas. These signs require an application and fee, and an additional biennial licensing fee.



Orientee Directional and official signs require a sign application and fee but are exempt from licensing fee. Owned by official agencies.





### Sign Application

### Why do I need a sign application (permit to build) and license?

As part of Kansas law K.S.A. 68-2231 et seq revised in 2006 you are required to submit an application and be approved before you can erect a sign adjacent to a controlled route in Kansas.

### Who needs to obtain an application to build a sign?

Anyone who wants to build a sign adjacent to controlled routes in Kansas.

### Where do I get an application form?

You can either call one of the numbers listed on Page 11 or by accessing the Internet at www. ksdot.org/bureaus/burRow/beaut/ just click on Sign Application.

### How much does it cost?

The fee to submit an application is \$250.00 per sign. In addition, a sign license fee is required once your application and sign location have been approved by KDOT. This fee is \$20.00 for signs with total square feet up to 32. \$75.00 for signs with a total of 33 to 300 square feet and \$150.00 for signs over 301 square feet. This fee is good for a two year period.

# What do I need to do to apply for an application to build a sign?

In additional to filling out your sign application you will need to:

◊ send in a sketch or photo of the proposed sign

- $\diamond$  send in photo(s) of the staked location
- $\diamond$  send in a sketch or map of the sign location
- send in zoning authority approval documentation
- ♦ send in the \$250 Application Fee
- \$\\$ send in the Certificate of Title for signs over
   300 square feet

### How long do I have to build a sign?

You have 180 days to build your sign following the approval of your application and the issuance of your sign license.

### Why do I need to have a green sign tag attached to my sign structure?

This green sign tag is also your license tracking number. This number allows easy viewing knowledge that your sign complies with state regulations.

	Kansas Department of Tra Sign Application (Application to Build a S	nnsportation n <sup>Sign)</sup>	
	Sign Owner Information Classification of Sign Appl	ying for:	
	Applicants Name (Sign Owner Name)		
	Business Name (if different from above) Nat	ne of Contact	1 1
1.000	Address		
n Owner Inform	Cine Passa		
alicants Name (Sign Ow	CityState	Zip Code	
Jacob Name (if differe	Telephone No. ( Fax()	Email Address	
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Additor	Highway Number Side of Highway (circle one) N, S, E, W; Nearest 1	Mile Marker Reference	
CityNo	GPS, GPI Reference County Loca	ation must be staked with name of owner displayed.	hand a band
Tocation of Sig	Physical Description of Sign Type of	Construction	Ispinyca
Lot Number_	Dimension: Height of Facingft. Width of Facingft.	Overall Height Above Road Gradeft.	e.
Highter	Type of Sign (Check all that apply): Single face; Double faced; S	ide by Side; Stacked; V-Type;	
Physical Des	Dirk-IO-Dirk, 111-VISION		ype
Dimpision: He	Number of Faces Will Sign Be Illuminated; Yes No;	If Yes, will it be LED Display YesNo	YesNo
Dian of Sign (	Legend ***Attach a photo of the placen	nent of sign and a sketch or photo of the proposed sign.	proposed sign.
Back-to-Back	ZONING AND COMMERCIAL/INDUSTRIAL QUALIFICA	TIONS (Only needed for Commercial Advertising)	dvertising)
Number of F	Is Area Zoned? YesNo Is location within	600 ft. of a qualifying business Yes No	No
1 egend	What is the zoning designation? Name of Business	·	
ZONIN	(Must be some type of commercial, industrial or business designation)		
Laned A	Zoning Authority Phone Number		
What is	Do you have local approval of Sign Structure and Location Yes No	Not Needed	
(Must	Land Owner Information	uma (if different)	
Zonia	Land Owiers Cank	me (ir uniterim)	
0	Name of Contact Address		
	CityState	Zip Code	
1.	Telephone No. () Fax ()	Email Address	
1	Legal/Location Description		
1	Do you have permission from the land owner (if different than sign owner) to place you Have you read all of the statutes and regulations pertaining to Outdoor Advertising Con-	r sign on this land? Yes No trol? Yes	
1	Data		
	Signature of Applicant		
	Failure to complete this Application or giving false and/or misleading information will a	revoke and disqualify this permit. If you need further	f you need further
	assistance place call Toll Free 1 (877) 461-6817 or email us at <u>KDOT#ROW.Signs@ks</u> Return: a) Application to Ruild Form Maille	.gov . Fax: 785-296-0009	
	b) Sketch or Photo of Proposed Sign Kansa	as Department of Transportation,	tation, r Advertising
	c) Photos of Staked location Burea	u of Right of Way, Outdoor Advertising	or
	d) Sketch or Map of Sign Location 700 S <sup>3</sup> a) Zoning Authority Approval Documentation Topek	W Harrison Street, 14th Floor	
	f) \$250 Application Fee	a, Rausas 00005-3743	O.T. Form No. 1950
		D.O.T. Form No. 1950 09 16	
	0.844		

### What roads require a sign to be licensed?

In Kansas this applies to all Interstate roads along with most State routes. For a map of controlled roads go to www.ksdot.org/bureaus/burRow/ beaut/ and click on KDOT Outdoor Advertising Control map. All highways designated as part of the National Highway System (NHS) are subject to control. The NHS includes all interstate and many of the former primary highways. Most primary highways that are subject to control are not a part of the NHS.



### Where can I put a sign?

You can put a sign on property zoned as commercial or industrial that is off the right-ofway. (See prohibited signs and areas)

### Can I put a sign on my property?

Signs advertising the products and activities conducted on the property on which they are located are referred to as "on premise" signs and are not subject to this act. A KDOT license is not required but you may need local government approval.

# Can I put a sign on someone else's property?

You can put a sign for your business or someone else's business along a state highway. This is called outdoor advertising. You must submit an application and be approved to build a sign.

- ◊ The sign must follow local ordinance and, if required, you must have a local permit.
- ◊ The sign site must be on zoned or unzoned commercial or industrial property.
- $\diamond$  You must have the owner's permission.
- ◊ There must be a visible, licensed business or industry that has been in operation for at least six months on the property (if the area is unzoned).



## What are some of the restrictions that apply to outdoor advertising?

Outdoor advertising signs placed along state highways:

- Can be no larger than 900 total square feet. Directional signs cannot exceed 150 square feet.
- ◊ Can have a sign face no more than 30 feet high and no wider than 60 feet.
- Can not exceed 50 feet above the road grade. This includes border, trim and embellishment, but does not include base or apron, supports or other structural members.
- ♦ Must comply with spacing standards
- The sign cannot contain flashing, intermittent, or moving lights, including animated or scrolling advertising.

### What are the restrictions that apply specifically to Electronic (LED) signs?

The signs must display a static image for a minimum of eight seconds, and have an interval change time of two seconds or less. Electronic signs must be at least 1,000 feet apart. Only sign structures that are classified Legal Conforming may be modified to LED/ACF with approval.



# Where are outdoor advertising signs prohibited?

There are certain signs prohibited by law. The following is a partial listing of some of the areas signs are not allowed, such as:

- ♦ Along scenic byways
- ♦ In the right-of-way of any highway
- In any location that hinders the clear, unobstructed view of approaching or merging traffic, or obscures from view any traffic sign or other official sign.
- ♦ Within a stream or drainage canal.
- In any location that obscures the view of any connecting highway or intersection.

# Are there signs that are exempt from this program?

Yes, some signs that are visible from controlled highways are exempt from this program. They include:

- Signs advertising the sale or lease of property on which they are located.
- Signs advertising the products and activities conducted on the property on which they are located. These signs are referred to as "on premise" signs.
- LOGO and TODS signs authorized under the Motorist Information Signs Act.

### Sign Licenses and Renewals

### How much do they cost?

Once the initial sign license fee is paid, a license fee will be due every 2 years. This fee is \$20.00 for signs with total square feet up to 32. \$75.00 for signs with a total of 33 to 300 square feet and \$150.00 for signs over 301 square feet. An invoice will be sent to each sign owner, of the structure, 60 days prior to the expiration date. A \$50 past due fee will be assessed 30 days after the expiration date for unpaid invoices. The \$50 late fee will be accessed each month for the first two months. Once an invoice is 60 days past due the license will be terminated and the sign will be subject to removal.

### What if I lose a tag or my tag is stolen?

If you lose a tag or if your tag is stolen, a Sign License Replacement Plate Application form along with a \$25.00 fee shall be submitted. The license fee must be current prior to submitting a replacement plate application.



# Can a sign be transferred to another owner?

Yes almost all signs can be transferred to another owner. A Sign Transfer Application form must be filled out and submitted to KDOT to process a sign owner transfer. There is no fee for transfers.

### TODS (Tourist Oriented Directional) and LOGO (Business Activities) Signs

♦ TODS signs are available along the state highway system to provide directional information to tourist-oriented businesses, seasonal agricultural products, services, and attractions that cannot be seen from the highway. TODS signs are not allowed along interstate highways.



◊ LOGO signs are available to eligible businesses who's activities include gas, food, lodging, camping, and other attractions at eligible interchanges on the Interstate system.



If you are interested in a TODS or a LOGO sign please contact: www.kansas.interstatelogos.com

### How do we apply for a Vegetation *Permit?*

If you would like to get more information regarding a vegetation permit, please contact our office or go to www.ksdot.org/bureaus/burRow/ VegMan for more information.

### Who do I contact?

Kansas Department of Transportation Bureau of Right of Way, Outdoor Advertising 700 SW Harrison Street Topeka KS 66603-3745 Toll Free: 1-877-461-6817 Email: KDOT#ROW.Signs@ks.gov Fax: 785-296-6946 Hearing Impaired - 711 www.ksdot.org/bureaus/burRow/beaut/

#### DRIVER VISUAL BEHAVIOR IN THE PRESENCE OF COMMERCIAL ELECTRONIC VARIABLE MESSAGE SIGNS (CEVMS)

**SEPTEMBER 2012** 



FHWA-HEP-16-036

#### FOREWORD

The advent of electronic billboard technologies, in particular the digital Light-Emitting Diode (LED) billboard, has necessitated a reevaluation of current legislation and regulation for controlling outdoor advertising. In this case, one of the concerns is possible driver distraction. In the context of the present report, outdoor advertising signs employing this new advertising technology are referred to as Commercial Electronic Variable Message Signs (CEVMS). They are also commonly referred to as Digital Billboards and Electronic Billboards.

The present report documents the results of a study conducted to investigate the effects of CEVMS used for outdoor advertising on driver visual behavior in a roadway driving environment. The report consists of a brief review of the relevant published literature related to billboards and visual distraction, the rationale for the Federal Highway Administration research study, the methods by which the study was conducted, and the results of the study, which used an eye tracking system to measure driver glances while driving on roadways in the presence of CEVMS, standard billboards, and other roadside elements. The report should be of interest to highway engineers, traffic engineers, highway safety specialists, the outdoor advertising industry, environmental advocates, Federal policymakers, and State and local regulators of outdoor advertising.

Monique R. Evans Director, Office of Safety Research and Development

Nelson Castellanos Director, Office of Real Estate Services

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### **TECHNICAL DOCUMENTATION PAGE**

1. Report No. FHWA-HRT-	2. Government Accession No.	3. R	ecipient's Catalog N	0.
4. Title and Subtitle		5. Report Date		
Driver Visual Behavior in the Presence of Commercial Electronic Variable		e	1	
Message Signs (CEVMS)		6. Performing Organization Code		ion Code
7. Author(s)		8. Pe	erforming Organizat	ion Report No.
William A. Perez, Mary Anne Bertola	a, Jason F. Kennedy, and John A.			-
Molino				
9. Performing Organization Name and SAIC	1 Address	10.	Work Unit No. (TRA	AIS)
6300 Georgetown Pike		11. (	Contract or Grant No	).
McLean, VA 22101				
12. Sponsoring Agency Name and Ad	ldress	13.	Type of Report and I	Period Covered
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Federal Highway Administration				
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billboards, and control areas with no o	off-premise advertising were selected	l. Data we	re collected on arteri	als and
freeways in the day and nighttime. Fig	eld studies were conducted in two cit	ties where	the same methodolo	gy was used
but there were differences in the road	way visual environment. The gazes t	o the road	ahead were high acr	oss the
conditions; however, the CEVMS and	l billboard conditions resulted in a lo	wer probal	bility of gazes as cor	npared to the
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none of the conditions differed from e	each other. Examination of where dri	vers gazed	l in the CEVMS and	standard
billboard conditions showed that gaze	s away from the road ahead were no	t primarily	to the billboards. A	verage and
maximum fixations to CEVMS and st	andard billboards were similar acros	s all condi	tions. However, four	long dwell
times were found (sequential and mul	tiple fixations) that were greater than	1 2,000 ms	. One was to a CEV	MS on a
freeway in the day time, two were to the same standard billboard on a freeway once in the day and once at night; and				
CEVMS than at standard billboards at night; however, in Reading the drivers were equally likely to gaze towards				
CEVMS or standard billboards in day and night. The results of the study are consistent with research and theory on the				
control of gaze behavior in natural en	vironments. The demands of the driv	ing task te	and to affect the drive	er's self-
regulation of gaze behavior.		0		
17. Key Words		18. Distri	bution Statement	
Driver visual behavior, visual environ	ment, billboards, eye tracking	No restric	ctions.	
system, commercial electronic variable	le message signs, CEVMS, visual			
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SI* (MODERN METRIC) CONVERSION FACTORS				
	APPROXIM	ATE CONVERSION	S TO SI UNITS	
Symbol	When You Know	Multiply By	To Find	Symbol
		LENGTH		
in	inches	25.4	millimeters	mm
ft	feet	0.305	meters	m
yd	yards	0.914	meters	m
mi	miles	1.61	kilometers	ĸm
:2	annana la shara	AREA		2
IN # <sup>2</sup>	square inches	645.2	square millimeters	mm m <sup>2</sup>
vd <sup>2</sup>	square vard	0.095	square meters	m <sup>2</sup>
ac	acres	0.405	hectares	ha
mi <sup>2</sup>	square miles	2.59	square kilometers	km <sup>2</sup>
		VOLUME		
fl oz	fluid ounces	29.57	milliliters	mL
gal	gallons	3.785	liters	L
ft <sup>3</sup>	cubic feet	0.028	cubic meters	m <sup>3</sup> <sup>3</sup>
ya	CUDIC Yards	U.765 unos greater than 1000 Lishal	cubic meters	m
		MACC		
07	ounces	28.35	arams	a
lb	pounds	0 454	kilograms	y ka
T	short tons (2000 lb)	0.907	megagrams (or "metric ton")	Mg (or "t")
	TE	MPERATURE (exact de	egrees)	
°F	Fahrenheit	5 (F-32)/9	Celsius	°C
		or (F-32)/1.8		
		ILLUMINATION		
fc	foot-candles	10.76	lux	lx
fl	foot-Lamberts	3.426	candela/m <sup>2</sup>	cd/m <sup>2</sup>
	FOR	CE and PRESSURE or	STRESS	
lbf	poundforce	4.45	newtons	N
lbf/in <sup>-</sup>	poundforce per square inch	6.89	kilopascals	kPa
	APPROXIMA	ATE CONVERSIONS	FROM SI UNITS	
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#### LIST OF ACRONYMS AND SYMBOLS

CEVMS	Commercial Electronic Variable Message Sign
EB	Empirical Bayes
DCZ	Data Collection Zone
ROI	Region of Interest
LED	Light-Emitting Diode
IR	Infra-Red
CCD	Charge-Coupled Device
MAPPS	Multiple-Analysis of Psychophysical and Performance Signals
GEE	Generalized Estimating Equations
FHWA	Federal Highway Administration
DOT	Department of Transportation

#### **EXECUTIVE SUMMARY**

This study examines where drivers look when driving past commercial electronic variable message signs (CEVMS), standard billboards, or no off-premise advertising. The results and conclusions are presented in response to the three research questions listed below:

- 1. Do CEVMS attract drivers' attention away from the forward roadway and other driving-relevant stimuli?
- 2. Do glances to CEVMS occur that would suggest a decrease in safety?
- 3. Do drivers look at CEVMS more than at standard billboards?

This study follows a Federal Highway Administration (FHWA) review of the literature on the possible distracting and safety effects of off-premise advertising and CEVMS in particular. The review considered laboratory studies, driving simulator studies, field research vehicle studies, and crash studies. The published literature indicated that there was no consistent evidence showing a safety or distraction effect due to off-premise advertising. However, the review also enumerated potential limitations in the previous research that may have resulted in the finding of no distraction effects for off-premise advertising. The study team recommended that additional research be conducted using instrumented vehicle research methods with eye tracking technology.

The eyes are constantly moving and they fixate (focus on a specific object or area), perform saccades (eye movements to change the point of fixation), and engage in pursuit movements (track moving objects). It is during fixations that we take in detailed information about the environment. Eye tracking allows one to determine to what degree off-premise advertising may divert attention away from the forward roadway. A finding that areas containing CEVMS result in significantly more gazes to the billboards at a cost of not gazing toward the forward roadway would suggest a potential safety risk. In addition to measuring the degree to which CEVMS may distract from the forward roadway, an eye tracking device would allow an examination of the duration of fixations and dwell times (multiple sequential fixations) to CEVMS and standard billboards. Previous research conducted by the National Highway Traffic Safety Administration (NHTSA) led to the conclusion that taking your eyes off the road for 2 seconds or more presents a safety risk. Measuring fixations and dwell times to CEVMS and standard billboards would also allow a determination as to the degree to which these advertising signs lead to potentially unsafe gaze behavior.

Most of the literature concerning eye gaze behavior in dynamic environments suggests that task demands tend to override visual salience (an object that stands out because of its physical properties) in determining attention allocation. When extended to driving, it would be expected that visual attention will be directed toward task-relevant areas and objects (e.g., the roadway, other vehicles, speed limit signs) and that other salient objects, such as billboards, would not necessarily capture attention. However, driving is a somewhat automatic process and conditions generally do not require constant, undivided attention. As a result, salient stimuli, such as CEVMS, might capture driver attention and produce an unwanted increase in driver distraction. The present study addresses this concern.

This study used an instrumented vehicle with an eye tracking system to measure where drivers were looking when driving past CEVMS and standard billboards. The CEVMS and standard billboards were measured with respect to luminance, location, size, and other relevant variables to characterize these visual stimuli extensively. Unlike previous studies on digital billboards, the present study examined CEVMS as deployed in two United States cities. These billboards did not contain dynamic video or other dynamic elements, but changed content approximately every 8 to 10 seconds. The eye tracking system had nearly a 2-degree level of resolution that provided significantly more accuracy in determining what objects the drivers were looking at compared to an earlier naturalistic driving study. This study assessed two data collection efforts that employed the same methodology in two cities.

In each city, the study examined eye glance behavior to four CEVMS, two on arterials and two on freeways. There were an equal number of signs on the left and right side of the road for arterials and freeways. The standard billboards were selected for comparison with CEVMS such that one standard billboard environment matched as closely as possible that of each of the CEVMS. Two control locations were selected that did not contain off-premise advertising, one on an arterial and the other on a freeway. This resulted in 10 data collection zones in each city that were approximately 1,000 feet in length (the distance from the start of the data collection zone to the point that the CEVMS or standard billboard disappeared from the data collection video).

In Reading, Pennsylvania, 14 participants drove at night and 17 drove during the day. In Richmond, Virginia, 10 participants drove at night and 14 drove during the day. Calibration of the eye tracking system, practice drive, and the data collection drive took approximately 2 hours per participant to accomplish.

The following is a summary of the study results and conclusions presented in reference to the three research questions the study aimed to address.

### Do CEVMS attract drivers' attention away from the forward roadway and other driving relevant stimuli?

• On average, the drivers in this study devoted between 73 and 85 percent of their visual attention to the road ahead for both CEVMS and standard billboards. This range is consistent with earlier field research studies. In the present study, the presence of CEVMS did not appear to be related to a decrease in looking toward the road ahead.

#### Do glances to CEVMS occur that would suggest a decrease in safety?

- The average fixation duration to CEVMS was 379 ms and to standard billboards it was 335 ms across the two cities. The average fixation durations to CEVMS and standard billboards were similar to the average fixation duration to the road ahead.
- The longest fixation to a CEVMS was 1,335 ms and to a standard billboard it was 1,284 ms. The current widely accepted threshold for durations of glances away from the road ahead that result in higher crash risk is 2,000 ms. This value comes from a NHTSA
naturalistic driving study that showed a significant increase in crash odds when glances away from the road ahead were 2,000 ms or longer.

- Four dwell times (aggregate of consecutive fixations to the same object) greater than 2,000 ms were observed across the two studies. Three were to standard billboards and one was to a CEVMS. The long dwell time to the CEVMS occurred in the daytime to a billboard viewable from a freeway. Review of the video data for these four long dwell times showed that the signs were not far from the forward view while participant's gaze dwelled on them. Therefore, the drivers still had access to information about what was in front of them through peripheral vision.
- The results did not provide evidence indicating that CEVMS, as deployed and tested in the two selected cities, were associated with unacceptably long glances away from the road. When dwell times longer than the currently accepted threshold of 2,000 ms occurred, the road ahead was still in the driver's field of view. This was the case for both CEVMS and standard billboards.

## Do drivers look at CEVMS more than at standard billboards?

- When comparing the probability of a gaze at a CEVMS versus a standard billboard, the drivers in this study were generally more likely to gaze at CEVMS than at standard billboards. However, some variability occurred between the two locations and between the types of roadway (arterial or freeway).
- In Reading, when considering the proportion of time spent looking at billboards, the participants looked more often at CEVMS than at standard billboards when on arterials (63 percent to CEVMS and 37 percent to a standard billboard), whereas they looked more often at standard billboards when on freeways (33 percent to CEVMS and 67 percent to a standard billboard). In Richmond, the drivers looked at CEVMS more than standard billboards no matter the type of road they were on, but as in Reading, the preference for gazing at CEVMS was greater on arterials (68 percent to CEVMS and 32 percent to standard billboards) than on freeways (55 percent to CEVMS and 45 percent to standard billboards). When a gaze was to an off-premise advertising sign, the drivers were generally more likely to gaze at a CEVMS than at a standard billboard.
- In Richmond, the drivers showed a preference for gazing at CEVMS versus standard billboards at night, but in Reading the time of day did not affect gaze behavior. In Richmond, drivers gazed at CEVMS 71 percent and at standard billboards 29 percent at night. On the other hand, in the day the drivers gazed at CEVMS 52 percent and at standard billboards 48 percent.
- In Reading, the average gaze dwell time for CEVMS was 981 ms and for standard billboards it was 1,386 ms. The difference in these average dwell times was not statistically significant. In contrast, the average dwell times to CEVMS and standard billboards were significantly different in Richmond (1,096 ms and 674 ms, respectively).

The present data suggest that the drivers in this study directed the majority of their visual attention to areas of the roadway that were relevant to the task at hand (e.g., the driving task). Furthermore, it is possible, and likely, that in the time that the drivers looked away from the forward roadway, they may have elected to glance at other objects in the surrounding environment (in the absence of billboards) that were not relevant to the driving task. When billboards were present, the drivers in this study sometimes looked at them, but not such that overall attention to the forward roadway decreased.

It also should be noted that, like other studies in the available literature, this study adds to the knowledge base on the issues examined, but does not present definitive answers to the research questions investigated.

## **INTRODUCTION**

"The primary responsibility of the driver is to operate a motor vehicle safely. The task of driving requires full attention and focus. Drivers should resist engaging in any activity that takes their eyes and attention off of the road for more than a couple of seconds. In some circumstances even a second or two can make all the difference in a driver being able to avoid a crash." – US Department of Transportation<sup>(1)</sup>

The advent of electronic billboard technologies, in particular the digital Light-Emitting Diode (LED) billboard, has prompted a reevaluation of regulations for controlling outdoor advertising. An attractive quality of these LED billboards, which are hereafter referred to as Commercial Electronic Variable Message Signs (CEVMS), is that advertisements can change almost instantly. Furthermore, outdoor advertising companies can make these changes from a central remote office. Of concern is whether or not CEVMS may attract drivers' attention away from the primary task (driving) in a way that compromises safety.

The current Federal Highway Administration (FHWA) guidance recommends that CEVMS should not change content more frequently than once every 8 seconds.<sup>(2)</sup> However, according to Scenic America, the basis of the safety concern is that the "...distinguishing trait..." of a CEVMS "... is that it can vary while a driver watches it, in a setting in which that variation is likely to attract the drivers' attention away from the roadway."<sup>(3)</sup>This study was conducted to provide the FHWA with data to determine if CEVMS capture visual attention differently than standard off-premise advertising billboards.

# BACKGROUND

A 2009 review of the literature by Molino et al. for the FHWA failed to find convincing empirical evidence that CEVMS, as currently implemented, constitutes a safety risk greater than that of conventional vinyl billboards.<sup>(4)</sup> A great deal of work has been focused in this area, but the findings of these studies have been mixed.<sup>(4,5)</sup> A summary of the key past findings is presented here, but the reader is referred to Molino et al. for a comprehensive review of studies prior to 2008.<sup>(4)</sup>

## **Post-Hoc Crash Studies**

Post-hoc crash studies use reviews of police traffic collision reports or statistical summaries of such reports in an effort to understand the causes of crashes that have taken place in the vicinity of some change to the roadside environment. In the present case, the change of concern is the introduction of CEVMS to the roadside or the replacement of conventional billboards with CEVMS.

The literature review conducted by Molino et al. did not find compelling evidence for a distraction effect attributable to CEVMS.<sup>(4)</sup> The authors concluded that all post-hoc crash studies are subject to certain weaknesses, most of which are difficult to overcome. For example, the vast majority of crashes are never reported to police; thus, such studies are likely to underreport crashes. Also, when crashes are caused by factors such as driver distraction or inattention, the involved driver may be unwilling or unable to report these factors to a police investigator.

Another weakness is that police, under time pressure, are rarely able to investigate the true root causes of crashes unless they involve serious injury, death, or extensive property damage. Furthermore, to have confidence in the results, such studies need to collect comparable data before and after the change, and, in the after phase, at equivalent but unaffected roadway sections. Since crashes are infrequent events, data collection needs to span extended periods of time both before and after introduction of the change. Few studies are able to obtain such extensive data.

Two recent studies by Tantala and Tantala examined the relationship between the presence of CEVMS and crash statistics in Richmond, Virginia, and Reading, Pennsylvania.<sup>(6,7)</sup> For the Richmond area, 7 years of crash data at 10 locations with CEVMS were included in the analyses. The study used a before-after methodology where most sites originally contained vinyl billboards (before) that were converted to CEVMS (after). The quantity of crash data was not the same for all locations and ranged from 1 year before/after to 3 years before/after. The study employed the Empirical Bayes (EB) method to analyze the data.<sup>(8)</sup> The results indicated that the total number of crashes observed was consistent with what would be statistically expected with or without the introduction of CEVMS. The analysis approach for Reading locations was much the same as for Richmond other than there were 20 rather than 10 CEVMS and 8 years of crash statistics. The EB method showed results for Reading that were very similar to those of Richmond.

The studies by Tantala and Tantala appear to address many of the concerns from Molino et al. regarding the weaknesses and issues associated with crash studies.<sup>(4,6,7)</sup> For example, they include crash comparisons for locations within multiple distances of each CEVMS to address concerns about the visual range used in previous analyses. They used EB analysis techniques to correct for regression-to-mean bias. Also, the EB method would better reflect crash rate changes due to changes in average daily traffic and the interactions of these with the roadway features that were coded in the model. The studies followed approaches that are commonly used in posthoc crash studies, though the results would have been strengthened by including before-after results for non-CEVMS locations as a control group.

## **Field Investigations**

Field investigations include unobtrusive observation, naturalistic driving studies, on-road instrumented vehicle investigations, test track experiments, driver interviews, surveys, and questionnaires. The following focuses on relevant studies that employed naturalistic driving and on-road instrumented vehicle research methods.

Lee, McElheny, and Gibbons undertook an on-road instrumented vehicle study on Interstate and local roads near Cleveland, Ohio.<sup>(9)</sup> The study looked at driver glance behavior in the vicinity of digital billboards, conventional billboards, comparison sites (sites with buildings and other signs, including digital signs), and control sites (those without similar signage). The results showed that there were no differences in the overall glance patterns (percent eyes-on-road and overall number of glances) between the different sites. Drivers also did not glance more frequently in the direction of digital billboards than in the direction of other event types (conventional billboards, comparison events, and baseline events) but drivers did take longer glances in the direction of digital billboards and comparison sites than in the direction of conventional billboards and baseline sites. However, the mean glance length toward the digital billboards was less than

1,000 ms. It is important to note that this study employed a video-based approach for examining drivers' visual behavior, which has an accuracy of no better than 20 degrees.<sup>(10)</sup> While this technique is likely to be effective in assessing gross eye movements and looks that are away from the road ahead, it may not have sufficient resolution to discriminate what specific object the driver is looking at outside of the vehicle.

Beijer, Smiley, and Eizenman evaluated driver glances toward four different types of roadside advertising signs on roads in the Toronto, Canada, area.<sup>(11)</sup> The four types of signs were: (a) billboard signs with static advertisements; (b) billboard advertisements placed on vertical rollers that could rotate to show one of three advertisements in succession; (c) scrolling text signs with a minor active component, which usually consisted of a small strip of lights that formed words scrolling across the screen or, in some cases, a larger area capable of displaying text but not video; and (d) signs with video images that had a color screen capable of displaying both moving text and moving images. The study employed an on-road instrumented vehicle with a head-mounted eye tracking device. The researchers found no significant differences in average glance duration or the maximum glance duration for the various sign types; however, the number of glances was significantly lower for billboard signs than for the roller bar, scrolling text, and video signs.

Smiley, Smahel, and Eizenman conducted a field driving study that employed an eye tracking system that recorded drivers' eye movements as participants drove past video signs located at three downtown intersections and along an urban expressway.<sup>(12)</sup> The study route included static billboards and video advertising. The results of the study showed that on average 76 percent of glances were to the road ahead. Glances at advertising, including static billboards and video signs, constituted 1.2 percent of total glances. The mean glance durations for advertising signs were between 500 ms and 750 ms, although there were a few glances of about 1,400 ms in duration. Video signs were not more likely than static commercial signs to be looked at when headways were short; in fact, the reverse was the case. Furthermore, the number of glances per individual video sign was small, and statistically significant differences in looking behavior were not found.

Kettwich, Kartsen, Klinger, and Lemmer conducted a field study where drivers' gaze behavior was measured with an eye tracking system.<sup>(13)</sup> Sixteen participants drove an 11.5 mile (18.5 km) route comprised of highways, arterial roads, main roads, and one-way streets in Karlsruhe, Germany. The route contained advertising pillars, event posters, company logos, and video screens. Mean gaze duration for the four types of advertising was computed for periods when the vehicle was in motion and when it was stopped. Gaze duration while driving for all types of advertisements was under 1,000 ms. On the other hand, while the vehicle was stopped, the mean gaze duration for video screen advertisements was 2,750 ms. The study showed a significant difference between gaze duration while driving and while stationary: gaze duration was affected by the task at hand. That is, drivers tended to gaze longer while the car was stopped and there were few driving task demands.

The previously mentioned studies estimated the duration of glances to advertising and computed mean values of less than 1,000 ms. Klauer et al., in his analysis of the 100-Car Naturalistic Driving Study, concluded that glances away from the roadway for any purpose lasting more than 2,000 ms increase near-crash/crash risk by at least two times that of normal, baseline driving.<sup>(14)</sup>

Klauer et al. also indicated that short, brief glances away from the forward roadway for the purpose of scanning the driving environment are safe and actually decrease near-crash/crash risk.<sup>(14)</sup> Using devices in a vehicle that draw visual attention away from the forward roadway for more than 2,000 ms (e.g., texting) is incompatible with safe driving. However, for external stimuli, especially those near the roadway, the evaluation of eye glances with respect to safety is less clear since peripheral vision would allow the driver to still have visual access to the forward roadway.

#### **Laboratory Studies**

Laboratory investigations related to roadway safety can be classified into several categories: driving simulations, non-driving-simulator laboratory testing, and focus groups. The review of relevant laboratory studies by Molino et al. did not show conclusive evidence regarding the distracting effects of CEVMS.<sup>(4)</sup> Moreover, the authors concluded that present driving simulators do not have sufficient visual dynamic range, image resolution, and contrast ratio capability to produce the compelling visual effect of a bright, photo-realistic LED-based CEVMS against a natural background scene. The following is a discussion of a driving simulator study conducted after the publication of Molino et al.<sup>(4)</sup> The study focused on the effects of advertising on driver visual behavior.

Chattington, Reed, Basacik, Flint, and Parkes conducted a driving simulator study in the United Kingdom (UK) to evaluate the effects of static and video advertising on driver glance behavior.<sup>(15)</sup> The researchers examined the effects of advertisement position relative to the road (left, right, center on an overhead gantry, and in all three locations simultaneously), type of advertisement (static or video), and exposure duration of the advertisement. (The paper does not provide these durations in terms of time or distance. The exposure duration had to do with the amount of time or distance that the sign would be visible to the driver.) For the advertisements presented on the left side of the road (recall that drivers travel in the left lane in the UK), mean glance durations for static and video advertisements were significantly longer (approximately 650 to 750 ms) when drivers experienced long advertisement exposure as opposed to medium and short exposures. Drivers looked more at video advertisements (about 2 percent on average of the total duration recorded) than at static advertisements (about 0.75 percent on average). In addition, the location of the advertisements had an effect on glance behavior. When advertisements were located in the center of the road or in all three positions simultaneously, the glance durations were about 1,000 ms and were significantly longer than for signs placed on the right or left side of the road. For advertisements placed on the left side of the road, there was a significant difference in glance duration between static (about 400 ms) and video (about 800 ms). Advertisement position also had an effect on the proportion of time that a driver spent looking at an advertisement. The percentage of time looking at advertisements was greatest when signs were placed in all three locations, followed by center location signs, then the left location signs, and finally the right location signs. Drivers looked more at the video advertisements relative to the static advertisements when they were placed in all three locations, placed on the left, and placed on the right side of the road. The center placement did not show a significant difference in percent of time spent looking between static and video.

#### Summary

The results from these key studies offer some insight into whether CEVMS pose a visual distraction threat. However, these same studies also reveal some inconsistent findings and potential methodological issues that are addressed in the current study. The studies conducted by Smiley et al. showed drivers glanced forward at the roadway about 76 percent of the time in the presence of video and dynamic signs where a few long glances of approximately 1,400 ms were observed.<sup>(12)</sup> However, the video and dynamic signs used in these studies portray moving objects that are not present in CEVMS as deployed in the United States. In another field study employing eye tracking, Kettwich et al. found that gaze duration while driving for all types of advertisements that they evaluated was less than 1,000 ms; however, when the vehicle was stopped, mean gaze duration for advertising was as high as 2,750 ms.<sup>(16)</sup> Collectively, these studies did not demonstrate that the advertising signs detracted from drivers' glances forward at the roadway in a substantive manner while the vehicle was moving.

In contrast, the simulator study by Chattington et al. demonstrated that dynamic signs showing moving video or other dynamic elements may draw attention away from the roadway.<sup>(15)</sup> Furthermore, the location of the advertising sign on the road is an important factor in drawing drivers' visual attention. Advertisements with moving video placed in the center of the roadway on an overhead gantry or in all three positions (right, left, and in the center) simultaneously are very likely to draw glances from drivers.

Finally, in a study that examined CEVMS as deployed in the United States, Lee et al. did not show any significant effects of CEVMS on driver glance behavior.<sup>(9)</sup> However, the methodology that was used likely did not employ sufficient sensitivity to determine at what specific object in the environment a driver was looking.

None of these studies combined all necessary factors to address the current CEVMS situation in the United States. Those studies that used eye tracking on real roads had animated and videobased signs, which are not reflective of current off-premise CEVMS practice in the United States.

## STUDY APPROACH

Based on an extensive review of the literature, Molino et al. concluded that the most effective method to use in an evaluation of the effects of CEVMS on driver visual behavior was the instrumented field vehicle method that incorporated an eye tracking system.<sup>(4)</sup> The present study employed such an instrumented field vehicle with an eye tracking system and examined the degree to which CEVMS attract drivers' attention away from the forward roadway.

The following presents a brief overview and discussion of studies using eye tracking methodology with complex visual stimuli, especially in natural environments (walking, driving, etc.). The review by Molino et al. recommended the use of this type of technology and method; however, a discussion laying out technical and theoretical issues underlying the use of eye tracking methods was not presented.<sup>(4)</sup> This background is important for the interpretation of the results of the studies conducted here.

Standard and digital billboards are often salient stimuli in the driving environment, which may make them conspicuous. Cole and Hughes define attention conspicuity as the extent to which a stimulus is sufficiently prominent in the driving environment to capture attention. Further, Cole and Hughes state that attention conspicuity is a function of size, color, brightness, contrast relative to surroundings, and dynamic components such as movement and change.<sup>(17)</sup> It is clear that under certain circumstances image salience or conspicuity can provide a good explanation of how humans orient their attention.

At any given moment a large number of stimuli reach our senses, but only a limited number of them are selected for further processing. In general, attention can be focused on a stimulus because it is important for achieving some goal, or because the properties of the stimulus can attract the attention of the observer independent of their intentions (e.g., a car horn may elicit an orienting response). When the focus of attention is goal directed, it is referred to as top-down. When the focus of attention is principally a function of stimulus attributes, it is referred to as bottom-up.<sup>(18)</sup>

In general, billboards (either standard or CEVMS) are not relevant to the driving task but are presumably designed to be salient stimuli in the environment where they may draw a driver's attention. The question is to what degree CEVMS draw a driver's attention away from driving-relevant stimuli (e.g., road ahead, mirrors, and speedometer) and is this different from a standard billboard? In his review of the literature Wachtel leads one to consider CEVMS as stimuli in the environment where attention to them would be drawn in a bottom-up manner; that is, the salience of the billboards would make them stand out relative to other stimuli in the environment and drivers would reflexively look at these signs.<sup>(19)</sup> Wachtel's conclusions were in reference to research by Theeuwees who employed simple letter stimulus arrays in a laboratory task.<sup>(20)</sup> Research using simple visual stimuli in a laboratory environment are very useful for testing different theories of perception, but often lack direct application to tasks such as driving. The following discusses research using complex visual stimuli and tasks that are more relevant to natural vision as experienced in the driving task.

A recent review of stimulus salience and eye guidance by Tatler et al. shows that most of the evidence for the capture of attention by the conspicuity of stimuli comes from research in which the stimulus is a simple visual search array or in which the target is uniquely defined by simple visual features.<sup>(21)</sup> In other words, these are laboratory studies that use letters, arrays of letters, or simple geometric patterns as the stimuli. Pure salience-based models are capable of predicting eye movement endpoint in simple displays, but are less successful for more complex scenes that contain task-relevant and task-irrelevant salient areas.<sup>(22,23)</sup>

Research by Henderson et al. using photographs of actual scenes showed that subjects looked at non-salient scene regions containing a search target and rarely looked at salient non-task-relevant regions of the scenes.<sup>(24)</sup> Salience of the stimulus alone was not a good predictor of where participants looked. Additional research by Henderson using photographs of real world scenes also showed that subjects fixated on regions of the pictures that provided task-relevant information rather than visually salient regions with no task-relevant information. However, Henderson acknowledges that static pictures have many shortcomings when used as surrogates for real environments.<sup>(25)</sup>

Land's review of eye movements in dynamic environments concluded that the eyes are proactive and typically seek out information required in the second before each new activity commences.<sup>(26)</sup> Specific tasks (e.g., driving) have characteristic but flexible patterns of eye movement that accompany them, and these patterns are similar between individuals. Land concluded that the eyes rarely visit objects that are irrelevant to the task, and the conspicuity of objects is less important than the objects' roles in the task. In a subsequent review of eye movement and natural behavior, Land concluded that in a task that requires fixation on a sequence of specific objects, the capture of gaze by irrelevant salient objects would, in general, be an obtrusive nuisance.<sup>(22)</sup>

The literature examining gaze control under natural behavior suggests that it is principally top-down driven, or intentional.<sup>(24,25,26,22,21,27)</sup> However, top-down processing does not explain all gaze control or eye movements. For example, imagine driving down a two-lane country road and a deer jumps into the road. It is most likely that you will attend and react to this deer. Unplanned or unexpected stimuli capture our attention as we engage in complex natural tasks. Research by Jovancevic-Misic and Hayhoe showed that human gaze patterns are sensitive to the probabilistic nature of the environment.<sup>(28)</sup> In this study, participants' eye movement behavior was observed while walking among other pedestrians. The other pedestrians were confederates and were either safe, risky, or rogue pedestrians. When the study began, the risky pedestrian took a collision course with the participant 50 percent of the time, and the rogue pedestrian always assumed a collision course as he approached the participant, whereas the safe pedestrian never took a collision course. Midway through the study the rogue and safe pedestrians exchanged roles but the risky pedestrian role remained the same. The participants were not informed about the behavior of the other pedestrians. Participants were asked to follow a circular path for several laps and to avoid other pedestrians. The study showed that the participants modified their gaze behavior in response to the change in the other pedestrians' behavior. Jovancevic-Misic concluded that participants learned new priorities for gaze allocation within a few encounters and looked both sooner and longer at potentially dangerous pedestrians.<sup>(28)</sup>

Gaze behavior in natural environments is affected by expectations that are derived through longterm learning. Using a virtual driving environment, Shinoda et al. asked participants to look for stop signs while driving an urban route.<sup>(29)</sup> Approximately 45 percent of the fixations fell in the general area of intersections during the simulated drive, and participants were more likely to detect stop signs placed near intersections than those placed in the middle of a block. Over time, drivers have learned that stop signs are more likely to appear near intersections and, as a result, drivers prioritize their allocation of gazes to these areas of the roadway.

The Tatler et al. review of the literature concludes that in natural vision, a consistent set of principles underlies eye guidance. These principles include relevance or reward potential, uncertainty about the state of the environment, and learned models of the environment.<sup>(21)</sup> Salience of environmental stimuli alone typically does not explain most eye gaze behavior in naturalistic environments.

In sum, most of the literature concerning eye gaze behavior in dynamic environments suggests that task demands tend to override visual salience in determining attention allocation. When extended to driving, it would be expected that visual attention will be directed toward task-relevant areas and objects (e.g., the roadway, other vehicles, speed limit signs, etc.) and other

salient objects, such as billboards, will not necessarily capture attention. However, driving is a somewhat automatic process and conditions generally do not require constant undivided attention. As a result, salient stimuli, such as CEVMS, might capture driver attention and provide an unwarranted increase in driver distraction. The present study addresses this concern.

## **Research Questions**

The present research evaluated the effects of CEVMS on driver visual behavior under actual roadway conditions in the daytime and at night. Roads containing CEVMS, standard billboards, and areas not containing off-premise advertising were selected. The CEVMS and standard billboards were measured with respect to luminance, location, size, and other relevant visual characteristics. The present study examined CEVMS as deployed in two United States cities. Unlike previous studies, the signs did not contain dynamic video or other dynamic elements. In addition, the eye tracking system used in this study has approximately a 2-degree level of resolution. This provided significantly more accuracy in determining what objects the drivers were looking at than in previous on-road studies examining looking behavior (recall that Lee et al. used video recordings of drivers' faces that, at best, examined gross eye movements).<sup>(9)</sup>

Two studies are reported. Each study was conducted in a different city. The two studies employed the same methodology. The studies' primary research questions were:

- 1. Do CEVMS attract drivers' attention away from the forward roadway and other driving relevant stimuli?
- 2. Do glances to CEVMS occur that would suggest a decrease in safety?
- 3. Do drivers look at CEVMS more than at standard billboards?

## EXPERIMENTAL APPROACH

The study used a field research vehicle equipped with a non-intrusive eye tracking system. The vehicle was a 2007 Jeep® Grand Cherokee Sport Utility Vehicle. The eye tracking system used (SmartEye® vehicle-mounted infrared (IR) eye-movement measuring system) is shown in figure 1.<sup>(30)</sup> The system consists of two IR light sources and three face cameras mounted on the dashboard of the vehicle. The cameras and light sources are small in size, and are not attached to the driver in any manner. The face cameras are synchronized to the IR light sources and are used to determine the head position and gaze direction of the driver.



Figure 1. Eye tracking system camera placement.

As a part of this eye tracking system, the vehicle was outfitted with a three-camera panoramic scene monitoring system for capturing the forward driving scene. The scene cameras were mounted on the roof of the vehicle directly above the driver's head position. The three cameras together provided an 80-degree wide by 40-degree high field of forward view. The scene cameras captured the forward view area available to the driver through the left side of the windshield and a portion of the right side of the windshield. The area visible to the driver through the rightmost area of the windshield was not captured by the scene cameras.

The vehicle was also outfitted with equipment to record GPS position, vehicle speed, and vehicle acceleration. The equipment also recorded events entered by an experimenter and synchronized those events with the eye tracking and vehicle data. The research vehicle is pictured in figure 2.



Figure 2. FHWA's field research vehicle.

# EXPERIMENTAL DESIGN OVERVIEW

The approach entailed the use of the instrumented vehicle in which drivers navigated routes in cities that presented CEVMS and standard billboards as well as areas without off-premise advertising. The participants were instructed to drive the routes as they normally would. The drivers were not informed that the study was about outdoor advertising, but rather that it was about examining drivers' glance behavior as they followed route guidance directions.

## **Site Selection**

More than 40 cities were evaluated in the selection of the test sites. Locations with CEVMS displays were identified using a variety of resources that included State department of transportation contacts, advertising company Web sites, and a popular geographic information system. A matrix was developed that listed the number of CEVMS in each city. For each site, the number of CEVMS along limited access and arterial roadways was determined.

One criterion for site selection was whether the location had practical routes that pass by a number of CEVMS as well as standard off-premise billboards and could be driven in about 30 minutes. Other considerations included access to vehicle maintenance personnel/facilities, proximity to research facilities, and ease of participant recruitment. Two cities were selected: Reading, and Richmond.

Table 1 presents the 16 cities that were included on the final list of potential study sites.

State	Area	Limited Access	Arterial	Other (1)	Total
VA	Richmond	4	7	0	11
PA	Reading	7	11	0	18
VA	Roanoke	0	11	0	11
PA	Pittsburgh	0	0	15	15
ΤХ	San Antonio	7	2	6	15
WI	Milwaukee	14	2	0	16
AZ	Phoenix	10	6	0	16
MN	St. Paul/Minneapolis	8	5	3	16
TN	Nashville	7	10	0	17
FL	Tampa-St. Petersburg	7	11	0	18
NM	Albuquerque	0	19	1	20
PA	Scranton-Wilkes Barre	7	14	1	22
OH	Columbus	1	22	0	23
GA	Atlanta	13	11	0	24
IL	Chicago	22	2	1	25
CA	Los Angeles	3	71	4	78

Table 1. Distribution of CEVMS by roadway classification for various cities.

(1) Other includes roadways classified as both limited access and arterial or instances where the road classification was unknown. *Source:* www.lamar.com and www.clearchannel.com

In both test cities, the following independent variables were evaluated:

- **The type of advertising.** This included CEVMS, standard billboards, and no off-premise advertising. (It should be noted that in areas with no off-premise advertising, it was still possible to encounter on-premise advertising; e.g., for gas stations, restaurants, and other miscellaneous stores and shops.)
- **Time of day.** This included driving in the daytime and at night.
- The functional class of roadways in which off-premise advertising signs were located. Roads were classified as either freeway or arterial. It was observed that the different road classes were correlated with the presence of other visual information that could affect the driver's glance behavior. For example, the visual environment on arterials may be more complex or cluttered than on freeways because of the close proximity of buildings, driveways, and on-premise advertising, etc.

#### READING

The first on-road study was conducted in Reading. This study examined the type of advertising (CEVMS, standard billboard, or no off-premise advertising), time of day (day or night) and road type (freeway or arterial) as independent variables. Eye tracking was used to assess where participants gazed and for how long while driving. The luminance and contrast of the advertising signs were measured to characterize the billboards in the current study.

#### METHOD

#### **Selection of Data Collection Zone Limits**

Data collection zones (DCZ) were defined on the routes that participants drove where detailed analyses of the eye tracking data were planned. The DCZ were identified that contained a CEVMS, a standard billboard, or no off-premise advertising.

The rationale for selecting the DCZ limits took into account the geometry of the roadway (e.g., road curvature or obstructions that blocked view of billboards) and the capabilities of the eye tracking system (2 degrees of resolution). At a distance of 960 ft (292.61 m), the average billboard in Reading was 12.8 ft (3.90 m) by 36.9 ft (11.25 m) and would subtend a horizontal visual angle of 2.20 degrees and a vertical visual angle of 0.76 degrees, and thus glances to the billboard would just be resolvable by an eye tracking system with 2 degrees of accuracy. Therefore 960 ft was chosen as the maximum distance from billboards at which a DCZ would begin. If the target billboard was not visible from 960 ft (292.61 m) due to roadway geometry or other visual obstructions, such as trees or an overpass, the DCZ was shortened to a distance that prevented these objects from interfering with the driver's vision of the billboard. In DCZs with target off-premise billboards, the end of the DCZ was marked when the target billboard left the view of the scene camera. If the area contained no off-premise advertising, the end of the DCZ was defined by a physical landmark leaving the view of the eye tracking systems' scene camera.

Table 2 shows the data collection zone limits used in this study.

## **Advertising Conditions**

The type of advertising present in DCZs was examined as an independent variable. DCZs fell into one of the following categories, which are listed in the second column of table 2:

- **CEVMS**. These were DCZs that contained one target CEVMS. Two CEVMS DCZs were located on freeways and two were located on arterials. Figure 3 and figure 4 show examples of CEVMS DCZs with the CEVMS highlighted in the pictures.
- **Standard billboard**. These were DCZs that contained one target standard billboard. Two standard billboard DCZs were located on freeways and two were located on arterials. Figure 5 and figure 6 show examples of standard billboard DCZs; the standard billboards are highlighted in the pictures.

• No off-premise advertising conditions. These DCZs contained no off-premise advertising. One of these DCZs was on a freeway (see figure 7) and the other was on an arterial (see figure 8).

DCZ	Advertising Type	Copy Dimensions (ft)	Side of Road	Setback from Road (ft)	Other Standard Billboards	Approach Length (ft)	Type of Roadway
1	CONTROL	N/A	N/A	N/A	N/A	786	Freeway
6	CONTROL	N/A	N/A	N/A	N/A	308	Arterial
3	CEVMS	10'6" x 22'9"	L	12	0	375	Arterial
5	CEVMS	14'0" x 48'0"	L	133	1	853	Freeway
9	CEVMS	10'6" x 22'9"	R	43	0	537	Arterial
10	CEVMS	14'0" x 48'0"	R	133	1	991	Freeway
2	Standard	14'0" x 48'0"	L	20	0	644	Arterial
7	Standard	14'0" x 48'0"	R	35	1	774	Freeway
8	Standard	10'6" x 22'9"	R	40	1	833	Arterial
4	Standard	14'0" x 48'0"	L	10	0	770	Freeway

Table 2. Inventory of target billboards with relevant parameters.

\*N/A indicates that there were no off-premise advertising in these areas and these values are undefined.



Figure 3. DCZ with a target CEVMS on a freeway.



Figure 4. DCZ with a target CEVMS on an arterial.



Figure 5. DCZ with a target standard billboard on a freeway.



Figure 6. DCZ with a target standard billboard on an arterial.



Figure 7. DCZ for the control condition on a freeway.



Figure 8. DCZ for the control condition on an arterial.

## **Photometric Measurement of Signs**

Two primary metrics were used to describe the photometric characteristics of a sample of the CEVMS and standard billboards present at each location: luminance  $(cd/m^2)$  and contrast (Weber contrast ratio).

## Photometric Equipment

Luminance was measured with a Radiant Imaging ProMetric 1600 Charge-Coupled Device (CCD) photometer with both a 50 mm and a 300 mm lenses. The CCD photometer provided a method of capturing the luminance of an entire scene at one time.

The photometric sensors were mounted in a vehicle of similar size to the eye tracking research vehicle. The photometer was located in the experimental vehicle as close to the driver's position as possible and was connected to a laptop computer that stored data as the images were acquired.

## Measurement Methodology

Images of the billboards were acquired using the photometer manufacturer's software. The software provided the mean luminance of each billboard message. To prevent overexposure of

images in daylight, neutral density filters were manually affixed to the photometer lens and the luminance values were scaled appropriately. Standard billboards were typically measured only once; however, for CEVMS multiple measures were taken to account for changing content.

Photometric measurements were taken during day and night. Measurements were taken by centering the billboard in the photometer's field of view with approximately the equivalent of the width of the billboard on each side and the equivalent of the billboard height above and below the sign. The areas outside of the billboards were included to enable contrast calculations.

Standard billboards were assessed at a mean distance of 284 ft (ranging from 570 ft to 43 ft). The CEVMS were assessed at a mean distance of 479 ft (ranging from 972 ft to 220 ft). To include the background regions of appropriate size, the close measurement distances required the use of the 50 mm lens whereas measurements made from longer distances required the 300 mm lens. A significant determinant of the measurement locations was the availability of accessible and safe places from which to measure.

The Weber contrast ratio was used because it characterizes a billboard as having negative or positive contrast when compared to its background area.<sup>(31)</sup> A negative contrast indicates the background areas have a higher mean luminance than the target billboard. A positive contrast indicates the target billboard has a higher mean luminance than the background. Overall, the absolute value of a contrast ratio simply indicates a difference in luminance between an item and its background. From a perceptual perspective luminance and contrast are directly related to the perception of brightness. For example, two signs with equal luminance may be perceived differently with respect to brightness because of differences in contrast.

## **Visual Complexity**

Regan, Young, Lee and Gordon presented a taxonomic description of the various sources of driver distraction.<sup>(32)</sup> Potential sources of distraction were discussed in terms of: things brought into the vehicle; vehicle systems; vehicle occupants; moving objects or animals in the vehicle; internalized activity; and external objects, events, or activities. The external objects may include buildings, construction zones, billboards, road signs, vehicles, and so on. Focusing on the potential for information outside the vehicle to attract (or distract) the driver's attention, Horberry and Edquist developed a taxonomy for out-of-the-vehicle visual information. This suggested taxonomy includes four groupings of visual information: built roadway, situational entities, natural environment, and built environment.<sup>(33)</sup> These two taxonomies provide an organizational structure for conducting research; however, they do not currently provide a systematic or quantitative way of classifying the level of clutter or visual complexity present in a visual scene.

The method proposed by Rozenholtz, Li, and Nakano provides quantitative and perhaps reliable measures of visual clutter.<sup>(34)</sup> Their approach measures the feature congestion in a visual image. The implementation of the feature congestion measure involves four stages: (1) compute local feature covariance at multiple scales and compute the volume of the local covariance ellipsoid, (2) combine clutter across scale, (3) combine clutter across feature types, and (4) pool over space to get a single measure of clutter for each input image. The implementation that was used employed color, orientation and luminance contrast as features. Presumably, less cluttered

images can be visually coded more efficiently than cluttered images. For example, visual clutter can cause decreased recognition performance and greater difficulty in performing visual search.<sup>(35)</sup>

## **Participants**

In the present study participants were recruited at public libraries in the Reading area. A table was set up so that recruiters could discuss the requirements of the experiment with candidates. Individuals who expressed interest in participating were asked to complete a pre-screening form, a record of informed consent, and a department of motor vehicles form consenting to release of their driving record.

All participants were between 18 and 64 years of age and held a valid driver's license. The driving record for each volunteer was evaluated to eliminate drivers with excessive violations. The criteria for excluding drivers were as follows: (a) more than one violation in the preceding year; (b) more than three recorded violations; and (c) any driving while intoxicated violation.

Forty-three individuals were recruited to participate. Of these, five did not complete the drive because the eye tracker could not be calibrated to track their eye movements accurately. Data from an additional seven participants were excluded as the result of equipment failures (e.g., loose camera). In the end, usable data was collected from 31 participants (12 males, M = 46 years; 19 females, M = 47 years). Fourteen participants drove at night and 17 drove during the day.

# Procedures

Data were collected from two participants per day (beginning at approximately 12:45 p.m. and 7:00 p.m.). Data collection began on September 18, 2009, and was completed on October 26, 2009.

# **Pre-Data Collection Activities**

Participants were greeted by two researchers and asked to complete a fitness to drive questionnaire. This questionnaire focused on drivers' self-reports of alertness and use of substances that might impair driving (e.g., alcohol). All volunteers appeared fit.

Next, the participant and both researchers moved to the eye tracking calibration location and the test vehicle. The calibration procedure took approximately 20 minutes. Calibration of the eye tracking system entailed development of a profile for each participant. This was accomplished by taking multiple photographs of the participant's face as they slowly rotate their head from side to side. The saved photographs include points on the face for subsequent real-time head and eye tracking. Marked coordinates on the face photographs were edited by the experimenter as needed to improve the real-time face tracking. The procedure also included gaze calibration in which participants gazed at nine points on a wall. These points had been carefully plotted on the wall and correspond to the points in the eye tracking system's world model. Gaze calibration relates the individual participant's gaze vectors to known points in the real world. The eye tracking system uses two pulsating infrared sources mounted on the dashboard to create two corneal glints that are used to calculate gaze direction vectors. The glints were captured at 60 Hz. A second set

of cameras (scene cameras), fixed on top of the car close to the driver's viewpoint, were used to produce a video scene of the area ahead. The scene cameras recorded at 25 Hz. A parallax correction algorithm compensated for the distance between the driver's viewpoint and the scene cameras so that later processing could use the gaze vectors to show where in the forward scene the driver was gazing.

If it was not possible to calibrate the eye tracking system to a participant, the participant was dismissed and paid for their time. Causes of calibration failure included reflections from eye glasses, participant height (which put their eyes outside the range of the system), and eyelids that obscure a portion of the pupil.

## Practice

After eye-tracker calibration, a short practice drive was made. Participants were shown a map of the route and written turn-by-turn directions prior to beginning the practice drive. Throughout the drive, verbal directions were provided by a GPS device.

During the practice drive, a researcher in the rear seat of the vehicle monitored the accuracy of eye tracking. If the system was tracking poorly, additional calibration was performed. If the calibration could not be improved, the participant was paid for their time and dismissed.

# Data Collection

Participants drove two test routes (referred to as route A and B). Each route required 25 to 30 minutes to complete and included both freeway and arterial segments. Route A was 13 miles long and contained 6 DCZs. Route B was 16 miles long and contained 4 DCZs. Combined, participants drove in a total of 10 DCZs. Similar to the practice drive, participants were shown a map of the route and written turn-by-turn directions. A GPS device provided turn-by-turn guidance during the drive. Roughly one half of the participants drove route A first and the remaining participants began with route B. A 5 minute break followed the completion of the first route.

During the drives, a researcher in the front passenger seat assisted the driver when additional route guidance was required. The researcher was also tasked with recording near misses and driver errors if these occurred. The researcher in the rear seat monitored the performance of the eye tracker. If the eye tracker performance became unacceptable (i.e., loss of calibration), then the researcher in the rear asked the participant to park in a safe location so that the eye tracker could be recalibrated. This recalibration typically took a minute or two to accomplish.

# Debriefing

After driving both routes, the participants provided comments regarding their drives. The comments were in reference to the use of a navigation system. No questions were asked about billboards. The participants were given \$120.00 in cash for their participation.

# **DATA REDUCTION**

# **Eye Tracking Measures**

The Multiple-Analysis of Psychophysical and Performance Signals (MAPPS<sup>TM</sup>) software was used to reduce the eye tracking data.<sup>(36)</sup> The software integrates the video output from the scene cameras with the output from the eye tracking software (e.g., gaze vectors). The analysis software provides an interface in which the gaze vectors determined by the eye tracker can be related to areas or objects in the scene camera view of the world. Analysts can indicate regions of interest (ROIs) in the scene camera views and the analysis software then assigns gaze vectors to the ROIs.

Figure 9 shows a screen capture from the analysis software in which static ROIs have been identified. These static ROIs slice up the scene camera views into six areas. The software also allows for the construction of dynamic ROIs. These are ROIs that move in the video because of own-vehicle movement (e.g., a sign changes position on the display as it is approached by the driver) or because the object moves over time independent of own-vehicle movement (e.g., pedestrian walking along the road, vehicle entering or exiting the road).

Static ROIs need only be entered once for the scenario being analyzed whereas dynamic ROIs need to be entered several times for a given DCZ depending on how the object moves along the video scene; however, not every frame needs to be coded with a dynamic ROI since the software interpolates across frames using the 60-Hz data to compute eye movement statistics.



Figure 9. Screen capture showing static ROIs on a scene video output.

The following ROIs were defined with the analysis software:

# Static ROIs

These ROIs were entered once into the software for each participant. The static ROIs for the windshield were divided into top and bottom to have more resolution during the coding process. The subsequent analyses in the report combines the top and bottom portion of these ROIs since it appeared that this additional level of resolution was not needed in order to address research questions:

• Road ahead: bottom portion (approximately 2/3) of the area of the forward roadway (center camera).

- Road ahead top: top portion (approximately 1/3) of the area of the forward roadway (center camera).
- Right side of road bottom: bottom portion (approximately 2/3) of the area to the right of the forward roadway (right camera).
- Right side of road top: top portion (approximately 1/3) of the area to the right of the forward roadway (right camera).
- Left side of road bottom (LSR\_B): bottom portion (approximately 2/3) of the area to the left of the forward roadway (left camera).
- Left side of road bottom (LSR\_T): top portion (approximately 1/3) of the area to the left of the forward roadway (left camera).
- Inside vehicle: below the panoramic video scene (outside of the view of the cameras, but eye tracking is still possible).
- Top: above the panoramic video scene (outside of the view of the cameras, but eye tracking is still possible).

# Dynamic ROIs

These ROIs are created multiple times within a DCZ for stimuli that move relative to the driver:

- Driving-related safety risk: vehicle which posed a potential safety risk to the driver, defined as a car that is/may turn into the driver's direction of travel at a non-signalized or non-stop-controlled intersection (e.g., a car making a U-turn, a car waiting to turn right, or a car waiting to turn left). These vehicles were actively turning or entering the roadway or appeared to be in a position to enter the roadway.
- Target standard billboard: target standard billboard that defines the start and end of the DCZ.
- Other standard billboard: standard billboard(s) located in the DCZ, other than the target standard billboard or the target digital billboard.
- CEVMS: target digital billboard that defines the start and end of the DCZ.

The software determines the gaze intersection for each 60 Hz frame and assigns it to an ROI. In subsequent analyses and discussion, gaze intersections are referred to as gazes. Since ROIs may overlap, the software allows for the specification of priority for each ROI such that the ROI with the highest priority gets the gaze vector intersection assigned to it. For example, an ROI for a CEVMS may also be in the static ROI for the road ahead.

The 60 Hz temporal resolution of the eye tracking software does not provide sufficient information to make detailed analysis of saccade characteristics,<sup>1</sup> such as latency or speed. The analysis software uses three parameters in the determination of a fixation: a fixation radius, fixation duration, and a time out. The determination begins with a single-gaze vector intersection. Any subsequent intersection within a specified radius will be considered part of a fixation if the minimum fixation duration criterion is met. The radius parameter used in this study was 2 degrees and the minimum duration was 100 ms. The 2-degree selection was based on the estimated accuracy of the eye tracking system, as recommended by Recarte and Nunes.<sup>(37)</sup> The 100 ms minimum duration is consistent with many other published studies; however, some investigators use minimums of as little as 60 ms.<sup>(37,38)</sup> Because of mini-saccades and noise in the eye tracking system, it is possible to have brief excursions outside the 2 degree window for a fixation. In this study, an excursion time outside the 2-degree radius of less than 90 ms was ignored. Once the gaze intersection fell outside the 2-degree radius of a fixation for more than 90 ms, the process of identifying a fixation began anew.

## **Other Measures**

## Driving Behavior Measures

During data collection, the front-seat researcher observed the driver's behavior and the driving environment. The researcher used the following subjective categories in observing the participant's driving behavior:

- **Driver Error:** signified any error on behalf of the driver in which the researcher felt slightly uncomfortable, but not to a significant degree (e.g., driving on an exit ramp too quickly, turning too quickly).
- Near Miss: signified any event in which the researcher felt uncomfortable due to driver response to external sources (e.g., slamming on brakes, swerving). A near miss is the extreme case of a driver error.
- **Incident:** signified any event in the roadway which may have had a potential impact on the attention of the driver and/or the flow of traffic (e.g., crash, emergency vehicle, animal, construction, train).

These observations were entered into a notebook computer linked to the research vehicle data collection system.

## Level of Service Estimates

For each participant and each DCZ the analyst estimated the level of service of the road as they reviewed the scene camera video. One location per DCZ was selected (approximately halfway through the DCZ) where the number of vehicles in front of the research vehicle was counted. The procedure entailed (1) counting the number of travel lanes visible in the video, (2) using the

<sup>&</sup>lt;sup>1</sup> During visual scanning, the point of gaze alternates between brief pauses (ocular fixations) and rapid shifts (saccades).

skip lines on the road to estimate the approximate distance in front of the vehicle that constituted the analysis zone, and (3) counting the number of vehicles present within the analysis zone. Vehicle density was calculated with the formula:

Vehicle Density = [(Number of Vehicles in Analysis Zone)/(Distance of Analysis Zone in ft/5280)]/Number of Lanes.

Vehicle density is the number of vehicles per mile per lane.

## Vehicle Speed

The speed of the research vehicle was recorded with GPS and a distance measurement instrument. Vehicle speed was used principally to ensure that the eye tracking data was recorded while the vehicle was in motion.

# RESULTS

Results are presented with respect to the photometric measures of signs, the visual complexity of the DCZs, and the eye tracking measures. Photometric measurements were taken and analyzed to characterize the billboards in the study based on their luminance and contrasts, which are related to how bright the signs are perceived to be by drivers.

# **Photometric Measurements**

## Luminance

The mean daytime luminance of both the standard billboards and CEVMS was greater than at night. Nighttime luminance measurements reflect the fact that CEVMS use illuminating LED components while standard billboards are often illuminated from below by metal halide lamps. At night, CEVMS have a greater average luminance than standard billboards. Table 3 presents summary statistics for luminance as a function of time of day for the CEVMS and standard billboards.

# Contrast

The daytime and nighttime Weber contrast ratios for both types of billboards are shown in table 3. Both CEVMS and standard billboards had contrast ratios that were close to zero (the surroundings were about equal in brightness to the signs) during the daytime. On the other hand, at night the CEVMS and standard billboards had positive contrast ratios (the signs were brighter than the surrounding), with the CEVMS having higher contrast than the standard billboards.

	Luminance (cd/m <sup>2</sup> )		Contrast	
Day	Mean	St. Dev.	Mean	St .Dev.
CEVMS	2126	798.81	-0.10	0.54
Standard Billboard	2993	2787.22	-0.27	0.84
Night				
CEVMS	56.00	23.16	73.72	56.92
Standard Billboard	17.80	17.11	36.01	30.93

Table 3. Summary of luminance  $(cd/m^2)$  and contrast (Weber ratio) measurements.

#### **Visual Complexity**

The DCZs were characterized by their overall visual complexity or clutter. For each DCZ, five pictures were taken from the driver's viewpoint at various locations within the DCZ. In Reading, the pictures were taken from 2:00 p.m. to 4:00 p.m. In Richmond, one route was photographed from 11:00 a.m. to noon and the other from 2:30 p.m. to 3:30 p.m. The pictures were taken at the start of the DCZ, quarter of the way through, half of the way through, three quarters of the way through, and at the end of the DCZ. The photographs were analyzed with MATLAB® routines that computed a measure of feature congestion for each image. Figure 10 shows the mean feature congestion measures for each of the DCZ environments. The arterial control condition was shown to have the highest level of clutter as measured by feature congestion. An analysis of variance was performed on the feature congestion; F(3,36) = 1.25, p > 0.05. Based on the feature congestion measure, the results indicate that the four conditions with off-premise advertising were equated with respect to the overall visual complexity of the driving scenes.



Figure 10. Mean feature congestion as a function of advertising condition and road type (standard errors for the mean are included in the graph).

#### Effects of Billboards on Gazes to the Road Ahead

For each 60 Hz frame, a determination was made as to the direction of the gaze vector. Previous research has shown that gazes do not need to be separated into saccades and fixations before calculating such measures as percent of time or the probability of looking to the road ahead.<sup>(39)</sup> This analysis examines the degree to which drivers gaze toward the road ahead across the different advertising conditions as a function of road type and time of day. Gazing toward the road ahead is critical for driving, and so the analysis examines the degree to which gazes toward this area are affected by the independent variables (advertising type, type of road, and time of day) and their interactions.

Generalized estimating equations (GEE) were used to analyze the probability of a participant gazing at driving-related information.<sup>(40,41)</sup> The data for these analyses were not normally distributed and included repeated measures. The GEE model is appropriate for these types of data and analyses. Note that for all results included in this report, Wald statistics were the chosen alternative to likelihood ratio statistics because GEE uses quasi-likelihood instead of maximum likelihood.<sup>(42)</sup> For this analysis, road ahead included the following ROIs (as previously described and displayed in figure 9): road ahead, road ahead top, and driving-related risks. A logistic regression model for repeated measures was generated by using a binomial response distribution and Logit (i.e., log odds) link function. Only two possible outcomes are allowed when selecting a binomial response distribution. Thus, a variable (RoadAhead) was created to classify a participant's gaze behavior. If the participant gazed toward the road ahead, road ahead top, or driving-related risks, then the value of RoadAhead was set to one. If the participant gazed at any other object in the panoramic scene, then the value of RoadAhead was set to zero. Logistic regression typically models the probability of a success. In the current analysis, a success would be a gaze to road ahead information (RoadAhead = 1) and a failure would be a gaze toward nonroad ahead information (RoadAhead = 0). The resultant value was the probability of a participant gazing at road-ahead information.

Time of day (day or night), road type (freeway or arterial), advertising condition (CEVMS, standard billboard, or control), and all corresponding second-order interactions were explanatory variables in the logistic regression model. The interaction of advertising condition by road type was statistically significant,  $\chi^2(2) = 6.3$ , p = 0.043. Table 4 shows the corresponding probabilities for gazing at the road ahead as a function of advertising condition and road type.

una roua type.				
Arterial	Freeway			
0.92	0.86			
0.82	0.73			
0.80	0.77			
	Arterial           0.92           0.82           0.80			

Table 4. The probability of gazing at the road ahead as a function of advertising cond	lition
and road type.	

Follow-up analyses for the interaction used Tukey-Kramer adjustments with an alpha level of 0.05. The arterial control condition had the greatest probability of looking at the road ahead (M = 0.92). This probability differed significantly from the remaining five probabilities. On

arterials, the probability of gazing at the road ahead did not differ between the CEVMS (M = 0.82) and the standard billboard (M = 0.80) DCZs. In contrast, there was a significant difference in this probability on freeways, where standard billboard DCZs yielded a higher probability (M = 0.77) than CEVMS DCZs (M = 0.73). The probability of gazing at the road ahead was also significantly higher in the freeway control DCZ (M = 0.86) than in either of the corresponding freeway off-premise advertising DCZs. The probability of gazing at road-ahead information in arterial CEVMS DCZs was not statistically different from the same probability in the freeway control DCZ.

Additional descriptive statistics were computed to determine the probability of gazing at the various ROIs that were defined in the panoramic scene. Some of the ROIs depicted in figure 9 were combined in the following fashion for ease of analysis:

- Road ahead, road ahead top, and driving-related risks combined to form *road ahead*.
- Left side of road bottom and left side of road top combined to form *left side of vehicle*.
- Right side of road bottom and right side of road top combined to form *right side of vehicle*.
- Inside vehicle and top combined to form *participant vehicle*.

Table 5 presents the probability of gazing at the different ROIs.

# Table 5. Probability of gazing at ROIs for the three advertising conditions on arterials and freeways.

Road Type	ROI	CEVMS	Standard Billboard	Control
Arterial	CEVMS	0.07	N/A	N/A
	Left Side of Vehicle	0.06	0.06	0.02
	Road ahead	0.82	0.80	0.92
	Right Side of Vehicle	0.03	0.06	0.04
	Standard Billboard	N/A	0.03	N/A
	Participant Vehicle	0.03	0.05	0.02
Freeway	CEVMS	0.05	N/A	N/A
	Left Side of Vehicle	0.08	0.07	0.04
	Road ahead	0.73	0.77	0.86
	Right Side of Vehicle	0.09	0.02	0.05
	Standard Billboard	0.02*	0.09	N/A
	Participant Vehicle	0.04	0.05	0.05
		1		

\* The CEVMS DCZs on freeways each contained one visible standard billboard.

The probability of gazing away from the forward roadway ranged from 0.08 to 0.27. In particular, the probability of gazing toward a CEVMS was greater on arterials (M = 0.07) than on freeways (M = 0.05). In contrast, the probability of gazing toward a target standard billboard was greater on freeways (M = 0.09) than on arterials (M = 0.03).

#### **Fixations to CEVMS and Standard Billboards**

About 2.4 percent of the fixations were to CEVMS. The mean fixation duration to a CEVMS was 388 ms and the maximum duration was 1,251 ms. Figure 11 shows the distribution of fixation durations to CEVMS during the day and night. In the daytime, the mean fixation duration to a CEVMS was 389 ms and at night it was 387 ms. Figure 12 shows the distribution of fixation durations to standard billboards. Approximately 2.4 percent of fixations were to standard billboards. The mean fixation duration to standard billboards was 341 ms during the daytime and 370 ms at night. The maximum fixation duration to standard billboards was 1,284 ms (which occurred at night). For comparison purposes, figure 13 shows the distribution of fixation durations to the road ahead (i.e., top and bottom road ahead ROIs) during the day and night. In the daytime, the mean fixation duration to the road ahead was 365 ms and at night it was 390 ms.



Figure 11. Distribution of fixation duration for CEVMS in the daytime and nighttime.



Figure 12. Distribution of fixation duration for standard billboards in the daytime and nighttime.



Figure 13. Distribution of fixation duration for road ahead (i.e., top and bottom road ahead ROIs) in the daytime and nighttime.

Dwell times on CEVMS and standard billboards were also examined. Dwell time is the duration of back-to-back fixations to the same ROI.<sup>(43,44)</sup> The dwell times represent the cumulative time for the back-to-back fixations. Whereas there may be no long, single fixation to a billboard, there might still be multiple fixations that yield long dwell times. There were a total of 25 separate instances of multiple fixations to CEVMS with a mean of 2.4 fixations (minimum of 2 and maximum of 5). The 25 dwell times came from 15 different participants distributed across four different CEVMS. The mean duration of these dwell times was 994 ms (minimum of 418 ms and maximum of 1,467 ms).

For standard billboards, there were a total of 17 separate dwell times with a mean of 3.47 sequential fixations (minimum of 2 fixations and maximum of 8 fixations). The 17 dwell times came from 11 different participants distributed across 4 different standard billboards. The mean duration of these multiple fixations was 1,172 ms (minimum of 418 ms and maximum of 3,319 ms). There were three dwell-time durations that were greater than 2,000 ms. These are described in more detail below.

In some cases several dwell times came from the same participant. In order to compute a statistic on the difference between dwell times for CEVMS and standard billboards, average dwell times were computed per participant for the CEVMS and standard billboard conditions. These average values were used in a t-test assuming unequal variances. The difference in average dwell time between CEVMS (M = 981 ms) and standard billboards (M= 1,386 ms) was not statistically significant, t(12) = -1.40, p > .05.

Figure 14 through figure 23 show heat maps for the dwell-time durations to the standard billboards that were greater than 2,000 ms. These heat maps are snapshots from the DCZ and attempt to convey in two dimensions the pattern of gazes that took place in a three dimensional world. The heat maps are set to look back approximately one to two seconds and integrate over time where the participant was gazing in the scene camera video. The green color in the heat map indicates the concentration of gaze over the past one to two seconds. The blue line indicates the gaze trail over the past one to two seconds.

Figure 14 through figure 16 are for a DCZ on an arterial at night. The standard billboard was on the right side of the road (indicated by a pink rectangle). There were eight fixations to this billboard, and the single fixations were between 200 to 384 ms in duration. The dwell time for this billboard was 2,019 ms. At the start of the DCZ (see figure 14), the driver was directing his/her gaze to the forward roadway. Approaching the standard billboard, the driver began to fixate on the billboard. However, the billboard was still relatively close to the road ahead ROI.



Figure 14. Heat map for the start of a DCZ for a standard billboard at night on an arterial.



Figure 15. Heat map for the middle of a DCZ for a standard billboard at night on an arterial.



Figure 16. Heat map near the end of a DCZ for a standard billboard at night on an arterial.

Figure 17 through figure 19 are for a DCZ on a freeway at night. The standard billboard was on the right side of the road (indicated by a green rectangle). There were six consecutive fixations to this billboard, and the single fixations were between 200 and 801 ms in duration. The dwell time for this billboard was 2,753 ms. At the start of the DCZ (see figure 17), the driver was directing his/her gaze to a freeway guide sign in the road ahead and the standard billboard was to the left of the freeway guide sign. As the driver approached the standard billboard, his/her gaze was directed toward the billboard. The billboard was relatively close to the top and bottom road ahead ROIs. Near the end of the DCZ (see figure 19), the billboard was accurately portrayed as being on the right side of the road.



Figure 17. Heat map for start of a DCZ for a standard billboard at night on a freeway.



Figure 18. Heat map for middle of a DCZ for a standard billboard at night on a freeway.



Figure 19. Heat map near the end of a DCZ for a standard billboard at night on a freeway.

Figure 20 through figure 23 are for a DCZ on a freeway during the day. The standard billboard was on the right side of the road (indicated by a pink rectangle). This is the same DCZ that was discussed in figure 17 through figure 19. There were six consecutive fixations to this billboard, and the single fixations were between 217 and 767 ms in duration. The dwell time for this billboard was 3,319 ms. At the start of the DCZ (see figure 20), the driver was principally directing his/her gaze to the road ahead. Figure 21 and figure 22 show the location along the DCZ where gaze was directed toward the standard billboard. The billboard was relatively close to the top and bottom road-ahead ROIs. As the driver passed the standard billboard, his/her gaze returned to the road ahead (see figure 23).



Figure 20. Heat map for the start of a DCZ for a standard billboard in the daytime on a freeway.



Figure 21. Heat map near the middle of a DCZ for a standard billboard in the daytime on a freeway.



Figure 22. Heat map near the end of DCZ for standard billboard in the daytime on a freeway.



Figure 23. Heat map at the end of DCZ for standard billboard in the daytime on a freeway.

## **Comparison of Gazes to CEVMS and Standard Billboards**

The GEE were used to analyze whether a participant gazed more toward CEVMS than toward standard billboards, given that the participant was gazing at off-premise advertising. With this analysis method, a logistic regression model for repeated measures was generated by using a binomial response distribution and Logit link function. First, the data was partitioned to include only those instances when a participant was gazing toward off-premise advertising (either to a CEVMS or to a standard billboard); all other gaze behavior was excluded from the input data set. Only two possible outcomes are allowed when selecting a binomial response distribution. Thus, a variable (SBB\_CEVMS) was created to classify a participant's gaze behavior. If the participant gazed toward a CEVMS, the value of SBB\_CEVMS was set to one. If the participant gazed toward a standard billboard, then the value of SBB\_CEVMS was set to zero.

Logistic regression typically models the probability of a success. In the current analysis, a success would be a gaze to a CEVMS (SBB\_CEVMS = 1) and a failure would be a gaze to a standard billboard (SBB\_CEVMS = 0).<sup>2</sup> A success probability greater than 0.5 indicates there were more successes than failures in the sample. Therefore, if the sample probability of the response variable (i.e., SBB\_CEVMS) was greater than 0.5, this would show that participants gazed more toward CEVMS than toward standard billboards when the participants gazed at off-premise advertising. In contrast, if the sample probability of the response variable was less than 0.5, then participants showed a preference to gaze more toward standard billboards than toward CEVMS when directing gazes to off-premise advertising.

Time of day (i.e., day or night), road type (i.e., freeway or arterial), and the corresponding interaction were explanatory variables in the logistic regression model. Road type was the only predictor to have a significant effect,  $\chi^2(1) = 13.17$ , p < 0.001. On arterials, participants gazed more toward CEVMS than toward standard billboards (M = 0.63). In contrast, participants gazed more toward standard billboards than toward CEVMS when driving on freeways (M = 0.33).

## **Observation of Driver Behavior**

No near misses or driver errors were observed in Reading.

# Level of Service

The mean vehicle densities were converted to level of service as shown in table  $6^{(45)}$  As expected, less congestion occurred at night than in the day. In general, there was traffic during the data collection runs. Review of the scene camera data verified that all eye tracking data within the DCZs were recorded while the vehicle was in motion.

<sup>&</sup>lt;sup>2</sup> Success and failure are not used to reflect the merits of either type of sign, but only for statistical purposes.

	Art	erial	Freeway		
	Day	Night	Day	Night	
Control	В	А	С	В	
CEVMS	С	А	В	А	
Standard	А	А	В	А	

Table 6. Level of service as a function of advertising type, road type, and time of day.

## DISCUSSION OF READING RESULTS

Overall the probability of gazing at the road ahead was high and similar in magnitude to what has been found in other field studies addressing billboards.<sup>(11,9,12)</sup> For the DCZs on freeways, CEVMS showed a lower proportion of gazes to the road ahead than the standard billboard condition, and both off-premise advertising conditions had lower probability of gazes to the road ahead than the control. On the other hand, on the arterials, the CEVMS and standard billboard conditions did not differ from each other but were significantly different from their respective control condition. Though the CEVMS condition on the freeway had the lowest proportion of gazes to the road ahead, in this condition there was a lower proportion of gazes to CEVMS as compared to the arterials (see table 5 for the trade-off of gazes to the road, and participant vehicle) contributed to the decrease in proportion of gazes to the road ahead. Also, for the CEVMS on freeways, there were a few gazes to a standard billboard located in the same DCZ and there were more gazes distributed to the left and right side of the road than in standard billboard and control conditions. The gazes to ROIs other than CEVMS contributed to the lower probability of gazes to the lower probability of gazes to the road ahead in this condition.

The control condition on the arterial had buildings along the sides of the road and generally presented a visually cluttered area. As was presented earlier, the feature congestion measure computed on a series of photographs from each DCZ showed a significantly higher feature congestion score for the control condition on arterials as compared to all of the other DCZs. Nevertheless, the highest probability for gazing at the road ahead was seen in the control condition on the arterial.

The area with the highest feature congestion, especially on the sides of the road, had the highest probability for drivers looking at the road ahead. Bottom-up or stimulus driven measures of salience or visual clutter have been useful in predicting visual search and the effects of visual salience in laboratory tasks.<sup>(34,46)</sup> These measures of salience basically consider the stimulus characteristics (e.g., size, color, brightness) independent of the requirements of the task or plans that an individual may have. Models of visual salience may predict that buildings and other prominent features on the side of the road may be visually salient objects and thus would attract a driver's attention.<sup>(47)</sup> Figure 24 shows an example of a roadway photograph that was analyzed with the Salience Toolbox based on the Itti et al. implementation of a saliency based model of bottom-up attention.<sup>(48,49)</sup> The numbered circles in figure 24 are the first through fifth salient areas selected by the software. Based on this software, the most salient areas in the photographs are the buildings on the sides of the road where the road ahead (and a car) is the fifth selected salient area.



Figure 24. Example of identified salient areas in a road scene based on bottom-up analysis.

It appears that in the present study participants principally kept their eyes on the road even in the presence of visual clutter on the sides of the road, which supports the hypothesis that drivers tend to look toward information relevant to the task at hand.<sup>(50,26,22)</sup> In the case of the driving task, visual clutter may be more of an issue with respect to crowding that may affect the driver's ability to detect visual information in the periphery.<sup>(51)</sup> Crowding is generally defined as the negative effect of nearby objects or features on visual discrimination of a target.<sup>(52)</sup> Crowding impairs the ability to recognize objects in clutter and principally affects perception in peripheral vision. However, crowing effects were not analyzed in the present study.

Stimulus salience, clutter, and the nature of the task at hand interact in visual perception. For tasks such as driving, the task demands tend to outweigh stimulus salience when it comes to gaze control. Clutter may be more of an issue with the detection and recognition of objects in peripheral vision (e.g., detecting a sign on the side of the road) that are surrounded by other stimuli that result in a crowding effect.

The mean fixation durations to CEVMS, standard billboards, and the road ahead were found to be very similar. Also, there were no long fixations (greater than 2,000 ms) to CEVMS or standard billboards. The examination of multiple sequential fixations to CEVMS yielded average dwell times that were less than 1,000 ms. However, when examining the tails of the distribution, there were three dwell times to standard billboards that were in excess of 2,000 ms (the three dwell times came from three different participants to two different billboards). These three standard billboards were dwelled upon when they were near the road ahead area but drivers quit gazing at the signs as they neared them and the signs were no longer near the forward field of view. Though there were three dwell times for standard billboards greater than 2,000 ms, the difference in average dwell times for CEVMS and standard billboards was not significant.

Using a gaze duration of 2,000 ms away from the road ahead as a criterion indicative of increased risk has been developed principally as it relates to looking inside the vehicle to invehicle information systems and other devices (e.g., for texting) where the driver is indeed looking completely away from the road ahead.<sup>(14,53,54)</sup> The fixations to the standard billboards in the present case showed a long dwell time for a billboard. However, unlike gazing or fixating inside the vehicle, the driver's gaze was within the forward roadway where peripheral vision could be used to monitor for hazards and for vehicle control. Peripheral vision has been shown to be important for lane keeping, visual search orienting, and monitoring of surrounding objects.<sup>(55,56)</sup>
The results showed that drivers were more likely to gaze at CEVMS on arterials and at standard billboards on freeways. Though every attempt was made to select CEVMS and standard billboard DCZs that were equated on important parameters (e.g., which side of the road the sign was located on, type of road, level of visual clutter), the CEVMS DCZs on freeways had a greater setback from the road (133 ft for both CEVMS) than the standard billboards (10 and 35 ft). Signs with greater setback from the road would in a sense move out of the forward view (road ahead) more quickly than signs that are closer to the road. The CEVMS and standard billboards on the arterials were more closely matched with respect to setback from the road (12 and 43 ft for CEVMS and 20 and 40 ft for standard billboards).

The differences in setback from the road for CEVMS and standard billboards may also account for differences in dwell times to these two types of billboards. However, on arterials where the CEVMS and standard billboards were more closely matched there was only one long dwell time (greater than 2,000 ms) and it was to a standard billboard at night.

### RICHMOND

The objectives of the second study were the same as those in the first study, and the design of the Richmond data collection effort was very similar to that employed in Reading. This study was conducted to replicate as closely as possible the design of Reading in a different driving environment. The independent variables included the type of DCZ (CEVMS, standard billboard, or no off-premise advertising), time of day (day or night) and road type (freeway or arterial). As with Reading, the time of day was a between-subjects variable and the other variables were within subjects.

### **METHOD**

### **Selection of DCZ Limits**

Selection of the DCZ limits procedure was the same as that employed in Reading.

### **Advertising Type**

Three DCZ types (similar to those used in Reading) were used in Richmond:

- **CEVMS.** DCZs contained one target CEVMS.
- **Standard billboard.** DCZs contained one target standard billboard.
- Control conditions. DCZs did not contain any off-premise advertising.

There were an equal number of CEVMS and standard billboard DCZs on freeways and arterials. Also, there two DCZ that did not contain off-premise advertising with one located on a freeway and the other on an arterial.

Table 7 is an inventory of the target employed in this second study.

 Table 7. Inventory of target billboards in Richmond with relevant parameters.

DCZ	Advertising Type	Copy Dimensions (ft)	Side of Road	Setback from Road (ft)	Other Standard Billboards	Approach Length (ft)	Roadway Type
5	CONTROL	N/A	N/A	N/A	N/A	710	Arterial
3	CONTROL	N/A	N/A	N/A	N/A	845	Freeway
9	CEVMS	14'0" x 28'0"	L	37	0	696	Arterial
13	CEVMS	14'0" x 28'0"	R	37	0	602	Arterial
2	CEVMS	12'5" x 40'0"	R	91	0	297	Freeway
8	CEVMS	11'0 x 23'0"	L	71	0	321	Freeway
10	Standard	14'0" x 48'0"	L	79	1	857	Arterial
12	Standard	10'6" x 45'3"	R	79	2	651	Arterial
1	Standard	14'0" x 48'0"	L	87	0	997	Freeway
7	Standard	14'0" x 48'0"	R	88	0	816	Freeway

\* N/A indicates that there were no off-premise advertising in these areas and these values are undefined.

Figure 25 through figure 30 below represent various pairings of DCZ type and road type. Target off-premise billboards are indicated by red rectangles.



Figure 25. Example of a CEVMS DCZ on a freeway.



Figure 26. Example of CEVMS DCZ an arterial.



Figure 27. Example of a standard billboard DCZ on a freeway.



Figure 28. Example of a standard billboard DCZ on an arterial.



Figure 29. Example of a control DCZ on a freeway.



Figure 30. Example of a control DCZ on an arterial.

### **Photometric Measurement of Signs**

The methods and procedures for the photometric measures were the same as for Reading.

### **Visual Complexity**

The methods and procedures for visual complexity measurement were the same as for Reading.

### **Participants**

A total of 41 participants were recruited for the study. Of these, 6 participants did not complete data collection because of an inability to properly calibrate with the eye tracking system, and 11 were excluded because of equipment failures. A total of 24 participants (13 male, M = 28 years; 11 female, M = 25 years) successfully completed the drive. Fourteen people participated during the day and 10 participated at night.

### Procedures

Research participants were recruited locally by means of visits to public libraries, student unions, community centers, etc. A large number of the participants were recruited from a nearby university, resulting in a lower mean participant age than in Reading.

### Participant Testing

Two people participated each day. One person participated during the day beginning at approximately 12:45 p.m. The second participated at night beginning at around 7:00 p.m. Data collection ran from November 20, 2009, through April 23, 2010. There were several long gaps in the data collection schedule due to holidays and inclement weather.

### **Pre-Data Collection Activities**

This was the same as in Reading.

### Practice Drive

Except for location, this was the same as in Reading.

### Data Collection

The procedure was much the same as in Reading. On average, each test route required approximately 30 to 35 minutes to complete. As in Reading, the routes included a variety of freeway and arterial driving segments. One route was 15 miles long and contained two target CEVMS, two target standard billboards, and two DCZs with no off-premise advertising. The second route was 20 miles long and had two target CEVMS and two target standard billboards.

The data collection drives in this second study were longer than those in Reading. The eye tracking system had problems dealing with the large files that resulted. To mitigate this technical difficulty, participants were asked to pull over in a safe location during the middle of each data collection drive so that new data files could be initiated.

Upon completion of the data collection, the participant was instructed to return to the designated meeting location for debriefing.

### Debriefing

This was the same as in Reading.

### **DATA REDUCTION**

### **Eye Tracking Measures**

The approach and procedures were the same as used in Reading.

### **Other Measures**

The approach and procedures were the same as used in Reading.

### RESULTS

### **Photometric Measurement of Signs**

The photometric measurements were performed using the same equipment and procedures that were employed in Reading with a few minor changes. Photometric measurements were taken during the day and at night. Measurements of the standard billboards were taken at an average distance of 284 ft, with maximum and minimum distances of 570 ft and 43 ft, respectively. The average distance of measurements for the CEVMS was 479 ft, with maximum and minimum distances of 972 ft and 220 ft, respectively. Again, the distances employed were significantly affected by the requirement to find a safe location on the road from which to take the measurements.

### Luminance

The mean luminance of CEVMS and standard billboards, during daytime and nighttime are shown below in table 8. The results here are similar to those for Reading.

### Contrast

The daytime and nighttime Weber contrast ratios for both types of billboards are shown in table 8. During the day, the contrast ratios of both CEVMS and standard billboards were close to zero (the surroundings were about equal in brightness to the signs). At night, the CEVMS and standard billboards had positive contrast ratios. Similar to Reading, the CEVMS showed a higher contrast ratio than the standard billboards at night.

	Luminance (cd/m <sup>2</sup> )		Contrast	
Day	Mean	St. Dev.	Mean	St. Dev.
CEVMS	2134	798.70	-0.20	0.53
Standard Billboard	3063	2730.92	0.03	0.32
Night				
CEVMS	56.44	16.61	69.70	59.18
Standard Billboard	8.00	5.10	6.56	3.99

### Table 8. Summary of luminance (cd/m<sup>2</sup>) and contrast (Weber ratio) measurements.

### **Visual Complexity**

As with Reading, the feature congestion measure was used to estimate the level of visual complexity/clutter in the DCZs. The analysis procedures were the same as for Reading.

Figure 31 shows the mean feature congestion measures for each of the advertising types (standard errors are included in the figure). Unlike the results for Reading, the selected offpremise advertising DCZs for Richmond differed in terms of mean feature congestion; F(3, 36) = 3.95, p = 0.016. Follow up t-tests with an alpha of 0.05 showed that the CEVMS DCZs on arterials had significantly lower feature congestion than all of the other off-premise advertising conditions. None of the remaining DCZs with off-premise advertising differed from each other. The selection of DCZs for the conditions with off-premise advertising took into account the type of road, the side of the road the target billboard was placed, and the perceived level of visual clutter. Based on the feature congestion measure, these results indicated that the conditions with off-premise advertising were not equated with respect to level of visual clutter.





### Effects of Billboards on Gazes to the Road Ahead

As was done for the data from Reading, GEE were used to analyze the probability of a participant gazing at the road ahead. A logistic regression model for repeated measures was generated by using a binomial response distribution and Logit link function. The resultant value was the probability of a participant gazing at the road ahead (as previously defined).

Time of day (day or night), road type (freeway or arterial), advertising type (CEVMS, standard billboard, or control), and all corresponding second-order interactions were explanatory variables in the logistic regression model. The interaction of advertising type by road type was statistically significant,  $\chi^2$  (2) = 14.19, *p* < 0.001. Table 9 shows the corresponding probability of gazing at the road ahead as a function of advertising condition and road type.

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Arterial	Freeway
0.78	0.92
0.76	0.82
0.81	0.85
	Arterial           0.78           0.76           0.81

Table 9. The probability of gazing at the road ahead as a function of advertising conditionand road type.

Follow-up analyses for the interaction used Tukey-Kramer adjustments with an alpha level of 0.05. The freeway control had the greatest probability of gazing at the road ahead (M = 0.92). This probability differed significantly from the remaining five probabilities. On arterials, there were no significant differences among the probabilities of gazing at the road ahead among the three advertising conditions. On freeways, there was no significant difference between the probability associated with CEVMS DCZs and the probability associated with standard billboard DCZs.

Additional descriptive statistics were computed for the three advertising types to determine the probability of gazing at the ROIs that were defined in the panoramic scene. As was done with the data from Reading, some of the ROIs were combined for ease of analysis. Table 10 presents the probability of gazing at the different ROIs.

Road Type	ROI	CEVMS	Standard Billboard	Control
Arterial	CEVMS	0.06	N/A	N/A
	Left Side of Vehicle	0.03	0.05	0.04
	Road ahead	0.76	0.81	0.78
	Right Side of Vehicle	0.07	0.06	0.09
	Standard Billboard	N/A	0.02	N/A
	Participant Vehicle	0.07	0.06	0.09
Freeway	CEVMS	0.05	N/A	N/A
	Left Side of Vehicle	0.03	0.01	0.01
	Road ahead	0.82	0.85	0.92
	Right Side of Vehicle	0.04	0.04	0.03
	Standard Billboard	N/A	0.04	N/A
	Participant Vehicle	0.06	0.06	0.05

 Table 10. Probability of gazing at ROIs for the three advertising conditions on arterials and freeways.

The probability of gazing away from the forward roadway ranged from 0.08 to 0.24. In particular, the probability of gazing toward a CEVMS was slightly greater on arterials (M = 0.06) than on freeways (M = 0.05). In contrast, the probability of gazing toward a standard billboard was greater on freeways (M = 0.04) than on arterials (M = 0.02). In both situations, the probability of gazing at the road ahead was greatest on freeways.

#### **Fixations to CEVMS and Standard Billboards**

About 2.5 percent of the fixations were to CEVMS. The mean fixation duration to a CEVMS was 371 ms and the maximum fixation duration was 1,335 ms. Figure 32 shows the distribution of fixation durations to CEVMS during the day and at night. In the daytime, the mean fixation duration to a CEVMS was 440 ms and at night it was 333 ms. Approximately 1.5 percent of the fixations were to standard billboards. The mean fixation duration to standard billboards was 318 ms and the maximum fixation duration was 801 ms. Figure 33 shows the distribution of fixation durations for standard billboards. The mean fixation duration to a standard billboard was 313 ms and 325 ms during the day and night, respectively. For comparison purposes, figure 34 shows the distribution of fixation duration to the road ahead during the day and night. In the daytime, the mean fixation duration to the road ahead was 378 ms and at night it was 358 ms.



Figure 32. Fixation duration for CEVMS in the day and at night.



Figure 33. Fixation duration for standard billboards in the day and at night.



Figure 34. Fixation duration for the road ahead in the day and at night.

As was done with the data for Reading, the record of fixations was examined to determine dwell times to CEVMS and standard billboards. There were a total of 21 separate dwell times to CEVMS with a mean of 2.86 sequential fixations (minimum of 2 fixations and maximum of 6 fixations). The 21 dwell times came from 12 different participants and four different CEVMS. The mean dwell time duration to the CEVMS was 1,039 ms (minimum of 500 ms and maximum of 2,720 ms). There was one dwell time greater than 2,000 ms to CEVMS. To the standard billboards there were 13 separate dwell times with a mean of 2.31 sequential fixations (minimum of 2 fixations and maximum of 2 fixations and maximum of 3 fixations). The 13 dwell times came from 11 different participants and four different standard billboards. The mean dwell time duration to the standard billboards was 687 ms (minimum of 450 ms and maximum of 1,152 ms). There were no dwell times greater than 2,000 ms to standard billboards.

In some cases several dwell times came from the same participant. To compute a statistic on the difference between dwell times for CEVMS and standard billboards, average dwell times were computed per participant for the CEVMS and standard billboard conditions. These average values were used in a *t*-test assuming unequal variances. The difference in average dwell time between CEVMS (M = 1,096 ms) and standard billboards (M= 674 ms) was statistically significant, t(14) = 2.23, p = .043.

Figure 35 through figure 37 show heat maps for the dwell-time durations to the CEVMS that were greater than 2,000 ms. The DCZ was on a freeway during the daytime. The CEVMS is located on the left side of the road (indicated by an orange rectangle). There were three fixations to this billboard, and the single fixations were between 651 ms and 1,335 ms. The dwell time for this billboard was 2,270 ms. Figure 35 shows the first fixation toward the CEVMS. There are no vehicles near the participant in his/her respective travel lane or adjacent lanes. In this situation, the billboard is relatively close to the road ahead ROI. Figure 36 shows a heat map later in the DCZ where the driver continues to look at the CEVMS. The heat map does not overlay the CEVMS in the picture since the heat map has integrated over time where the driver was gazing. The CEVMS has moved out of the area because of the vehicle moving down the road. However, visual inspection of the video and eye tracking statistics showed that the driver was fixating on the CEVMS. Figure 37 shows the end of the sequential fixations to the CEVMS. The driver returns to gaze directly in front of the vehicle. Once the CEVMS was out of the forward field of view, the driver quit looking at the billboard.



Figure 35. Heat map for first fixation to CEVMS with long dwell time.



Figure 36. Heat map for later fixations to CEVMS with long dwell time.



Figure 37. Heat map at end of fixations to CEVMS with long dwell time.

### **Comparison of Gazes to CEVMS and Standard Billboards**

As was done for the data from Reading, GEE were used to analyze whether a participant gazed more toward CEVMS than toward standard billboards, given that the participant was looking at off-premise advertising. Recall that a sample probability greater than 0.5 indicated that participants gazed more toward CEVMS than standard billboards when the participants gazed at off-premise advertising. In contrast, if the sample probability was less than 0.5, participants showed a preference to gaze more toward standard billboards than CEVMS when directing visual attention to off-premise advertising.

Time of day (i.e., day or night), road type (i.e., freeway or arterial), and the corresponding interaction were explanatory variables in the logistic regression model. Time of day had a significant effect on participant gazes toward off-premise advertising,  $\chi^2(1) = 4.46$ , p = 0.035. Participants showed a preference to gaze more toward CEVMS than toward standard billboards during both times of day. During the day the preference was only slight (M = 0.52), but at night the preference was more pronounced (M = 0.71). Road type was also a significant predictor of where participants directed their gazes at off-premise advertising,  $\chi^2(1) = 3.96$ , p = 0.047. Participants gazed more toward CEVMS than toward standard billboards while driving on both types of roadways. However, driving on freeways yielded a slight preference for CEVMS over standard billboards (M = 0.55), but driving on arterials resulted in a larger preference in favor of CEVMS (M = 0.68).

### **Observation of Driver Behavior**

No near misses or driver errors occurred.

### Level of Service

Table 11 shows the level of service as a function of advertising type, type of road, and time of day. As expected, there was less congestion during the nighttime runs than in the daytime. In general, there was traffic during the data collection runs; however, the eye tracking data were recorded while the vehicles were in motion.

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	Art	erial	Fre	eway
	Day	Night	Day	Night
Control	В	А	С	В
CEVMS	В	А	В	А
Standard	С	А	С	С

# Table 11. Estimated level of service as a function of advertising condition, road type, and time of day.

### DISCUSSION OF RICHMOND RESULTS

Overall the probability of looking at the forward roadway was high across all conditions and consistent with the findings from Reading and previous related research.<sup>(11,9,12)</sup> In this second study the CEVMS and standard billboard conditions did not differ from each other. For the DCZs on arterials there were no significant differences among the control, CEVMS, and standard billboard conditions. On the other hand, while the CEVMS and standard billboard conditions on the freeways did not differ from each other, they were significantly different from their respective control conditions. The control condition on the freeway principally had trees along the sides of the road and the signs that were present were freeway signs located in the road ahead ROI.

Measures such as feature congestion rated the three DCZs on freeways as not being statistically different from each other. These types of measures have been useful in predicting visual search and the effects of visual salience in laboratory tasks.<sup>(34)</sup> Models of visual salience may predict that, at least during the daytime, trees on the side of the road may be visually salient objects that would attract a driver's attention.<sup>(47)</sup> However, it appears that in the present study, participants principally kept their eyes on the road ahead.

The mean fixations to CEVMS, standard billboards, and the road ahead were found to be similar in magnitude with no long fixations. Examination of dwell times showed that there was one long dwell time for a CEVMS greater than 2,000 ms and it occurred in the daytime on a sign located on the left side of the road on a freeway DCZ. Furthermore, when averaging among participants the mean dwell time for CEVMS was significantly longer than to standard billboards, but still under 2,000 ms. For the dwell time greater than 2,000 ms, examination of the scene camera video and eye tracking heat maps showed that the driver was initially looking toward the forward roadway and made a first fixation to the sign. Three fixations were made to the sign and then the

driver started looking back to the road ahead as the sign moved out of the forward field of view. On the video there were no vehicles near the subject driver's own lane or in adjacent lanes.

Only the central 2 degrees of vision, foveal vision, provide resolution sharp enough for reading or recognizing fine detail.<sup>(57)</sup> However, useful information for reading can be extracted from parafoveal vision, which encompasses the central 10 degrees of vision.<sup>(57)</sup> More recent research on scene gist recognition<sup>3</sup> has shown that peripheral vision (beyond parafoveal vision) is more useful than central vision for recognizing the gist of a scene.<sup>(58)</sup> Scene gist recognition is a critically important early stage of scene perception, and influences more complex cognitive processes such as directing attention within a scene and facilitating object recognition, both of which are important in obtaining information while driving.

The results of this study do show one duration of eyes off the forward roadway greater than 2,000 ms, the duration at which Klauer et al. observed near-crash/crash risk at more than twice those of normal, baseline driving.<sup>(14,53)</sup> When looking at the tails of the fixation distributions, few fixations were greater than 1,000 ms, with the longest fixation being equal to 1,335 ms.<sup>(53,54)</sup> The one long dwell time on a CEVMS that was observed was a rare event in this study, and review of the video and eye tracking data suggests that the driver was effectively managing acquisition of visual information while driving and fixated on the advertising. However, additional work needs to be done to derive criteria for gazing or fixating away from the forward road view where the road scene is still visible in peripheral vision.

The results showed that drivers are more likely to look at CEVMS than standard billboards during the nighttime across the conditions tested (at night the average probability of gazing at CEVMS was M= 0.71). CEVMS do have greater luminance than standard billboards at night and also have higher contrast. The CEVMS have the capability of being lit up so that they would appear as very bright signs to drivers (for example, up to about10,000 cd/m<sup>2</sup> for a white square on the sign.). However, our measurements of these signs showed an average luminance of about 56 cd/m<sup>2</sup>. These signs would be conspicuous in a nighttime driving environment but significantly less so than other light sources such as vehicle headlights. Drivers were also more likely to look at CEVMS than standard billboards on both arterials and freeways, with a higher probability of gazes on arterials.

In this second study, CEVMS and standard billboards were more nearly equated with respect to setback from the road. Gazes to the road ahead were not significantly different between CEVMS and standard billboard DCZs across conditions and the proportion of gazes to the road ahead were consistent with previous research. One long dwell time for a CEVMS was observed in this study; however, it occurred in the daytime where the luminance and contrast (affecting the perceived brightness) of these signs are similar to those for standard billboards.

<sup>&</sup>lt;sup>3</sup> "Scene gist recognition" refers to the element of human cognition that enables us to determine the meaning of a scene and categorize it by type (e.g., a beach, an office) almost immediately upon seeing it.

### **GENERAL DISCUSSION**

This study was conducted to investigate the effect of CEVMS on driver visual behavior in a roadway driving environment. An instrumented vehicle with an eye tracking system was used. Roads containing CEVMS, standard billboards, and control areas with no off-premise advertising were selected. The CEVMS and standard billboards were measured with respect to luminance, location, size, and other relevant variables to characterize these visual stimuli. Unlike previous studies on digital billboards, the present study examined CEVMS as deployed in two United States cities and did not contain dynamic video or other dynamic elements. The CEVMS changed content approximately every 8 to 10 seconds, consistent within the limits provided by FHWA guidance.<sup>(2)</sup> In addition, the eye tracking system used had nearly a 2-degree level of resolution that provided significantly more accuracy in determining what objects the drivers were gazing or fixating on as compared to some previous field studies examining CEVMS.

### CONCLUSIONS

# Do CEVMS attract drivers' attention away from the forward roadway and other driving relevant stimuli?

Overall, the probability of looking at the road ahead was high across all conditions. In Reading, the CEVMS condition had a lower proportion of gazes to the road ahead than the standard billboard condition on the freeways. Both of the off-premise advertising conditions had a lower proportion of gazes to the road ahead than the control condition on the freeway. The lower proportion of gazes to the road ahead can be attributed to the overall distribution of gazes away from the road ahead and not just to the CEVMS. On the other hand, for the arterials the CEVMS and standard billboard conditions did not differ from each other, but both had a lower proportion of gazes to the road ahead compared to the control. In Richmond there were no differences among the three advertising conditions on the arterials. However, for the freeways the CEVMS and standard billboard conditions did not differ from each other but had a lower proportion of gazes to the road ahead than the control.

The control conditions differed across studies. In Reading, the control condition on arterials showed 92 percent for gazing at the road ahead while on the freeway it was 86 percent. On the other hand, in Richmond the control condition for arterials was 78 percent and for the freeway it was 92 percent. The control conditions on the freeway differed across the two studies. In Reading there were businesses off to the side of the road; whereas in Richmond the sides of the road were mostly covered with trees. The control conditions on the arterials also differed across cities in that both contained businesses and on-premise advertising; however, in Reading arterials had four lanes and in Richmond arterials had six lanes. The reason for these differences across cities was that these control conditions were selected to match the other conditions (CEVMS and standard billboards) that the drivers would experience in the two respective cities. Also, the selection of DCZs was obviously constrained by what was available on the ground in these cities.

The results for the off-premise advertising conditions are consistent with Lee et al., who observed that 76 percent of drivers' time was spent looking at the road ahead in the CEVMS scenario and 75 percent in the standard billboard scenario.<sup>(9)</sup> However, it should be kept in mind

that drivers did gaze away from the road ahead even when no off-premise advertising was present and that the presence of clutter or salient visual stimuli did not necessarily control where drivers gazed.

### Do glances to CEVMS occur that would suggest a decrease in safety?

In DCZs containing CEVMS, about 2.5 percent of the fixations were to CEVMS (about 2.4 percent to standard billboards). The results for fixations are similar to those reported in other field data collection efforts that included advertising signs.<sup>(12,11,9,13)</sup> Fixations greater than 2,000 ms were not observed for CEVMS or standards billboards.

However, an analysis of dwell times to CEVMS showed a mean dwell time of 994 ms (maximum of 1,467 ms) for Reading and a mean of 1,039 ms (maximum of 2,270 ms) for Richmond. Statistical comparisons of average dwell times between CEVMS and standard billboards were not significant in Reading; however, in Richmond the average dwell times to CEVMS were significantly longer than to standard billboards, though below 2,000 ms. There was one dwell time greater than 2,000 ms to a CEVMS across the two cities. On the other hand, for standard billboards there were three long dwell times in Reading; there were no long dwell times to these billboards in Richmond. Review of the video data for these four long dwell times showed that the signs were not far from the forward view when participants were fixating. Therefore, the drivers still had access to information about what was in front of them through peripheral vision.

As the analyses of gazes to the road ahead showed, drivers distributed their gazes away from the road ahead even when there were no off-premise billboards present. Also, drivers gazed and fixated on off-premise signs even though they were generally irrelevant to the driving task. However, the results did not provide evidence indicating that CEVMS were associated with long glances away from the road that may reflect an increase in risk. When long dwell times occurred to CEVMS or standard billboards, the road ahead was still in the driver's field of view.

### Do drivers look at CEVMS more than at standard billboards?

The drivers were generally more likely to gaze at CEVMS than at standard billboards. However, there was some variability between the two locations and between type of roadway (arterial or freeway). In Reading, the participants looked more often at CEVMS when on arterials, whereas they looked more often at standard billboards when on freeways. In Richmond, the drivers looked at CEVMS more than standard billboards no matter the type of road they were on, but as in Reading the preference for gazing at CEVMS was greater on arterials (68 percent on arterials and 55 percent on freeways). The slower speed on arterials and sign placement may present drivers with more opportunities to gaze at the signs.

In Richmond, the results showed that drivers gazed more at CEVMS than standard billboards at night; however, for Reading no effect for time of day was found. CEVMS do have higher luminance and contrast than standard billboards at night. The results showed mean luminance of about 56  $cd/m^2$  in the two cities where testing was conducted. These signs would appear clearly visible but not overly bright.

### SUMMARY

The results of these studies are consistent with a wealth of research that has been conducted on vision in natural environments.<sup>(26,22,21)</sup> In the driving environment, gaze allocation is principally controlled by the requirements of the task. Consistent results were shown for the proportion of gazes to the road ahead for off-premise advertising conditions across the two cities. Average fixations were similar to CEVMS and standard billboards with no long single fixations evident for either condition. Across the two cities, four long dwell times were observed: one to a CEVMS on a freeway in the day, two to the same standard billboard on a freeway (once at night and once in the daytime), and one to a standard billboard on an arterial at night. Examination of the scene video and eye tracking data indicated that these long dwell times occurred when the billboards were close to the forward field of view where peripheral vision could still be used to gather visual information on the forward roadway.

The present data suggest that the drivers in this study directed the majority of their visual attention to areas of the roadway that were relevant to the task at hand (i.e., the driving task). Furthermore, it is possible, and likely, that in the time that the drivers looked away from the forward roadway, they may have elected to glance at other objects in the surrounding environment (in the absence of billboards) that were not relevant to the driving task. When billboards were present, the drivers in this study sometimes looked at them, but not such that overall attention to the forward roadway decreased.

### LIMITATIONS OF THE RESEARCH

In this study the participants drove a research vehicle with two experimenters on board. The participants were provided with audio turn-by-turn directions and consequently did not have a taxing navigation task to perform. The participants were instructed to drive as they normally would. However, the presence of researchers in the vehicle and the nature of the driving task do limit the degree to which one may generalize the current results to other driving situations. This is a general limitation of instrumented vehicle research.

The two cities employed in the study appeared to follow common practices with respect to the content change frequency (every 8 to 10 seconds) and the brightness of the CEVMS. The current results would not generalize to situations where these guidelines are not being followed.

Participant recruiting was done through libraries, community centers and at a university. This recruiting procedure resulted in a participant demographic distribution that may not be representative of the general driving population.

The study employed a head-free eye tracking device to increase the realism of the driving situation (no head-mounted gear). However, the eye tracker had a sampling rate of 60 Hz, which made determining saccades problematic. The eye tracker and analyses software employed in this effort represents a significant improvement in technology over previous similar efforts in this area.

The study focused on objects that were 1,000 feet or less from the drivers. This was dictated by the accuracy of the eye tracking system and the ability to resolve objects for data reduction. In addition, the geometry of the roadway precluded the consideration of objects at great distances.

The study was performed on actual roadways, and this limited the control of the visual scenes except via the route selection process. In an ideal case, one would have had roadways with CEVMS, standard billboards, and no off-premise advertising and in which the context surrounding digital and standard billboards did not differ. This was not the case in this study, although such an exclusive environment would be inconsistent with the experience of most drivers. This presents issues with the interpretation of the specific contributions made by billboards and the environment to the driver's behavior.

Sign content was not investigated (or controlled) in the present study, but may be an important factor to consider in future studies that investigate the distraction potential of advertising signs. Investigations about the effect of content could potentially be performed in driving simulators where this variable could be systematically controlled and manipulated.

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# Compendium of a Decade's Worth of Research Studies on Distraction from Digital Billboards (Commercial Electronic Variable Message Signs [CEVMS])

**Summary and Critique** 

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# Background

This compendium is a stand-alone document that updates this author's 2009 report for AASHTO (the American Association of State Highway and Transportation Officials) through NCHRP (the National Cooperative Highway Research Program) Project 20-7/256,<sup>1</sup> which was a critical review of research that had been undertaken, and guidelines that had been developed, up to that time that addressed the potential consequences for driver distraction from Commercial Electronic Variable Message Signage (CEVMS) along the roadside, commonly known as "digital billboards.".

For this report we critically reviewed the available research papers that have been published or presented within approximately the last decade. These papers represent the work of academic, industry, and government researchers in many countries (including, but not limited to Sweden, Denmark, Israel, Canada, US, England, and Australia), and which followed many different research protocols. Whereas earlier studies (primarily those from 2010 and prior) often suffered from limitations in equipment, methodology, or statistical rigor, leaving their conclusions open to question and controversy, those performed in the more recent past were generally more robust, and tended to reach similar conclusions to one another.

Broadly summarized, the more recent studies have tended to find that outdoor advertising signs, particularly CEVMS, attract drivers' attention, and that more dramatic and salient signs attract longer and more frequent glances. This attention is often captured through a "bottom up" physiological process, in which the driver attends to the sign unintentionally and unconsciously, with the eyes captured involuntarily by the sign's changing imagery, brightness, conspicuity, and occasionally, motion and/or sequencing (employing successive screen displays to communicate one thought or message).

Several of the reported studies suggested that the distraction caused by outdoor advertising signs could be tolerated by experienced drivers and when attentional or cognitive demands of the driving task were low, but that the risk increased when such signs competed for the driver's visual attention with more demanding road, traffic, and weather conditions, when travel speeds were higher, or when an unanticipated event or action (such as a sudden lane change or hard braking by a lead vehicle) occurred to which the driver had to respond quickly and correctly.

In addition, the more recent research continues to show that the drivers most susceptible to unsafe levels of distraction from roadside billboards are the young (who are more prone to distraction and less adept at emergency vehicle response) and the elderly (who have more difficulty with rapidly shifting attention, poorer night vision and glare susceptibility, and slower mental processing time). As will be seen in this Compendium, these concerns are heightened today, with our elderly driver population growing quickly, traffic increasingly dense, more roads under maintenance or repair (construction and work zones)

<sup>&</sup>lt;sup>1</sup> Wachtel, J. (2009). "Safety Impacts of the Emerging Digital Display Technology for Outdoor Advertising Signs: Final Report. NCHRP Report 20-7/256. Available at: <u>http://rightofway.transportation.org/Documents/NCHRP%20Reports/20-</u> 7(256)%20digital%20outdoor%20advertising\_aashto.pdf

create added risks), and larger, brighter digital and video roadside advertising signs competing for the driver's attention.

Also, the most recent epidemiological studies have begun to demonstrate what has long been suspected but not proven – that roadside billboards are associated with increases in crash rates where such billboards are located.

While employing a broad array of approaches and methodologies, the common theme among the studies cited clearly indicates that the more that CEVMS succeed in attracting the attention of motorists that render them a worthwhile investment for owners and advertisers, the more they represent a threat to safety along our busiest streets and freeways, where these signs tend to be located. Further, we found evidence to confirm that the outdoor advertising industry in the US is actively engaged in studying ways to *increase* the attention-attracting power of roadside billboards - to the ultimate detriment of traffic safety.

A small number of research studies cited herein have found little or no relationship between CEVMS and traffic safety. One such, long anticipated, study was announced on the website of the Federal Highway Administration (FHWA) on December 30, 2014. The FHWA study, however, has been severely criticized for faulty methods and analyses in a peerreviewed critique by the present author<sup>2</sup>. The FHWA study remains available on the agency's website but has never been formally published. Despite studies published by the outdoor advertising industry itself, those studies that show no adverse effects of CEVMS are far outnumbered by those that show such a relationship<sup>3</sup>.

It has been shown that road environments cluttered with driving-irrelevant material (often called visual complexity) make it difficult for the driver to extract critical information from the roadway scene that is necessary for safe driving in a timely manner, a particular problem for older drivers. In addition, with the growing proliferation of CEVMS, evernewer technology that renders them more compelling, the expansion of on-premise signs using this technology, and several States considering the use of such signs within the right-

<sup>&</sup>lt;sup>2</sup> Wachtel, Jerry (2015). "A Peer-Reviewed Critique of the Federal Highway Administration (FHWA) Report Titled: "Driver Visual Behavior in the Presence of Commercial Electronic Variable Message Signs (CEVMS)." Available at:

http://nebula.wsimg.com/722c5bb9d76d4b10b6d7add54d962329?AccessKeyId=388DC3CA49BF0BEF098B & disposition=0&alloworigin=1

<sup>&</sup>lt;sup>3</sup> In 2007, two studies sponsored by the outdoor advertising industry (the Outdoor Advertising Association of America [OAAA] and its research arm, the Foundation for Outdoor Advertising Research and Education [FOARE]) were submitted through the peer review process to the Transportation Research Board of The National Academies. Both reports, one a human factors study by the Virginia Tech Transportation Institute (VTTI), and the other an epidemiological study by Tantala and Tantala, received overall negative reviews from peer reviewers, and were therefore rejected by TRB both for presentation and publication. Although Virginia Tech has not performed subsequent work in this field, Tantala and Tantala have continued to perform research under the sponsorship of OAAA/FOARE. However, for whatever reasons, FOARE and OAAA have not made the subsequent studies available to the public, so they could not be addressed in this report.

of-way, it was appropriate to provide an up-to-date review of the most recent research and guidelines.

The next section of this report provides a brief summary of each of the studies cited. The following section, the Compendium itself, provides further details about each study, including its sponsorship, research protocol, strengths and weaknesses, and source identification. This document concludes with a complete list of references.

# **Summary of Findings**

This section summarizes the major findings of each of the 22 studies discussed in the Compendium. Key conclusions are highlighted in **bold**. The subsequent section of this report, the Compendium itself, provides additional detail about each study, and information about how to access the study, where available.

The studies are cited here, and in the Compendium, in generally chronological order.

# Chan, et al., 2008 – USA, Amherst, MA

The researchers compared susceptibility to distraction from sources inside the vehicle (e.g. phone dialing, map reading) to those outside the vehicle (e.g. billboards) for both young novice drivers and experienced drivers. As predicted, for the in-vehicle distractors, the young drivers looked away from the roadway for extended periods (2 seconds or longer) more than twice as often as the experienced drivers. Surprisingly, however, results showed that: (a) external distractors were even more distracting, and (b) the experienced drivers were just as distracted as the newly-licensed drivers on this critical measure of distraction when they performed the outside-the-vehicle tasks. The authors had assumed that experienced drivers would exercise the same degree of caution with the external distractors as they did with the internal ones. Instead, "the experienced drivers showed little concern for the effect that diverting their attention to the side of the roadway might have had on their ability to perceive potential risks immediately in front." In some 81% of the external tasks, older drivers glanced for longer than 2s away from the forward roadway. The authors concluded by saying: "...we think that our drivers engaged in the external search task were truly distracted with potentially serious consequences."

# Young, et al., 2009 - England

In this driving simulator study, participants drove rural, urban, and highway routes in the presence and absence of roadside billboards, while their driving performance was measured. Billboards had a detrimental effect on lateral control, and appeared to increase crash risk. Longitudinal control was not affected. The most striking effects were found for driver attention. Driver mental workload (using the NASA developed TLX scale) significantly increased in the presence of billboards. On rural roads and motorways, results showed that billboards were consciously attended to at the cost of more relevant road signs. The authors reached a **"persuasive overall conclusion that advertising has adverse effects on driving performance and driver attention**. Whilst there are sometimes conflicts of interest at Local Authority level when authorizing billboards (since Councils often take a share of the profit from roadside advertising), these data could and should be used to redress the balance in favour of road safety."

# Backer-Grøndahl, & Sagberg, 2009 - Norway

The authors asked drivers who had actually been involved in a crash to identify, from a list, what they believed were the causes of distraction for that crash. (Cell phone use was

excluded). The most frequently reported sources of distraction were: (1) conversations with passengers, and (2) attending to children in the back seat. However, when the researchers applied the statistical method known as quasi-induced exposure, they found that distractions with the "highest relative risk" were: (1) billboards outside the vehicle, and, (2) searching for addresses. The authors note that both of the highest risk distractors were visual distractions, rather than physical, auditory, or cognitive ones.

# Chattington, et al., 2009 - England

The researchers found "significant effects on both drivers' visual behavior and driving performance" in the presence of both static and video billboards. As expected, the video signs were seen as more potent distractors than similarly placed static signs. The authors state that their results "support and extend (the findings of) other studies of driver distraction by advertising," citing studies by Crundall, et al, and of Young and Mahfoud (both of which were extensively reviewed in the Wachtel 2009 report for AASHTO). The study showed that **several aspects of driving performance were adversely affected by both video and static billboards, with the video signs generally more harmful to such performance than the static signs. The authors list these effects as speed control, braking, and lane position maintenance.** 

# Horberry, et al., 2009 - Australia

Road authorities may be justified in using the best research information available, even if incomplete, coupled with engineering judgment, for the development of billboard guidelines. The authors recommend that their client (Queensland, Australia) adopt advertising restrictions at known areas of high driver workload, including "locations with high accident rates, lane merges, curves/bends, hills and road/works/abnormal traffic flows." (They state that) "this is broadly in line with Wachtel who recommended a restriction of advertisements at times when driver decision, action points and cognitive demand are greatest – such as at freeway exits/entrances, lane reductions, merges and curves. Although useful for all road users, such restrictions would be of specific benefit to older drivers."

# Bendak & Al-Saleh, 2010 - Saudi Arabia

The authors used a driving simulator in which test subjects drove on two similar roads, one with advertising signs and one without. Twelve male volunteers, ages 23-28, participated in the study. Driver opinions about billboards were also sought using a simple questionnaire distributed to male drivers at random in the city of Riyadh, Saudi Arabia. 160 questionnaires were returned. Results of the simulator study showed that **the driving speed of participants was not affected by the presence of advertising signs. However, two of the five indicators were statistically significant. Both "drifting unnecessarily from (the) lane" and "recklessly crossing dangerous intersections" were significantly** 

**more prevalent in the presence of billboards**. Although not reaching statistical significance, each of the other three measures, tailgating, speeding, and failure to signal, were all worse in the presence of billboards. Half of the respondents to the questionnaire indicated that they had been distracted by a billboard, and 22% indicated that they had been put in a dangerous situation due to distraction from billboards.

## Milloy & Caird, 2011 - Canada

This was a driving simulator study that looked at distraction effects of a video billboard and a wind turbine. The results demonstrated a *causal* (italics original) relationship between the presence of a video billboard and collisions with, and delays in responding to, the lead vehicle.

# Edquist, et al., 2011 – Australia

"The finding that the presence of billboards increases time to detect changes is an important one." Billboards can automatically attract attention when drivers are engaged in other tasks, delaying their responses to other aspects in the environment. The effect of billboards was particularly strong in scenes where response times are already lengthened by high levels of visual clutter. This is of particular concern because roads with high levels of clutter are the very kind of busy, commercial, high traffic environments where billboards are most often erected."

The results are consistent with growing evidence suggesting that billboards impair aspects of driving performance such as visual search and the detection of hazards, and therefore should be more precisely regulated.

# Edquist, et al., 2011, Sydney, Australia

These authors used a motion base driving simulator with a 180-degree forward view. They studied drivers' (elderly, novice, and in-between) lane change response to road signs telling them to change left or right, in the presence and absence of simplified billboards. Overall, drivers were significantly more likely to make errors at sites with billboards than without; elderly and novice drivers showed a stronger effect than comparison (mid-aged) drivers. For both novice and older drivers, both static and changeable billboards were associated with reduced time spent fixating on the road ahead. As predicted, the presence of billboards distracted eye movements from the road ahead and delayed responses to road signs. The presence of billboards changed drivers' patterns of visual attention, increased the time needed for drivers to respond to road signs, and increased the number of errors in this driving task.

# Dukic, et al., 2012 - Sweden

In this on-road, instrumented vehicle study, **drivers had a significantly longer dwell time (time looking at the billboards)**, a greater number of fixations, and a longer

maximum fixation duration when driving past digital billboards compared to other signs along the same road sections.

# Perez, et al., 2012 – USA, Washington, DC

The authors of this Federal Highway Administration (FHWA) sponsored study used an instrumented vehicle that recorded volunteer drivers' eye glances as they drove along predetermined routes in Reading, Pennsylvania and Richmond, Virginia. The routes included digital as well as static billboards, undefined on-premise signs, and areas free of commercial signage. The routes were driven during daylight and at night, and the report found that digital billboards "were not associated with 'unacceptably long glances away from the road'." As noted above, however, the draft report of this study was strongly criticized by the agency's selected peer reviewers, particularly with regard to the efficacy of the obtained eye glance data. Indeed, the participants in the study did gaze more often to digital billboards than to other signs, in some cases more than twice as much. (For example, 71% vs. 29% at night in Richmond). As a result of the critical peer reviews, the authors took 33 months to revise the study, which, although dated September 2012, was released on the agency's website on December 30, 2013. This revised report, in turn, was reviewed by the present author, whose critical report was reviewed and agreed-to by 14 independent expert peer reviewers. To our knowledge, the revised FHWA report was not subjected to peer review by the agency prior to its issuance on the agency website, and it has never been given an official agency report number, putting it in a state of uncertainty with regard to its publication.

# Divekar, et al., 2013 – USA, Amherst, MA

Experienced drivers are far less likely to be distracted by inside-the-vehicle tasks (e.g. cell phone, map display, entertainment system) than novice drivers. However, the researchers were surprised to find that **experienced and novice drivers are at an equal and elevated risk of getting into a crash when they are performing a secondary task outside the vehicle such as looking at billboards** 

# Roberts, et al., 2013 - Australia

The appearance of movement or changes in luminance can involuntarily capture attention, and engaging information can capture attention to the detriment of driving performance, particularly in inexperienced drivers. Where this happens in a driving situation that is also cognitively demanding, the consequences for driving performance are likely to be significant. Further, if this results in a situation where a driver's eyes are off the forward roadway for 2 seconds or longer, this will further reduce safety. Additionally, road environments cluttered with driving-irrelevant material may make it difficult to extract information that is necessary for safe driving, particularly for older drivers. The studies that have been conducted show convincingly that roadside advertising is distracting and that it may lead to poorer vehicle control.

# Herrstedt, et al., 2013 - Denmark

The authors studied drivers using an instrumented car equipped with an eye-tracking system, a GPS system for registering the vehicle's speed, and a laser scanner for measurement of following distances to other road users. The overall findings of the studies demonstrate that **"advertising signs do affect driver attention to the extent that road safety is compromised."** In 69% of all drives past advertising signs, the driver glanced at least once at the sign; in almost half of all drives, the driver glanced twice or more at the same sign. For 22% of all drives, the total glance duration of successive glances was two (2) seconds or longer. In 18% of all drives, glance durations of one (1) second or longer was recorded. In approximately 25% of all glances, the safety buffer to the vehicle ahead was less than two (2) seconds, and in 20% of the glances, the safety buffer was less than 1.5 seconds. This study has been praised in independent peer review by Dr. Richard Pain, Transportation Research Board Senior Program Officer, retired. Dr. Pain considered this study to be the best designed and conducted on-road study in this field, the conclusions of which, he believes, were far more valid and robust than those of the FHWA study (discussed above).

# Hawkins, et al., 2014 – USA, College Station, TX

This study, sponsored by the on-premise signage industry, was a statistical (epidemiological) analysis of crash rates in the vicinity of on-premise digital signs that had been first installed in 2006-07. On premise signs differ from billboards in several ways. Per the common meaning of the term, on-premise signs must advertise only a business or service that is available on the property on which the sign is located. Because of that, on-premise signs typically function to identify the business and, as such, they may have little text or imagery other than that required for such identification. On the other hand, they are often closer to the road than billboards are permitted to be, and it is often possible for them to be larger than billboards and to feature motion or the appearance of motion. This study employed an analysis methodology known as *empirical Bayes* (or EB) to look at before-and-after crash data in four states. A total of 135 sign locations and 1,301 control sites were used, and the researchers found **"no evidence the installation of on-premise signs at these locations led to an automatic increase in the number of crashes."** 

# Schieber, et al., 2014 – USA, Vermillion, SD

In this simulator study the authors varied message length (4, 8, or 12 words) on digital billboards that participants drove past at either 25 or 50 MPH. Although there was no decrement in lane keeping or billboard reading performance at the lower speed on straight roads, "clear evidence of impaired performance became apparent at the higher (50 MPH) driving speed." The analysis revealed that, rather than weaving in and out of lane while reading the billboards with longer messages, participants tended to slowly drift away from the lane center and then execute a large amplitude corrective steering input about eight (8) seconds *after* passing the billboard. Eye gaze analysis showed that information processing overload began to emerge with a message length of eight (8) words and was clearly present with twelve (12) word messages under the 50 MPH condition.

## Young, et al., 2015 - Australia, Sydney, New South Wales

These authors studied 19 participants who each drove an instrumented car along a previous selected route that included billboards (static only) and comparable road segments without billboards. Measures of speed, longitudinal and lateral control were captured. The researchers used a methodology called Verbal Protocol Analysis (VPA), which appears to be similar to the technique known as commentary driving in the US. The findings, based on studying 2 billboards and 2 control sections of a freeway suggested to the researchers that: driving demands seemed to influence whether and how much attention was paid to billboards, rather than the billboards influencing driver behavior. Drivers focused on the billboards when driving demands were low. However, when driving demand increased, drivers tended to pay less attention to the billboards, and reduce their attention to them when they are required to focus on the immediate traffic or driving situation.

# Sisiopiku, et al., 2015 – USA, AL, FL

The authors analyzed crashes from eight (8) digital billboard locations in Alabama and ten (10) in Florida. All sites were on high speed, limited access highways. A total of 377 crashes in Florida and 77 in Alabama were used in the analysis. Actual traffic collision reports were used since the authors discovered numerous errors in coding in the summary crash databases that they initially examined. Although the data set was too small to employ statistical analyses, the authors found that **"the presence of digital billboards increased the overall crash rates in areas of billboard influence compared to control areas downstream of the digital billboard locations. The increase was 25% in Florida and 29% in Alabama."** The predominant crash types that were overrepresented at billboard locations were rear-end and sideswipe collisions, both typical of driver distraction.

### Rempel, et al., 2015 - Canada

These authors, working on behalf of the Transport Association of Canada, developed a set of guidelines for the control of digital and projected advertising signs. The resultant guidelines are based on a comprehensive literature review, a survey of Canadian governmental jurisdictions, a review of existing sign regulations, interviews with international Governmental agencies, discussions with sign industry representatives, and the application of human factors and traffic engineering principles. **The key principle documented in the Guidelines is that they "provide recommendations designed to control (digital billboards)** *such that they emulate static advertising signs* (italics **added)**, and therefore result in a similar distracting and road safety effect as static **advertisements**."

### Samsa & Phillips, 2015 - Australia

These authors, working on behalf of the Outdoor Media Association of Australia, studied 29 participants, ages 25-54 in an instrumented vehicle. The participants were fitted with "eye tracking glasses" and their eye fixations and driving performance was assessed as they drove a 14.6 km route in Brisbane, Queensland. The route took them past a "number" of advertising signs, including static, digital, and on-premise signs. The results showed that fixation durations "were well below" 0.75 seconds, and that there were no significant differences in vehicle headways between the three types of signage. One statistically significant finding was that lateral deviation was poorer when billboards were present. (Note that, at present, only an Abstract of this industry-sponsored study is available).

# Wilson & Casper, 2016 - USA

This was a two-part study. In Part 1, groups of drivers selected in a convenience sample, were presented with video clips from a driver's perspective, of a drive through settings that contained billboards. Their eye direction was "measured" by use of a fixed, floor mounted camera. "To potentially grow the business, the industry needed a more refined measure that took into account the actual noting of advertisements." The results from the initial noting of billboard advertising were significant and all hypotheses were supported. In Part 2, the authors examined the applicability to billboard advertising of a model of Visual Attention Theory. Through a thought experiment, they sought to determine the ability for a billboard to capture attention due to factors such as visual saliency and physical characteristics such as size, location, "dwell time," side of the road, position within the driver's field of view. Again, all hypotheses were confirmed. One of the authors' key conclusions was that: **"billboard advertising appeared to be attended to because it was located close to where other vehicles, pedestrians, traffic signals, and directional signage were found ..."** 

### Belyusar, et al., 2016 – USA, Cambridge, MA

In this on-road study, data was collected from 123 subjects, nearly equally divided between males (63) and females (60) and between young (age 20-29, N = 63) and older (age 60-69, N = 60). These volunteers drove an instrumented vehicle under normal driving conditions (with no specific tasks to perform) past a digital billboard on a posted 65 MPH roadway with four travel lanes in each direction. Data was collected during late morning and early afternoon to avoid commuter traffic. The authors state: **"In contrast to the recent FHWA report (Perez, et al., 2012), the findings revealed statistically significant changes in total number of glances and, depending upon the direction of travel, moderate-to-long duration glances in the direction of the billboard." Older drivers were thought to be particularly affected. The authors also found that: "Drivers glanced more at the time of a switch to a new advertisement display than during a comparable section of roadway when the billboard was simply visible and stable." Given typical billboard** 

dwell (cycle) times of six (6) or eight (8) seconds, these findings add to the argument the dwell times for such signs should be considerably longer.

# Herrstedt, et al, 2017 – Denmark

This was a quasi-naturalistic study of 16 participants driving an instrumented car along two test routes in daylight and (in a subset of trials) in darkness. Glances were captured by eye tracking equipment to on-road and off-road (outside the vehicle) sources. The focus was on LED billboards. Four research questions were asked: (1) To what extent do LED advertising signs distract drivers' visual attention;? (2) Is drivers' visual attention to LED signs diverted and maintained to such an extent that it affects road safety;? (3) How does distraction from LED signs compare to other types of distractors;? and (4) Does distraction from LED signs differ during daylight and darkness? The most important conclusions reached are that: "drivers' visual attention was diverted by LED-advertising signs. In more than every 10<sup>th</sup> drive past visual distraction occurred, e.g. cumulative glances of more than 2 sec. within a 6 sec. period, when the driver looked at the LEDadvertising. In 4% of the drive pasts visual distraction occurred together with a 'safety buffer' less than 0 sec. The safety buffer reflects the time available to respond to a sudden critical event requiring immediate action in order to avoid an accident."

## Mollu, 2018 – Belgium

Per a 2015 European Commission report, distraction accounts for 10-30% of all European road accidents. Although there is no consistent definition of distraction, most definitions describe a *diversion* of attention away from the driving task, and *toward a competing* activity inside or outside the vehicle. This diversion of attention may be visual and/or cognitive. The author and his colleagues sought to study whether the glance behavior of road users was influenced by advertising signs, whether such signs lead to changes in driving behavior and whether there were notable effects on road safety as a result. Thirtyfive test subjects (age range 20-69; 54% male) completed the protocol and drove a simulator past LED billboards with 3, 6, and 15-second dwell times, and at 41 and 65-meter distances from pedestrian crossings. The signs were placed in a road segment with a retail zone and in one transitioning to a built-up area. All other characteristics of the sign (size, placement, illumination, etc., were held constant. At the shortest display times and the closest distance to the pedestrian crossing the study showed significantly higher mental demands and lower performance. The longer the message display time, the fewer glances were made to the sign. The signs also contributed to higher approach speeds to pedestrian crossings and delayed slowing upon approach to the crossing. There was also an indication, although not statistically significant, of increased swerving behavior (change in lateral position) in the presence of the billboards.

# Oviedo-Trespalacios, et al. - 2019 - Australia, Queensland

This report claims to be a critical review of selected, relevant literature, but it does not appear to be critical. The documents chosen for review have been identified by the use of a model called TCI (Task-Capability Interface) which compares task demands with the driver's capabilities, and which lead to conclusions about success or failure. Based upon the literature review, some general conclusions are reached. These include: **Roadside advertising signs are environmental clutter, which adds additional task demands. Roadside advertising signs impaired drivers' eye movement patterns. Young drivers seem to have a lower ability to discriminate between relevant and irrelevant information.** Although it is not possible to conclude a direct relationship between **outdoor advertising and crashes, there is an emerging trend in the literature suggesting that roadside advertising can increase crash risk, particularly with changeable displays such as those on digital billboards.** 

# Gitelman, et al., 2019 - Israel

This study examined the impact of roadside billboards on crash occurrence along two sections of a suburban, limited access highway, during three time periods. The first time segment occurred while the billboards were visible to drivers (Period 1). After the Israeli Parliament established a study period, all billboards were either covered or removed. This identified the second period (Period 2). Finally, the study period ended, and the billboards were again made visible to drivers. This was Period 3. The results indicated that billboard removal (including covering) in Period 2 was associated with systematic downward trends and significant reductions in crash numbers compared to Period 1 when billboards were still visible. These findings held for both property damage and injury accidents. When billboards were restored in Period 3, findings showed consistent upward trends and significant increases in both crash types when compared with Period 2 data.

# Costa, et al., 2019 - Italy

These authors studied the response (fixation rate and duration) to six categories of signs, e.g. billboards, on-premise signs and gas price digital signs. They used 15 participants driving an instrumented car equipped with an eye movement system. Larger size text on signs was associated with a higher fixation rate, as were signs on the near side of the road. Signs with smaller text and many characters were related to a lower fixation rate because they "probably fail to attract the driver's visual attention due to the poor readability in a dynamic context. "Fixation duration was not affected by advertising sign category, but longer durations were found for signs close to the road level than for those that were elevated, in keeping with prior research." The distance at which signs were fixated increased linearly with speed, sign size, and text length.

The study showed there was a significant amount of "long" fixations that, in the circumstance of an immediate reaction required by the driver, could pose serious problems for traffic safety. "We can conclude that there is a discrete amount of cases in which distraction induced by roadside advertising could adversely impact traffic safety."
## *Compendium of Recent Research Studies on Commercial Electronic Variable Message Signs (CEVMS)*

## Key to Codes Used in Tables:

\*Type of Study:

- N = on-road, naturalistic
- Q = on-road, quasi-naturalistic
- C = on-road, controlled
- S = lab, simulator
- L = lab, other
- E = epidemiological, crash data
- R = review of other work
- CR = critical review of other work
- D = discussion /consultation with experts
- G = guidelines or regulations development
- QI = questionnaires, interviews, surveys, focus groups, etc.

\*\*Type of Signs Studied:

- 0 = On-premise
- C = Conventional billboard
- D = Digital billboard
- V = Sign contains video or animation
- H = Official highway sign
- U = Unknown

Date 1 <sup>st</sup>	2008
published/presented	
Location	U.S. (Massachusetts)
Author(s)	Chan, E., Pradhan, AK, Knodler, MA, Jr., Pollatsek, A. & Fisher, DL
Title	Empirical Evaluation on a Driving Simulator of the Effect of Distractions Inside and
Affiliation	Outside the Vehicle on Drivers' Eye Behaviors
Forum	TRB – presentation and CD ROM
Peer Reviewed?	Yes
Sponsor/funding source	National Science Foundation; National Highway Traffic Safety Administration (NHTSA)
Type of Study*	S
Type of Signs Studied**	C (simulated)
Brief Description of	Young, novice drivers (age 16-17) are at greatly elevated risk of crashing, and it is
Method	believed that distraction plays a large role in such crashes. More experienced, older teen
	drivers (age 18-19) have also been shown to look away from the forward roadway for
	extended periods of time. This simulator study compared such extended, off-roadway
	glance durations of newly licensed drivers to those of older, experienced drivers, using
	eye movement recordings as participants drove along a simulated roadway and engaged
	in distracting tasks both inside and outside the vehicle.
Summary of Findings	The researchers compared the average maximum duration of an <i>episode</i> , (the maximum
	time that drivers spent continuously looking away from the forward roadway). For the
	in-vehicle distractors, the average was 1.63s for the experienced drivers, and 2.76s for
	the younger drivers. Another measure, the percentage of scenarios in which the
	maximum duration of an episode was greater than 2s, yielded similar findings. The
	results were statistically significant between the two groups. As predicted for in-vehicle
	distractors, the young drivers looked away from the roadway for extended periods (2s or
	longer) more than twice as often as the experienced drivers while engaged in inside-the-
	vehicle distractors (such as phone dialing, map reading, and CD searching). Surprisingly,
	however, results showed that: (a) external distractors were even more distracting, and
	(b) there was no difference between newly-licensed and experienced drivers on this
	critical measure of distraction when the drivers performed outside-the-vehicle tasks,
	specifically, searching for a target letter in a 5x5 grid representative of a billboard. The
	authors had assumed that experienced drivers would exercise the same degree of
	caution with the external distractors as they did with the internal ones. Instead, the
	the side of the ready way might have had on their shility to persoive potential ricks
	immediately in front In fact in 9106 of the external tacks older drivers glanged for
	longer than 2s away from the forward readway. The authors conclude: "we think that
	our drivers engaged in the external search task were truly distracted with notential
	serious consequences."
Strengths	The study is the first to directly compare the suscentibility to distraction from internal
	and external tasks between newly licensed and experienced drivers
Weaknesses/Limitations	Older drivers were not included in this study. The representativeness of the outside-the
The cumesoes and the cu	vehicle task is questionable.
Availability/Accessibility	TRB 2008 Annual Meeting CD-ROM

Date 1 <sup>st</sup>	2009
published/presented	
Location	UK (England, London)
Author(s)	Young, MS, Mahfoud, JM, Stanton, N. Salmon, PM, Jenkins, DP & Walker, GH.
Title	"Conflicts of Interest: The implications of roadside advertising for driver attention."
Affiliation	Brunel University, West London, England
Forum	Transportation Research Part F: Traffic Psychology and Behaviour, Vol. 12(5), September 2009, 381-388.
Peer Reviewed?	Yes
Sponsor/funding source	Insurance company – The Rees Jeffreys Road Fund
Type of Study*	S
Type of Signs Studied**	С, Н
Brief Description of	The study was conducted in the University's driving simulator. 48 drivers drove urban,
Method	rural, and motorway routes in the presence and absence of billboards. Dependent
	variables included measures of speed and lateral control, and driver attention (mental
	workload, eye movements, and recall of signs and billboards).
Summary of Findings	The presence of billboards had a detrimental effect on lateral control, and appeared to
	increase crash risk. Longitudinal control was not affected. More striking effects were
	found for driver attention. Driver mental workload significantly increased in the
	presence of billboards. On rural roads and motorways, results showed that billboards
	were consciously attended to at the cost of more relevant road signs. "We must once
	again emphasize the persuasive overall conclusion that advertising has adverse effects
	on driving performance and driver attention. Whilst there are sometimes conflicts of
	interest at Local Authority level when authorizing billboards (since Councils often take a
	share of the profit from roadside advertising), these data could and should be used to
-	redress the balance in favour of road safety."
Strengths	A fully interactive high fidelity simulator was used. The use of the NASA-TLX instrument
	for measuring subjective mental workload was a useful tool that is used too infrequently
	in studies of driver performance. All participants experienced identical road and sign
	condition the only manipulation being the presence or absence of billboards.
Weaknesses/Limitations	The sample of participants did not include either older or younger drivers – the age
	groups thought to be at greatest risk for adverse consequences of billboard distraction.
	Measures of lateral and longitudinal variability were constrained by the study design and
	were not fully representative of the measures of these variables used most commonly in
	the US.
Availability/Accessibility	Journal is available online.

Date 1 <sup>st</sup>	2009
published/presented	
Location	Norway
Author(s)	Backer-Grøndahl, A., & Sagberg, F.
Title;	"Relative crash involvement risk associated with different sources of driver distraction."
Affiliation	Institute of Transport Economics, Norway
Forum	First International Conference on Driver Distraction and Inattention
Peer Reviewed?	Yes
Sponsor/funding source	Unknown
Type of Study*	E, QI
Type of Signs Studied**	С
Brief description of	Used web- and paper-based questionnaire to ask 4300+ drivers who had been in a crash
method	to identify from a list of possible choices the cause of their crash. Separated those at fault
	from those not at fault. Relative crash risk of each factor was estimated using the quasi-
	induced exposure method.
Summary of Findings	The most <i>frequent</i> sources of distraction were: (1) conversations with passengers, and
	(2) attending to children in the back seat. When the statistical method was applied to the
	data, it was found that distractions with the " <i>highest relative risk</i> " were: (1) billboards
	outside the vehicle, and, (2) searching for addresses. The authors note that both of the
	highest risk distractors were <i>visual</i> distractions, vs. physical, auditory, or cognitive.
Strengths	Authors controlled for possible confounding variables (such as age, gender, driving
	experience [years] and annual mileage driven) using logistical regression with culpability
	as the dependent variable.
Weaknesses/Limitations	Some researchers question the viability of the quasi-induced exposure method; cell
	phone use was (intentionally) excluded from the questionnaire. (It likely would have
	proven to be the highest risk factor). Confidence intervals were quite large.
Availability/Accessibility	Presented at large international conference; published in conference proceedings.

Date 1 <sup>st</sup>	2009
published/presented	
Location	UK - England
Author(s)	Chattington, M., Reed, N., Basacik, D., Flint, A., & Parkes, A.
Title	"Investigating Driver Distraction: The Effects of Video and Static Advertising:
Affiliation	Transport Research Laboratory
Forum	Report
Peer Reviewed?	Yes
Sponsor/funding source	Transport for London
Type of Study*	S
Type of Signs Studied**	C, V
Brief Description of	Used the high fidelity TRL driving simulator, with a specifically designed
Method	urban/suburban database typical of the area around London. 48 participants drove 4 different routes, each of which required about 15 minutes. Participants did not know the purpose of the study. Their eye movements were unobtrusively recorded. Roadside advertising was designed to vary by: location (placement within the scene); type (static or video); and exposure duration (at 30 MPH, drivers could see at least 50% of the advertisement for either 2, 4, or 6+ seconds. Video ads ran in a 6-second loop.
Summary of Findings	"The report has found significant effects on both drivers' visual behavior and driving
	performance when static and video adverts are present and that the video adverts seem more potent distractors than similarly placed static adverts. The results support and extend (the findings of) other studies of driver distraction by advertising." (Here, the authors cite the work of Crundall, et al, and of Young and Mahfoud, both of which were extensively reviewed in the Wachtel 2009 report for AASHTO).
	The study showed that several different aspects of driving performance were adversely affected both video and static billboards, with the video signs generally more harmful to such performance than the static signs. The authors describe these effects as being "fundamental to the safe control of the vehicle." The effects include: speed control, braking, and the variability of each of these measures, as well as drivers showing that they are "less able to maintain a consistent lane position"
Strengths	A very comprehensive and sophisticated simulation study. The researchers went so far as to pre-screen the content of the simulated advertisements to ensure that they were of equivalent interest to the different age groups in their participant population.
Weaknesses/Limitations	It is important to note that this study compared digital video billboards to traditional static billboards (i.e. it did not examine digital billboards with intermittent displays (i.e. those that change their message every 6-8 seconds) that are typical in the U.S. Although the authors state that their participants represented a "wide range of ages," it is not known how well young and old drivers were represented in the study. This is of concern because these two age groups at the ends of the driving population distribution are
Availability/Accessibility	TRL Report Number RPN256
1 i vanability / fielessibility	

Date 1 <sup>st</sup>	2009
published/presented	
Location	Australia, Queensland
Author(s)	Horberry, T., Regan, MA, & Edquist, J.
Title	Driver Distraction from Roadside Advertising: The clash of road safety evidence, highway
Affiliation	authority guidelines, and commercial advertising pressure.
	University of Queensland (Australia), INRETS (France), Monash University (Australia).
Forum	Unknown
Peer Reviewed?	Yes
Sponsor/funding source	Swedish National Road and Transport Institute, VTI
Type of Study*	CR, D, G
Type of Signs Studied**	C, D
Brief Description of	Critical review of the research, worldwide, as well as existing guidelines and regulations.
Method	
Summary of Findings	"Road authorities around the world may be justified in using the best research information available (albeit incomplete) coupled with engineering judgment for the development of 3 <sup>rd</sup> party advertising guidelines." The authors recommend that Main Roads Queensland adopt advertising restrictions at known areas of high driver workload, including "locations with high accident rates, non-junction related lane merges, curves/bends, hills and road/works/abnormal traffic flows. This is broadly in line with Wachtel who recommended a restriction of advertisements at times when driver decision, action points and cognitive demand are greatest – such as at freeway exits/entrances, lane reductions, merges and curves. Although useful for all road users, such restrictions would be of specific benefit to older drivers." The authors correctly point out the flaw in arguments that suggest that guidance or regulatory controls are premature because there is a lack of data showing a causal relationship between billboards and accidents
Strengths	The study examined in detail the existing (2002) guidelines that seek to "minimize the possibility for 3 <sup>rd</sup> party roadside advertisements to distract drivers" with an intent toward developing upgraded guidelines.
Weaknesses/Limitations	The review of current guidelines, worldwide, is somewhat superficial.
Availability/Accessibility	https://document.chalmers.se/download?docid=653291678

Date 1 <sup>st</sup>	2010
published/presented	
Location	Israel (Tel Aviv)
Author(s)	Gitelman, V., Zaidel, D., & Doveh, E.
Title	"Influence of Billboards on Driving Behavior and Road Safety,"
Affiliation	
Forum	Presented at: Fifth International Conference on Traffic and Transportation Psychology
	(2012); and at Annual Meeting of Transportation Research Board of the National
	Academies (2013)
Peer Reviewed?	Yes
Sponsor/funding source	Israel National Roads Authority
Type of Study*	E
Study Design	Quasi-experimental: Before and after crash date with controls – Crash data with DBBs
	present (2006-7) and absent (2008), with and without signs that were covered.
	Dependent measure – crashes and injuries. Control variable – traffic volume. Study sites
	– 8 treatment and 6 control.
Type of Signs Studied**	С
Brief Description of	Because of complaints, Israel's Supreme Court ruled that a series of billboards on an
Method	urban freeway near Tel Aviv had to be removed for 1 year while an evaluation took
	place. At control sites, the billboards remained visible throughout the study period. At
	treatment sites, billboards were visible in the "before" period (2006-7), and were
	covered during the "after" period (2008). Crashes were recorded and categorized
	(property damage only, injury or fatality) under four conditions: (a) at treatment sites
	while signs were visible; (b) at treatment sites after signs were covered; (c) at control
	sites where signs were visible; and (d) at the same control sites while signs were still
	visible but signs were covered at the treatment sites.
Summary of Findings	At control sites, crashes remained essentially the same throughout the 3-year study
	period; at the treatment sites, crashes declined dramatically after the billboards were
	covered. The results were the same for injury and fatal crashes. After adjusting for
	traffic volume, crashes were reduced at the treatment sites (where billboards were
	visible in the "before" period but covered during the "after" period) by the following
	percentages: all crashes by 60%; injury/fatal crashes by 39%; property damage crashes
	by 72%.
Strengths	For a field study, this used a well-controlled research design. Before-and-after measures
	were obtained both for sites where the billboards were covered during the study, and
	for the sites where the billboards remained visible during this same time period. Road
	sections were in close proximity, on the same highway, ensuring that traffic speeds and
	volumes, as well as weather conditions, law enforcement activity, etc. were comparable.
Weaknesses/Limitations	There might have been differences in certain roadway characteristics between the
	treatment and control sites (e.g. curves, merges, etc.) that were not identified.
Availability/Accessibility	Findings available as PowerPoint from either conference; original study is in Hebrew
	only; English translation not yet available.

Date 1 <sup>st</sup>	2010
published/presented	
Location	Saudi Arabia
Author(s)	Bendak, S., & Al-Saleh, K.
Title	"The Role of Roadside Advertising Signs in Distracting Drivers."
Affiliation	King Saud University
Forum	International Journal of Industrial Ergonomics, 40, 233-236.
Peer Reviewed?	Yes
Sponsor/funding source	Research Centre of the College of Engineering, King Saud University
Type of Study*	S, QI
Study Design	
Type of Signs Studied**	O, C, D, V
Brief Description of	Twelve male drivers, age 23-28, drove a simulator consisting of two urban roadways,
Method	each 9.3-km long, and matched for physical, environmental and traffic characteristics.
	One road contained advertising signs; the other was devoid of advertisements.
Summary of Findings	The average driving duration was 12.83 minutes for each route showing that the
	presence of advertising signs did not materially affect driving speed. There were no
	accidents. Lane placement and position maintenance suffered significantly in the
	presence of advertising signs. According to the authors: "swinging and drifting from lane
	in the presence of advertising signs is a strong indication of how such signs distract
	drivers and affect their performance." A second finding was that "recklessly crossing
	dangerous intersections" was also significantly and adversely affected by the presence
	of advertising signs. This finding, according to the authors "indicates the loss of this fine
	coordination between paying attention and driving This can reasonably attributed
	to the longer reaction time needed in the presence of hazards due to being distracted."
	All three of the other measures: tailgating "overspeeding" and failure to signal were
	noorer in the presence of advertising signs, but these were not statistically significant. In
	response to the questionnaire 50% of the 160 respondents said they had been
	distracted by advertising signs, and 22% reported having been in a dangerous situation
	at least once due to being distracted by advertising signs
Strengths	The two simulated routes driven were matched for key characteristics: the differences
on ongoing	between them were essentially only in the presence or absence of advertising signs.
Weaknesses/Limitations	No females and no drivers older than 28 were included. "Advertising" signs of many
,	different types were comingled, so it was impossible to identify the effects of any one
	category of signs, such as billboards. No definition is provided of the behavior identified
	as "recklessly crossing dangerous intersections." The authors attribute poorer
	performance in this measure to longer reaction time in the presence of the advertising
	signs, but there is no indication that they measured this response. The questionnaire
	completed by 160 respondents was not included in the paper.
Availability/Accessibilitv	www.elsevier.com/locate.ergon
Availability/Accessibility	different types were comingled, so it was impossible to identify the effects of any one category of signs, such as billboards. No definition is provided of the behavior identified as "recklessly crossing dangerous intersections." The authors attribute poorer performance in this measure to longer reaction time in the presence of the advertising signs, but there is no indication that they measured this response. The questionnaire completed by 160 respondents was not included in the paper.

Date 1 <sup>st</sup>	2011
published/presented	
Location	Canada (Calgary, Alberta)
Author(s)	Milloy, SL; and Caird, JK.
Title	"External Driver Distractions: The Effects of Video Billboards and Wind Farms on Driver
Affiliation	Performance."
	University of Calgary
Forum	Book chapter
Peer Reviewed?	Yes
Sponsor/funding source	Unspecified
Type of Study*	S
Type of Signs Studied**	V (simulated)
Brief Description of	The contribution to driver distraction from in-vehicle technologies such as cell phones, I-
Method	Pods, and navigation systems have been studied extensively. But it is external
	distractions that compose the single largest category of distraction-related crashes. The
	least is known about such crashes possibly because the variety of people objects and
	events that make up external distractions are very difficult to study in a controlled
	empirical fashion. In theory, drivers often have snare cognitive canacity that they can
	allocate toward distractors such as hillhoards. The question asked here was: what
	hannens when an unlikely but totally plausible emergency event takes place – can the
	driver "reallocate" his or her attention so as to respond to the event in a timely manner
	In this "event-based" scenario, either the driver respond to the event in a timery manner.
	simulator study, drivers on a freeway moving at 80 km/h (50 mph) in an industrial
	simulator study, drivers on a neeway moving at oo kin/in (50 mph) in an industrial
	herely a band
Cummany of Findings	Didkeu lidiu. The results found a squad (italias original) relationship between the process of the
Summary of Findings	rides hillboard and collisions with and delays in responding to the load webiels. The
	video biliboard and collisions with, and delays in responding to, the lead vehicle. The
	authors note that the billocards in this study were less able to capture the drivers
	attention than video biliboards in the real world because the simulated biliboards were
	not as bright as actual biliboards, and because the study was not conducted at hight,
	where the distracting effects were believed to be greater. The implication is that real
	world safety problems may be more significant than those indicated by the study.
2	
Strengths	A high fidelity, interactive driving simulator with a 150-degree forward field of view was
	used. All 21 subjects made three drives, and viewed two static and two video billboards
	in each. The images on the billboards were different in each presentation. A lead vehicle
	appeared intermittently, and, twice during each presentation, braked suddenly so that
	the subject had to respond quickly to avoid a collision
Weaknesses/Limitations	Younger and older drivers, those believed to be most susceptible to such distractions,
	were not included in the study. Learning may have occurred from earlier drives, and
	subjects may have come to use the appearance of billboards as a visual cue to prepare to
	brake for the lead vehicle.
Availability/Accessibility	Published in: "Handbook of Driving Simulation for Engineering, Medicine and
	Psychology." Edited by: D.L. Fisher, M. Rizzo, J.K. Caird, & J.D. Lee. Boca Raton: CRC Press.

Date 1 <sup>st</sup>	2011
published/presented	
Location	Australia, Perth
Author(s)	Edquist, J., Horberry, T., Hosking, S. & Johnston, I
Title	"Advertising billboards impair change detection in road scenes"
Affiliation	Monash University Accident Research Centre
Forum	2011 Australasian Road Safety Research, Education & Policing Conference
Peer Reviewed?	Yes
Sponsor/funding source	Unknown
Type of Study*	L
Type of Signs Studied**	С, Н
Brief Description of	The authors used a "change detection" paradigm to study how billboards affect visual
Method	search and situation awareness in road scenes. Change detection time has been shown
	to correlate with at-fault errors in a simulated driving task. In a controlled experiment,
	inexperienced (mean age 19.3), older (73.0), and comparison (34.8) drivers searched
	for changes to road signs and vehicle locations in static photographs of road scenes. The
	road scenes ranged from suburban main streets to multilane highways to provide
	varying levels of background clutter. The actual experimental protocol is too complex to
	include in this summary, but may be found in the original article.
Summary of Findings	"The finding that the presence of billboards increases time to detect changes is an
	important one. This result lends support to the idea that billboards can automatically
	attract attention when drivers are engaged in other tasks, delaying their responses to
	other aspects in the environment The effect of billboards was particularly strong in
	scenes where response times are already lengthened by high levels of built or designed
	clutter. This is particularly concerning, as road scenes with high levels of built and/or
	designed clutter are just the sort of busy, commercial, high traffic environments where
	billboards are most often erected." Participants took longer to detect changes in road
	scenes that contained advertising billboards. This finding was especially true when the
	roadway background was more cluttered, when the change was to an official road sign,
	and for older drivers. The results are consistent with the small but growing body of
	evidence suggesting that roadside billboards impair aspects of driving performance
	such as visual search and the detection of hazards, and therefore should be more
	precisely regulated in order to ensure a safe road system.
Strengths	The change detection task has been shown to be relevant to safe driving performance,
	but has been underutilized in research. The inclusion of three diverse age cohorts
	addresses limitations in many other studies.
Weaknesses/Limitations	The study did not include an actual, or simulated driving task; rather a surrogate
	measure for visual subtasks required during driving. (However, the results are
	consistent with mounting evidence showing that roadside billboards impair key aspects
	of driving performance J. Horberry, et al., (2009) argue that: "rather than waiting until it
	can be proven beyond doubt that roadside advertising is responsible for a particular
	consion, road authorities should regulate billboards to minimize the probability of
A	Interference with driving.
Availability/Accessibility	<u>nttp://casr.adeiaide.edu.au/rsr/KSK2U11/4LPaper%2U166%2UEdquist.pdf</u>

Data 1st	2011
Date 1 <sup>st</sup>	2011
Location	Australia Victoria
Author(c)	Australia, Victoria
Title	"Effects of Advertising Billboards During Simulated Driving"
Affiliation	Monach University Victoria Australia
Forum	Applied Ergenomics 42, 610, 626
Poor Deviewed?	Applied Ergonomics, 42, 619-626
Peer Revieweu?	Ies Department of Main Doods, Ouesnaland, 2 Doot Creducts Awards
Sponsor/lunding source	Department of Main Roads, Queensiand, 2 Post-Graduate Awards
Type of Study	3 C D
Type of Signs Studied***	
Method	comparisons who were neither. The 180-deg. display presented scenarios that included
	presence of other traffic was varied. The main task was to change lanes in response to
	roadside lane change signs at regular intervals. This task was broadly based on the ISO
	measures included: errors (missed a sign or changed into the wrong lane), and time to
	change lanes. More errors and slower lane changes were indicative of poor
	performance. Billboards were present, on either side of the road, at a subset of the lane change signs. Billboard displays contained a large logo of well-known companies (see
	weaknesses below). Head and eye movements were captured. After each drive,
	participants completed the NASA-RTLX mental workload scale.
Summary of Findings	In all cases, lane changes at sites with billboards took longer than sites without (control
	sites). Drivers were significantly more likely to make errors at sites with billboards. This
	effect was stronger for older and for novice drivers than it was for comparison drivers.
	The effect of billboards was to reduce the time fixating on the road ahead for almost all
	subgroups of participants, and this effect was stronger for older and novice drivers. As
	predicted by multiple resource theory, the presence of billboards distracted eye
	movements from the road ahead and delayed responses to road signs.
Strengths	Included novice and older drivers: used NASA RTLX for self-report on workload.
Weaknesses/Limitations	Lead vehicles did not change speed, thus did not increase driver workload. Study in
	general presented low workload conditions.
Availability/Accessibility	https://www.sciencedirect.com/science/article/abs/pii/S0003687010001274?via%3D
	ihub

Date 1 <sup>st</sup>	2012
published/presented	
Location	Sweden (Stockholm)
Author(s)	Dukic, T., Ahlstrom, C., Patten, C., Kettwich, C., & Kircher, K.
Title	"Effects of Electronic Billboards on Driver Distraction."
Affiliation	Swedish National Road and Transport Research Institute, and Karlsruhe Institute of
	Technology
Forum	Journal of Traffic Injury Prevention
Peer Reviewed?	Y
Sponsor/funding source	Swedish Transport Administration
Type of Study*	Q
Type of Signs Studied**	D
Brief Description of Method Summary of Findings	The Swedish government allowed 12 digital billboards to be erected along highways near Stockholm for a trial period during which this, and related research was conducted. 41 volunteers drove an instrumented vehicle past 4 of the billboards in both day (N = 20) and night (N = 21) conditions. Eye movements (and other measures) were recorded. "A driver (was) considered to be visually distracted when looking at a billboard continuously for more than two seconds with a single long glance, or if the driver looked away from the road for a 'high percentage of time'." (This is defined in the study based on prior research, but is too complex for inclusion in this brief summary). Dependent measures were eye tracking and driving performance measures. Drivers had a significantly longer dwell time (time looking at the billboards), a greater number of fixations, and a longer maximum fixation duration when driving past a DBB compared to other signs along the same road sections. No differences were found for day-night, or for specific driver performance variables.
Strengths	Excellent review of the relevant literature and explanation of the psycho-physiological processes involved
Weaknesses/Limitations	It is known from other research that younger drivers (e.g. those under age 25) and older
	drivers (e.g. those over age 65) are more likely to be distracted by roadside stimuli that
	are irrelevant to the driving task; this study was limited to drivers between the ages of 35 and 55.
Availability/Accessibility	http://www.tandfonline.com/doi/abs/10.1080/15389588.2012.731546

Date 1 <sup>st</sup>	2012
published/presented	
Location	USA
Author(s)	Perez, WA, Bertola, MA, Kennedy, JF, & Molino, JA
Title	"Driver Visual Behavior in the Presence of Commercial Electronic Variable Message Signs
	(CEVMS)."
Affiliation	SAIC (now Leidos)
Forum	Unnumbered FHWA Report
Peer Reviewed?	$N^4$
Sponsor/funding source	Federal Highway Administration
Type of Study*	С
Type of Signs Studied**	O, C, D, H
Brief Description of	FHWA contractor used instrumented vehicle with on-board eye glance data recording as
Method	participant drivers drove along predetermined routes in Reading, PA and Richmond, VA.
	Each route took the participants past a series of on-premise and off-premise (billboard)
	signs, apparently both conventional and digital, during daytime and at night.
Summary of Findings	Gazes to the road ahead were high across all test conditions; however, in three of the four
	test conditions digital and conventional billboards resulted in a lower probability of
	gazes to the road ahead as compared to the control conditions in which billboards were
	not present (although on-premise signs, including, potentially, electronic signs, might
	have been present). In Richmond, drivers gazed more at the digital than standard
	billboards at night, but this difference was not found in Reading.
Strengths	The study used state-of-the-art eye glance recording equipment. The study route had
	drivers pass signs on rural and urban routes, and surroundings that differed in visual
	complexity.
Weaknesses/Limitations	Numerous critical discrepancies between draft and final reports; errors in identifying
	billboard locations including size, distance from road edge, side of road; both far and
	near distances at which eye glances to billboards were recorded were artificially
	truncated; two experimenters sat in the vehicle with the participant driver; data overload
	required experimental vehicle to pull off road for resets; inappropriate recordation of
	billboard luminance levels; confounding of billboards with on-premise signs.
Availability/Accessibility	Report is available on the FHWA website at
	http://www.fhwa.dot.gov/real_estate/oac/visual_behavior_report/final/cevmsfinal.pdf

<sup>&</sup>lt;sup>4</sup>In March 2011, FHWA released a draft version of the report to three pre-selected peer reviewers. The reviewers were not identified and the draft report was not made available to the public. The comments of two of the three reviewers (the third did not provide meaningful or comprehensive comments) were so critical of the draft report (stating, in essence, that the report's findings about eye glance durations to billboards were not credible) that FHWA spent the next 33 months revising and rewriting the report. A final report, which was *not* peer reviewed, was released on the agency's website on December 30, 2013, although the report was dated September 2012. Although the unreleased draft report was given the official agency report number FHWA-HEP-11-014, the final report remains unnumbered and unpublished.

Data 1st	2012
Date 1 <sup>st</sup> nublished/presented	2013
Location	U.S. (Massachusetts Amherst)
Author(s)	Divekar & Pradhan AK Pollatsek A & Fisher DL:
Title	"Effects of External Distractions"
Affiliation	University of Massachusetts Amherst
Forum	Iournal
Peer Reviewed?	Yes
Sponsor/funding source	National Institutes of Health National Science Foundation Arbella Insurance Group
oponioi / rananig cource	Charitable Foundation
Type of Study*	S
Type of Signs Studied**	D (simulated)
Brief Description of	Following previous research in the same lab, the authors sought to understand: (a) why
Method	experienced drivers were taking such long glances at external distractions (simulated
	billboards) when they were unwilling to do so for distractors inside the vehicle, and (b)
	if these experienced drivers were sacrificing some of their ability to monitor visible
	hazards in the roadway ahead of their vehicle, are they sacrificing even more of their
	ability to anticipate unseen hazards. Novice and experienced drivers performed an
	external search task (reading a simulated billboard) while driving in a simulator. Eye
	movements were recorded, as were vehicle performance.
Summary of Findings	Distractions are a major contributor to crashes, and almost one-third of such
	distractions are caused by sources external to the vehicle. Of these, digital billboards
	stand out because of their brightness and changing imagery. Recent research indicates
	that such billboards may attract attention away from the forward roadway for extended
	periods of time, and converging evidence shows that looking away from the forward
	roadway for such extended periods is associated with elevated crash risk. The external
	tasks in this study were designed to be similar to scanning a sign dense with information
	in the real world, such as a digital billboard that changed message every few seconds.
	"This study provides clear evidence that external tasks are distracting not only for
	novice drivers, but also for more experienced drivers." For both groups, external
	distractions significantly affect the drivers' anticipation of hazards. Overall the study
	showed that experienced as well as novice drivers are at an elevated risk of getting into
	a crash when they are performing a secondary task such as looking at a billboard.
Strengths	Sophisticated driving simulator with realistic hazard scenarios.
Weaknesses/Limitations	The simulated billboards, although requiring an external, visual distraction task, were
	not very representative of roadside billboards. There was no effort to study the effects
	of such external distractions on older drivers, a group known to be at high risk for such
	distraction
Availability/Accessibility	Transportation Research Record, Journal of the Transportation Research Board No.
	2321.

Date 1 <sup>st</sup>	2013
published/presented	
Location	Australia
Author(s)	Roberts, P., Boddington, K., & Rodwell, L.
Title	"Impact of Roadside Advertising on Road Safety"
Affiliation	ARRB Group (formerly Australian Road Research Board)
Forum	Austroads Road Research Report: Publication No. AP-R420-13
Peer Reviewed?	Unknown
Sponsor/funding source	Austroads (The Association of Australian and New Zealand Road Transport and Traffic
	Authorities)
Type of Study*	CR, G
Type of Signs Studied**	O, C, D, V
Brief Description of	(a) A critical review of existing literature to study the risk of distraction from roadside
Method	advertising, and to communicate these findings; (b) document and review existing
	guidelines across different highway agencies to identify gaps and inconsistencies; (c)
	develop guiding principles and make guidance recommendations that could be used to
	create guidelines and to harmonize guidelines across diverse agencies.
Summary of Findings	Most drivers, under most conditions, most of the time, probably possess sufficient spare
	cognitive capacity that they can tolerate driving-irrelevant information. The problem
	comes in some driving situations where it becomes likely that (the appearance of)
	movement or changes in luminance will involuntarily capture attention and that
	particularly salient emotional or engaging information will capture attention to the
	detriment of driving performance, particularly in inexperienced drivers. Where this
	happens in a driving situation that is also cognitively demanding, the consequences for
	driving performance are likely to be significant. Further, if this attentional canture also
	results in a situation where a driver's eves are off the forward roadway for a significant
	amount of time (i.e. 2 seconds or longer) this will further reduce safety. Additionally
	road environments cluttered with driving-irrelevant material may make it difficult to
	extract information that is necessary for safe driving narticularly for older drivers. The
	studies that have been conducted show convincingly that readside adverticing is
	distracting and that it may lead to near a vehicle control. Decults from the Vlayor at al
	(2006) studies show that leading at an external chiest ingreased the grash rick by nearly
	(2006) studies show that looking at an external object increased the crash risk by hearly
	four times, nonetheless the number of crashes resulting from such distraction is
	probably quite small. This suggests that the contribution of roadside advertising to
	crashes is likely to be relatively minor. Nonetheless, from the Safe System perspective it
	would be difficult to justify adding any infrastructure to the road environment that
	could result in increased distraction for drivers. The exception to this may be in the case
	long drives on monotonous roads where drivers are likely to suffer the effects of passive
	fatigue.
Strengths	A comprehensive review, not only of existing research, but also of relevant human
	factors principles, advertising sign technology, and best practices.
Weaknesses/Limitations	Although the authors extensively review and comment on existing regulations and
	guidelines, only brief mention is made of guidelines in the U.S.
Availability/Accessibility	Available on the Austroads website

Date 1 <sup>st</sup>	2013
published/presented	
Location	Denmark
Author(s)	Herrstedt, L., Greibe, P., & Andersson, P.
Title	"Roadside Advertising Affects Driver Attention and Road Safety."
Affiliation	Trafitec, Denmark
Forum	International Conference
Peer Reviewed?	Yes
Sponsor/funding source	Unknown
Type of Study*	Q
Type of Signs Studied**	C, D
Brief Description of	32 drivers, both men and women between the ages of 23 and 70, drove an instrumented
Method	vehicle on one of several comparable routes. Drivers had to have a current license and
	not require eyeglasses while driving. Drivers were not informed in advance of the
	purpose of the drive. The car's instruments recorded eve movements, vehicle speed and
	position, and proximity to vehicles ahead of the test vehicle. A "safety buffer" was
	calculated which reflected the time available for the driver to respond to a sudden
	critical situation requiring immediate action to avoid an accident.
Summary of Findings	A total of 109 drives past advertising signs were completed, and a total of 233 glances to
Summary of Finands	the 16 roadside advertising signs were recorded. Results showed that in 69% of all
	drives the driver glanced at the advertisement at least once. In nearly half of all drives
	the driver glanced two or more times to the same hillhoard 18% of all glances lasted for
	1 second or longer and the total duration of successive glances on a single drive was 1.5
	seconds or longer in 29% of trials 2.0 seconds or longer in 22% of trials and 3.0
	seconds or longer in 10% of trials. In 65 of the 233 glances (28%) a vehicle abead was
	prosent within a time gap of loss than 3.0 seconds. In 59 cases (25%) the safety huffer
	was loss than 2.0 seconds, and in 20% of all cases, the safety buffer was as low as 1.5
	was less than 2.0 seconds, and in 20% of all cases, the safety burlet was as low as 1.5 $r_{2}$
	seconds. The authors conclude that, in 25% of an cases, unving safety was reduced
	because the safety buller was less than 2 seconds to the lead vehicle. Further, in 16% of
	an drives (17 out of 109), the sum of cumulative glances to the same billboard resulted
	In visual distraction using the method developed by VIII (2.0 seconds or more within a
	6.0 second window J. In other words, the authors state: In more than every sixth drive
	past, visual distraction occurs as a result of the advertising sign. Their overall
	conclusion was that "the investigated advertising signs do capture drivers" attention to
	the extent that it impacts road safety."
Strengths	This is one of only two known on-road studies to combine measures of driver glance
	behavior (number and duration of glances to billboards) with the simultaneous measure
	of following distance to a vehicle ahead, and the only one to (apparently) calculate such
	following distances via laser scanner for accuracy. Older drivers were included in the
	participant group.
Weaknesses/Limitations	More details about the specific billboards studied would have been helpful.
Availability/Accessibility	Proceedings of the 3 <sup>rd</sup> International Conference on Driver Distraction and Inattention.

Date 1 <sup>st</sup>	2014
published/presented	
Location	US
Author(s)	Hawkins, HG, Jr., Kuo, P-F, & Lord, D.
Title	"Statistical Analysis of the Traffic Safety Impacts of On-Premise Digital Signs"
Affiliation	Texas A&M University
Forum	93 <sup>rd</sup> Annual Meeting of the Transportation Research Board
Peer Reviewed?	Yes
Sponsor/funding source	On-premise sign industry (Signage Foundation, Inc.)
Type of Study*	E
Type of Signs Studied**	0
Brief Description of	135 sites in four states, where on premise signs had been installed in 2006-07, were
Method	compared to 1,301 control sites using the Empirical Bayes (EB) statistical methodology.
Summary of Findings	There were no statistically significant changes in crash frequency associated with the
	installation of the on-premise digital signs studied. A calculated safety effectiveness
	index was equal to 1.00, with the 95 percent confidence interval between 0.93 and 1.07.
	The findings were similar for each of the four investigated States. The researchers
	concluded that "there is no evidence (that) the installation of on-premise signs at the
	locations (studied) led to an automatic increase in the number of crashes." The authors
	point out in their conclusions that it might be of interest to examine whether or not the
	index varies as a function of sign design and operation or characteristics of the crashes
	themselves.
Strengths	The study employed a large database and a robust statistical analysis procedure.
Weaknesses/Limitations	The on-premise signs to be studied were chosen by the sponsor and individual sign
	companies rather than by the authors or at random. It is possible that the selection
	criteria included a bias toward the least potentially distracting signs (in terms of size,
	color, contrast, animation, video, etc.).
Availability/Accessibility	Paper No.: 14-2772 of the 93 <sup>rd</sup> Annual Meeting of the Transportation Research Board.

Date 1 <sup>st</sup>	2014
published/presented	
Location	USA
Author(s)	Schieber, F., Limrick, K., McCall, R., & Beck, A.
Title	"Evaluation of the Visual Demands of Digital Billboards Using a Hybrid Driving
Affiliation	Simulator"
	University of South Dakota
Forum	Journal
Peer Reviewed?	Yes
Sponsor/funding source	Unknown
Type of Study*	S
Type of Signs Studied**	D (Simulated)
Brief Description of	The authors used a purpose-built hybrid driving simulator designed "for investigating
Method	the limits of sign reading performance while driving." The driving task and the view of
	the road ahead used a validated, commercial simulator; but the digital billboard
	stimulus was implemented on a separate 20:1 scaled LCD display mounted on a linear
	actuator rail that could move the simulated sign toward the observer at angular
	velocities simulating speeds up to 55 mph. 18 university undergraduates participated.
	Gaze direction (road ahead vs. billboard) was captured by a video recording of each
	participant's face as they drove– this technique was previously demonstrated by the
	senior author. Participants drove once at 25 and again at 50 mph. Digital billboard
	stimuli were presented at predetermined random intervals, and contained either 4, 8, or
	12 frequently used English words, also displayed at random.
Summary of Findings	The authors state: "Although little or no decrement in lane keeping or reading
	performance was observed at slow speed (25 MPH) on straight roads, clear evidence of
	impaired performance became apparent at the higher driving speed (50 MPH). Lane
	keeping performance was significantly degraded when participants were required to
	read digital billboards with 8 or more words at the higher speed. This decrement
	became greater when the sign contained 12 words. Surprisingly, the decrements in lane
	keeping performance emerged <i>after</i> the participants had finished reading the sign. The
	participants tended to slowly drift away from the center of the lane, and then executed a
	large amplitude corrective steering input during the 8-second interval after
	encountering the digital billboard. Eye gaze statistics and reading performance showed
	that information processing overload began to emerge at a message length of 8 words
	and was clearly present when 12 words were displayed.
Strengths	Sophisticated, hybrid driving simulator with a custom built zoomed image sign projector
	designed to overcome traditional simulator constraints on sign legibility at realistic
	distances. Simulated digital billboards contained different, common words of 4-5 letters
Woolmoogoo /Linitation	each, and each was presented in the same size and location on the billboard.
weaknesses/Limitations	no older univers were studied. I here is no discussion of the validity of the hybrid
	in width, only about one fifth the width of twiced highway billboards were only 10 ft.
Availability / Accessibility	In which, only about one-intri the which of typical highway billboards.
Availability/Accessibility	$r_1$ occertings of the number ructors and ergonomics society $58^{\circ\circ}$ Annual Meeting, 2214-
	4410.

Date 1 <sup>st</sup>	2014
published/presented	
Location	Israel (Tel AVIV)
Author(s)	Gitelman, V., Zaidel, D., Doven, E., & Silberstein, R.
Title	"Accidents on Ayalon Highway - Three Periods Comparison: Billboards Present,
Affiliation	Removed, and Returned"
Forum	
Peer Reviewed?	Yes
Sponsor/funding source	Israel National Roads Authority
Type of Study*	E
Study Design	Quasi-experimental: Billboards present (2006-07), absent (2008), present again (2009-
	12) with controls. Dependent measure – property damage and injury crashes. Control
	variable – traffic volume. Study sites – 8 treatment and 6 control.
Type of Signs Studied**	С
Brief Description of	Because of complaints, Israel's Supreme Court ruled that a series of billboards on an
Method	urban freeway near Tel Aviv had to be removed, i.e. covered, for one year while an
	evaluation took place. At the end of the experimental period, the billboards were
	uncovered such that they were again visible to motorists. At control sites, the billboards
	remained visible throughout the study period. At treatment sites, billboards were
	visible in the "present" period (2006-7), covered during the "removed" period (2008),
	and visible again in the "returned" period (2009-12). Crashes were recorded and
	categorized (property damage only, injury or fatality) under six conditions: (a) at
	treatment sites while signs were visible; (b) at treatment sites after signs were covered;
	(c) at treatment sites where signs were visible again after having been uncovered; (d) at
	control sites where signs were visible; and (e) at the same control sites while signs were
	still visible but signs were covered at the treatment sites; and (f) at control sites while
	signs were again visible at the treatment sites.
Summary of Findings	At control sites, crashes remained essentially the same throughout the 6-year study
	period; at the treatment sites, crashes declined dramatically after the billboards were
	covered, and returned just as dramatically once the billboards were uncovered and
	therefore again visible. The results were the same for injury and fatal crashes. After
	adjusting for traffic volume, crashes were reduced at the treatment sites (where
	billboards were visible in the "before" period but covered during the "after" period) by
	the following percentages: all crashes by 60%; injury/fatal crashes by 39%; property
	damage crashes by 72%.
Strengths	For a field study, this used a well-controlled research design. Before-and-after measures
	were obtained both for sites where the billboards were covered during the study, and
	for the sites where the billboards remained visible during this same time period. Road
	sections were in close proximity, on the same highway, ensuring that traffic speeds and
	volumes, as well as weather conditions, law enforcement activity, etc. were comparable.
Weaknesses/Limitations	There might have been differences in certain roadway characteristics between the
	treatment and control sites (e.g. curves, merges, etc.) that were not identified.
Availability/Accessibility	Complete study is in Hebrew only; English translation is available for the Executive
	Summary only.

Date 1st	2015
published/presented	
Location	Australia
Author(s)	Young, KL, Stephens, AN, Logan, DB, & Lenne, MG
Title	An on-road study of the effect of roadside advertising on driver performance and
Affiliation	situation awareness
	Monash University Accident Research Centre
Forum	International Driver Distraction and Inattention Conference, 2015
Peer Reviewed?	Yes
Sponsor/funding source	Unknown
Type of Study*	Q
Type of Signs Studied**	C
Brief Description of	19 participants drove an instrumented car on a prescribed route selected for easy
Method	navigation and the presence of many billboards. (Only conventional, static billboards
	were used). The route was 38km long and took about 50 minutes to complete. There
	were sections with freeways, retail areas, and arterial roadways. Situation awareness
	(SA) was measured continuously throughout the drive using a method called Verbal
	Protocol Analysis (VPA). This required continuous speaking by the driver about things
	he or she sees, or does, or acts on. Participants were trained in this methodology for 30
	minutes prior to starting the drive. VPA seems very similar to the technique known as
	commentary driving in the US. This paper used only the freeway data, and that section
	of the route had 2 billboards – one on the left side of the road and one mounted on an
	overhead bridge. Data analyzed included longitudinal and lateral vehicle control, the
	output of the VPA recording, and speed.
Summary of Findings	One interesting finding was that drivers drove slower than the speed limit in a roadway
, 5	section that included the billboard on the roadside, and faster than the speed limit in a
	comparable section with no billboard. The authors found this of relevance because this
	particular billboard received the most comments in the VPA and the post-drive
	interview. There were no significant differences in speed variability or lateral control
	between billboard and control sections of the route. Network analysis was used to
	analyze drivers' SA through the VPA. Key concepts forming the drivers' SA were
	different in billboard than in control segments. In the billboard segment, drivers were
	aware of their speed and the speed limit, suggesting to the authors that drivers were
	able to maintain an awareness of driving-related tasks despite the fact that the billhoard
	featured heavily in their awareness, although this aspect of awareness did seem to be
	reduced from what it was during the control segment. Compared to the roadside
	billboard, the overpass billboard was far less a feature of drivers' awareness
Strengths	The use of VPA data was unusual and helpful
Weaknesses/Limitations	Studied static, not electronic billboards; limited number of subjects and billboards.
Availability/Accessibility	

Date 1 <sup>st</sup>	2015
published/presented	
Location	USA
Author(s)	Sisiopiku, VP, Islam, M., Haleem, K., Alluri, P. & Gan, A.
Title	"Investigation of the Potential Relationship between Crash Occurrences and the
Affiliation	Presence of Digital Billboards in Alabama and Florida"
Forum	Conference Paper
Peer Reviewed?	Yes
Sponsor/funding source	U.S. Department of Transportation/RITA, Alabama Department of Transportation, Florida Department of Transportation
Type of Study*	E
Type of Signs Studied**	D
Brief Description of Method	The authors analyzed historical crash records from the states of Alabama and Florida. They identified locations of digital billboards along major limited-access roadways and chose 18 suitable sites for analysis, each with its own control site. Crash records were obtained for a five-year period from a centralized database in Alabama, and crash rates were determined per million vehicle miles travelled at each site. The procedure was similar in Florida, although only three years were studied. Because many crashes in the vicinity of the billboards were found to be located incorrectly, the authors retrieved the actual police traffic collision reports for 783 crashes. Of these, 406 had to be eliminated due to coding errors in the original summary reports, leaving a total of 377 crashes for the safety assessment.
Summary of Findings	The authors state: "The overall results were consistent between the two states. The presence of digital billboards increased the overall crash rates at "digital advertising billboard influence zones" by 25% in Florida and 29% in Alabama, compared to control sites. In addition, sideswipe and rear-end crashes were overrepresented at digital billboard influence zones compared to control sites.
Strengths	Included in their influence zone was a short distance (minimum 0.05 mile) downstream of each billboard. This is in keeping with the findings of Schieber, et al., discussed elsewhere in the present document. The influence zone and associated control zone for each billboard were matched for traffic and roadway conditions.
Weaknesses/Limitations	The authors provide no explanation for how the specific billboard locations were chosen out of all possibilities that they identified. Apparently, they identified "influence zones" by calculating the distances upstream of each digital billboard from which the sign could be seen, using Google Street View. There seems to have been no effort to relate sight distance in the real world to that shown in the Google Street View images. It is unclear whether their 5 years of data (AL) and 3 years (FL) correspond to periods when the billboards studied were actually in place, given that the authors seem to have selected sites from Google Street View.
Availability/Accessibility	<i>Proceedings of the Human Factors and Ergonomics Society 58th Annual Meeting,</i> 2214-2218.

Date 1 <sup>st</sup>	2015
published/presented	
Location	Canada
Author(s)	Rempel, G., Montufar, J., Forbes, G., & Dewar, R.
Title	"Digital and projected advertising Displays: Regulatory and Road Safety Assessment
Affiliation	Guidelines."
	MORR Transportation Consulting, Ltd., Intus Road Safety Engineering, Inc, Western
	Ergonomics, Inc.
Forum	Transportation Association of Canada Report
Peer Reviewed?	Yes
Sponsor/funding source	Transportation Association of Canada
Type of Study*	CR
Type of Signs Studied**	0, D
Brief Description of	The authors performed a critical literature review, met with representatives of Canadian
Method	government agencies and outdoor advertising companies, investigated practices and
	regulations/guidelines in other countries, and applied human factors principles toward
	the development of guidelines for Canada.
Summary of Findings	The resultant guidelines are specific to traffic safety issues – they do not address the
	aesthetic, "nuisance," or economic factors of such signs. Guidance is developed for sign
	density, spacing, dwell time (which they call "frame duration"), illuminance (which they
	authors call "brightness"), proximity to traffic control devices and driver decision points,
	message sequencing and text scrolling, animation, and transition time between
	messages. The overriding principle proposed in this report is that digital advertising
	signs should "emulate" traditional signs.
Strengths	A comprehensive review, not only of existing research, but also of relevant human
	factors principles, advertising sign technology, and best practices.
Weaknesses/Limitations	Accepted industry practices regarding DBB lighting rather than getting the views of
	lighting experts or undertaking their own independent evaluation.
Availability/Accessibility	Available for purchase from Transportation Association of Canada at <u>http://tac-</u>
	atc.ca/en/digital-and-projected-advertising-displays-publication-now-available

Date 1 <sup>st</sup>	2015
published/presented	
Location	Australia
Author(s)	Samsa, C., & Phillips, T.
Title	"Digital Billboards 'Down Under': Are they Distracting to Drivers and can Industry and
Affiliation	Regulators Work Together for a Successful Road Safety Outcome?"
	Samsa Consulting, Outdoor Media Association of Australia
Forum	4 <sup>th</sup> International Conference on Driver Distraction and Inattention
Peer Reviewed?	Yes
Sponsor/funding source	Outdoor Media Association of Australia
Type of Study*	С
Type of Signs Studied**	C, D, O
Brief Description of	29 participants, ages 25-54, drove an instrumented vehicle along a 14.6 km route in
Method	Brisbane, Queensland. Drivers were fitted with "eye tracking glasses."
Summary of Findings	Average fixation durations were "well below 0.75 s". There were no significant
	differences in average vehicle headway between the three signage types. There was a
	statistically significant difference in lateral deviation when billboards were present.
Strengths	The data showing significant differences in lateral deviation in the presence of
	billboards is in accord with findings from other recent studies.
Weaknesses/Limitations	No older drivers were studied. There is little description of the eye tracking glasses
	used, but this apparatus is not known to provide the precision necessary to determine
	exactly where the wearer is looking. No information is provided to enable the reader to
	determine how vehicle headways were measured; as such it is not possible to compare
	this study to the one conducted in Denmark, where headway measurement was clearly
	described.
Availability/Accessibility	https://www.ivvy.com/event/DD2015

Date 1st	2016
published/presented	
Location	USA
Author(s)	Wilson, RT, & Casper, J.
Title	The role of location and visual saliency in capturing attention to outdoor advertising –
Affiliation	How location attributes increase the likelihood for a driver to notice a billboard ad
	Texas State University, Traffic Audit Bureau for Media Measurement, Inc.
Forum	Journal of Advertising Research
Peer Reviewed?	Unknown
Sponsor/funding source	Traffic Audit Bureau for Media Measurement
Type of Study*	L, R
Type of Signs Studied**	C, D
Brief Description of	Two-part study. Part 1 involved presenting video clips of drives past billboards to
Method	groups of participants whose eye movements were "measured" by a camera in the front
	of the room. Part 2 involved the development and analysis of a model of visual
	attention.
Summary of Findings	Two sub-studies evaluated the contribution to a driver's visual attention to a billboard
	from (a) the billboard's location (close to the road, right side of the road, near the
	centerline of the driver's vision, extended "dwell time" [time available to an approaching
	driver to see the billboard]), and (b) the billboard's saliency (the billboard's ability to
	"pop out" from its background due to the use of color, shading, and compositional design
Strengths	Interesting application of bottom-up and top-down visual attention theories to the
	question of billboard glances.
Weaknesses/Limitations	The authors did not use eye tracking as traditionally defined; their understanding of eye
	tracking technology is at least a decade old. They are not justified in their use of the
	statistics they chose because their data are too crude, and they made too many
	assumptions based on this nebulous data set.
Availability/Accessibility	Journal of Advertising Research, September 2016

Date 1 <sup>st</sup>	2016
published/presented	
Location	USA
Author(s)	Belyusar, D., Reimer, B. Mehler B., & Coughlin, JF.
Title	"A Field Study on the Effects of Digital Billboards on Glance Behavior During Highway
Affiliation	Driving."
	New England University Transportation Center & MIT Age Lab
Forum	Accident Analysis and Prevention, 88, 88-96
Peer Reviewed?	Yes
Sponsor/funding source	US Department of Transportation, Region 1 New England, University Transportation
	Center at MIT, and the Toyota Class Action Settlement Safety Research and Education
	Program.
Type of Study*	Q
Type of Signs Studied**	D
Brief Description of	This on-road study had 123 subjects, nearly equally divided between males and females
Method	and between young and old. Participants drove an instrumented vehicle under normal
	driving conditions, with no specific tasks to perform, past a digital billboard on a
	highway with a speed limit of 65 MPH.
Summary of Findings	The authors found statistically significant changes in total number of glances and,
	depending upon the direction of travel, moderate-to-long duration glances in the
	direction of the billboard as compared to sections of the roadway in which the billboard
	was not visible. Older drivers were particularly affected. The authors also found that:
	"Drivers glanced more at the time of a switch to a new advertisement display than
	during a comparable section of roadway when the billboard was simply visible and
	stable." They concluded: "Given typical billboard dwell (cycle) times of six (6) or eight
	(8) seconds, these findings add to the argument the dwell times for such signs should be
	considerably longer."
Strongtha	The driving teals used august naturalistic, both young and old drivers, and both males and
Strengths	formalian success and be appresented
	Only on a bill and with two forces were used in the enclosis. These could be
weaknesses/Limitations	Univone biliboard, with two faces, was used in the analysis. There could be
	characteristics of that sign, or its location, which make the results not generalizable to
	other billboards.
Availability/Accessibility	<u>nttp://www.scienceairect.com/science/article/pii/S000145/515301664</u>

Date 1 <sup>st</sup>	2017	
published/presented		
Location	Denmark	
Author(s)	Herrstedt, L., Greibe, P., Andersson, P., & la Cour Land, B.	
Title	Do LED-advertising signs affect driver attention?	
Affiliation	Trafitec	
Forum	Fifth International Conference on Driver Distraction and Inattention, 2017	
Peer Reviewed?	Yes	
Sponsor/funding source	Nordic Committee for Visual Conditions in Road Traffic	
Type of Study*	Q	
Type of Signs Studied**	C, D	
Brief Description of	16 test participants drove an instrumented car on each of two routes with LED	
Method	billboards displayed. Some participants drove in both daylight and nighttime.	
Summary of Findings	Overall, when advertising signs were present, visual attention outside the car was 84% to driving-related objects (the road, other road users, road signs and traffic lights), and 14% to non-driving-related objects of which LED billboards account for half of the glances. Thus, LED and other advertising signs make up approximately 10% of drivers' visual attention in terms of glance duration. No significant differences were found in daylight vs darkness periods, although this may be explained by the fact that all road sections driven during the test drivers were equipped with fixed street lighting meaning that no billboards were viewed in a completely dark environment.	
Strengths	Creative use of "safety buffer," and "critical glances"	
Weaknesses/Limitations	Small number of participants; although the authors mention that more attention is captured by larger signs, they don't measure the signs nor do they use size as an independent variable; signs studied appear to be considerably smaller than typical LED billboards in the US, suggesting that results of a similar study conducted here would be more dramatic.	
Availability/Accessibility	Proceedings of the conference	

Date 1st2018published/presentedLocationBelgium, FlandersAuthor(s)Mollu, K.Title"Influence of an Illuminated Digital Billboard on Driving Behavior with a Focus onAffiliationVariable Display Time and Distance from a Pedestrian Crossing."Hasselt University and Flanders Agency for Roads and TrafficForumTRB Subcommittee on Digital BillboardsPeer Reviewed?YesSponsor/funding sourceFlanders Agency for Roads and TrafficType of Study*NType of Studied**D (simulated)Brief Description ofUsing a driving simulator, investigators compared subjective workload and responses of drivers to pedestrians crossing in crosswalks. Subjects included 35 persons, age 20-60, with 54% male. Signs varied in dwell time and location in retail zones or in transitions to built-up areas.Summary of FindingsStudy participants rated their mental demand significantly higher and their own performance lower when a digital billboard was present. The minimum speed upon approach to the pedestrian was higher and was reached closer when a DBB was present. Although not statistically significant, lateral displacement was higher in the presence of the DBB. Brake-reaction time (perception reaction time) to the pedestrian was approximately 1.5 times higher in the presence of the DBB - and there was no effect of dwell time or distance to the sign.StrengthsHigh definition driving simulator; roads agency sponsored; reasonably large number of subjects. A large number of bilboards and road settings were used.Weaknesses/LimitationNone of the display times matched those in most common use; simulated digital billbo			
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Availability/Accessibility Author		billboards were smaller than those in common use in the U.S.	
	Availability/Accessibility	Author	

Date 1 <sup>st</sup>	2019	
published/presented		
Location	Australia	
Author(s)	Oviedo-Trespalacios, O., Truelove, V., Watson, B., & Hinton, JA	
Title	The impact of road advertising signs on driver Behaviour and implications for road	
Affiliation	safety: A critical systematic review.	
	Queensland University of Technology; Department of Transport & Main Roads	
Forum	Transportation Research Part A	
Peer Reviewed?	Yes	
Sponsor/funding source	Transport Academic Partnership between Queensland Department of Transportation & Main Roads and Queensland University of Technology.	
Type of Study*	R	
Type of Signs Studied**	C. D	
Brief Description of	This was a review of the literature (the actual reviews are in a separate Appendix) using	
Method	a method called TCI (Task-Capability Interface) which, essentially, compares task	
	demands with driver "capability" which, in turn, leads to positive (safe passage) or	
	negative (which may lead to the driver losing control) outcomes. The TCI method is	
	claimed to be relevant to the study of billboards because they are part of the road traffic	
	environment and therefore serve to modify driving task demands.	
Summary of Findings	Roadside advertising signs can be considered environmental clutter, which adds	
	additional task demands. Roadside advertising signs impaired drivers' eye movement	
	patterns. Young drivers invest more attentional resources interacting with roadside	
	advertising, suggesting a lower ability (than older drivers) to discriminate between	
	relevant and irrelevant information. It is not possible to definitively conclude that there	
	is a direct connection between outdoor advertising and crashes, but there is an	
	emerging trend in the literature suggesting that roadside advertising can increase crash	
	risk, particularly with changeable displays such as those on digital billboards. Most	
	empirical studies to date feature "strong methodological limitations." There is an urgent	
	need for more research given that roadside advertising technology is changing rapidly.	
Strengths	Studies some 90 articles.	
Weaknesses/Limitations	Makes some unsupportable statements; references are reviewed and reported only in a	
	separate Appendix to the paper; the reviews are not critical, as claimed in the title.	
Availability/Accessibility	https://doi.org/10.1016/j.tra.2019.01.012	

Date 1st	2019
published/presented	
Location	Israel
Author(s)	Gitelman, V., Doveh, E., & Zaidel, D.
Title	An examination of billboard impacts on crashes on a suburban highway: Comparing
Affiliation	three periods – Billboards present, removed, and restored
Forum	Traffic Injury Prevention
Peer Reviewed?	Yes
Sponsor/funding source	National Road Safety Authority of Israel
Type of Study*	E
Type of Signs Studied**	С
Brief Description of	The authors compared precise and comprehensive data direct from the roadway
Method	administration about crashes over two conditions (experimental sites where billboards
	were located and control sites where there were no billboards). They looked at data
	from three time periods – when billboards were visible, when they had been removed or
	covered, and when they were made visible again.
Summary of Findings	For both property damage only (PDO) and injury accidents, the data were stark. When
	the billboards were covered or removed, the reduction in crashes compared to the
	earlier period when these billboards were visible to drivers was between 30 and 40%,
	depending on road section. Equally important, when billboards were uncovered again,
	after the period in which they were covered, accidents rose by 30-60%. Most of these
	findings were statistically significant.
Strengths	Elegant study design, comprehensive data analysis, 3 periods of study lends further
	credibility to findings
Weaknesses/Limitations	Studied static, not electronic billboards.
Availability/Accessibility	Traffic Injury Prevention

Date 1st	2019
published/presented	
Location	Italy
Author(s)	Costa, M., Bonetti L., Vignali, V., Bichicchi, A., Lantieri, C., & Simone, A.
Title	Driver's visual attention to different categories of roadside advertising signs.
Affiliation	University of Bologna, Aarhus University
Forum	Applied Ergonomics
Peer Reviewed?	Yes
Sponsor/funding source	Alma Idea 2017 Costa grant from University of Bologna
Type of Study*	Q
Type of Signs Studied**	O, C, others unknown
Brief Description of Method	15 participants (10 male) drove an instrumented car equipped with an eye movement recorder along a 30km round trip along a two-lane, two-way road in both urban and rural areas. Glance numbers and durations were captured for 6 different categories of signs, including billboards, on-premise signs, single and multiple directional signs, and digital gas price signs.
Summary of Findings	Longer glance durations were found for signs closer to the road. The distance at which signs were fixated increased linearly with speed, sign size, and text length. Larger size text on signs was associated with a higher fixation rate, as were signs on the near side of the road. Signs with smaller text and many characters were related to a lower fixation rate because they "probably fail to attract the driver's visual attention due to the poor readability in a dynamic context."
	Interestingly, there was no commonality between the 6 signs that capture the longest glances and the 6 that captured the most glances. With one exception the first group (longest glances) consisted of signs with considerable text and smaller font sizes. In contrast, the six signs with the highest fixation rate (but not duration) tended to have more graphical properties and less text. This suggests to advertisers that the longer glances to signs with smaller fonts and much text take longer to read than simpler, more graphical signs, and therefore should deserve less consideration for roadside applications – and that the simplest sign designs with more, larger graphics and text should be prioritized.
Strengths	Excellent literature review (except, see below), studies a broader number and type of
	signs than most prior studies.
Weaknesses/Limitations	Makes lots of "factual" statements that are not supported by the literature, no freeway driving in test route, no billboards of size comparable to US, no digital billboards (only digital gas price signs). Some statements of conclusion in the text are not clearly explained raising questions of interpretation by the reader
Availability/Accessibility	Annlied Frognomics
manability/Accessibility	hpphea ingonomics

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City of Mission	Item Number:	7a.
ACTION ITEM SUMMARY	Date:	December 20, 2023
ADMINISTRATION	From:	Laura Smith

Action items require a vote to recommend the item to full City Council for further action.

**RE:** 2024 Legislative Priorities

**RECOMMENDATION:** Approve the 2024 Legislative Priorities for the City of Mission.

**DETAILS:** Each year the City prepares a Legislative Program which outlines priorities and areas of interest or concern to be communicated to our state legislators at the outset of the legislative session. The City Council reviewed and discussed a draft of the 2024 Legislative Priorities during a work session on November 29, 2023. The draft was developed with input from staff and from Stuart Little and Mallory Lutz with Little Government Relations (LGR).

Consistent with previous year's programs, the 2024 Legislative Priorities gave consideration to the League of Kansas Municipalities annual Statement of Municipal Policy. A complete copy of the League's 2024 Statement of Municipal Policy is included in the packet.

Highlights or changes incorporated in the proposed 2024 Legislative Priorities included in the packet are detailed below:

- Specific references to the "dark store theory" were removed, and the tax appraisal and policy language was updated urging careful consideration of any tax changes, specifically the imposition of artificial caps on assessed valuation.
- A section was added to stress the City's position that cities should retain voterapproved sales tax allocations, and that any sales tax exemptions imposed by the Legislature should only apply to the state portion of sales tax.
- A position encouraging streamlining of processes and providing flexibility for cities to manage abandoned or blighted properties.
- A section echoing support for cities retaining/regaining the authority to require rental inspections for the protection of both tenants and property owners.
- The position on education was updated to more closely align with the Shawnee Mission School District's priorities to fund special education and to oppose voucher programs.
- The position on housing and housing needs was updated and expanded to encourage flexibility in allowing local communities to best address housing issues in their community.

Should the Council wish to make additional edits or amendments to the program, that can be offered an incorporated during the December 13, 2023 Finance & Administration Committee meeting. Once adopted, the 2024 Legislative Priorities will be forwarded to Mission's legislative representatives.

Related Statute/City Ordinance:	NA
Line Item Code/Description:	NA
Available Budget:	NA

City of Mission	Item Number:	7a.
ACTION ITEM SUMMARY	Date:	December 20, 2023
ADMINISTRATION	From:	Laura Smith

Action items require a vote to recommend the item to full City Council for further action.

**CFAA CONSIDERATIONS/IMPACTS:** Developing legislative priorities and advocating in the best interest of all our citizens regardless of age or ability helps to strengthen our community.

Related Statute/City Ordinance:	NA
Line Item Code/Description:	NA
Available Budget:	NA



## 2024 Legislative Priorities

6090 Woodson Mission, Kansas 66202 Phone: (913) 676-8350 Fax: (913) 722-1415

www.missionks.org

December 2023


December 20, 2023

Dear Reader:

The Governing Body of the City of Mission annually adopts a state legislative program to communicate Mission's legislative initiatives and policy positions in a manner that is reflective of Council priorities and values, including diversity and inclusion, sustainability, and investment in infrastructure, both physical and human.

The cornerstone of the City's legislative program is the belief that public affairs should governed as close to the people as possible. Supporting activities that promote and encourage the exercise of authority and responsibility by locally elected officials is a top priority of the City.

Generally, and when not inconsistent with Mission's stated priorities, we support the Statement of Municipal Policy of the League of Kansas Municipalities. The City Council works cooperatively each year with the League and other cities for the shared benefit of Kansas cities.

If you have questions concerning the 2024 Legislative Program, please, do not hesitate to contact me or other members of the Governing Body.

Sincerely,

Alm Hon

Sollie Flora Mayor

#### **Governing Body**

Mayor Sollie Flora

Ward I Trent Boultinghouse Hillary Parker Thomas

> Ward II Lea Loudon Mary Ryherd

Ward III Debbie Kring Brian Schmid

Ward IV Ben Chociej Cheryl Carpenter-Davis



#### **2024 Legislative Priorities**

State and municipal governments work together to provide citizens with many services they require and have come to expect. This partnership is dependent upon stable funding, decisionmaking at the appropriate level, and removing barriers to efficient and effective access to services and protections for every person in the state of Kansas without regard to age, race, religion, color, sex, disability, national origin, ancestry, military status, sexual orientation, or gender identify. The City of Mission understands our citizens' needs and is equipped to respond to them effectively and efficiently. While we respect the State government's role, we support the preservation of local authority, local control of revenue and spending, and oppose the devolution of State duties to local units of government without planning, time and resources. The City of Mission has established the following as our legislative priorities for the upcoming session and advocates in the interests of our elected representatives and on behalf of those who live and work in our community.

#### **Finance and Taxation**

#### Local Control Over Revenue and Spending

The City of Mission opposes actions by the State to impose constitutional or statutory limits on the authority of local governments to establish appropriate levels of taxation. Discretion and flexibility are important components of responsible and effective fiscal management, ensuring that local elected leaders can respond appropriately to the priorities of their constituents. We oppose arbitrary caps on taxing and spending limits that restrict that ability. Transparency measures should not be burdensome, costly, or punitive.

#### **Tax & Appraisal Policy**

The City supports stable revenues and urges the Legislature to avoid applying any further limitations to the ad valorem property tax base, including exceptions for specific business entities or the state/local sales tax base, as well as industry-specific, special tax treatment through exemptions or property classification. As a result of state tax policy changes, local governments have been increasingly pressured to rely on residential property taxes. We do not support changes in State taxation policy that would narrow the tax base, significantly reduce available funding for key programs, or put Kansas counties and cities at a competitive sales tax disadvantage with Missouri. We urge the Legislature to be cautious when pursuing policy changes related to assessed valuation, and do not believe an artificial cap is the best way to provide long-term, equitable relief to Kansas homeowners and taxpayers.

#### **Sales Tax & Exemptions**

Cities should continue to retain voter-approved local sales tax allocations. The Legislature is granted authority to make decisions relating to statewide sales tax. Local voters vote on and are granted authority to make decisions regarding local sales taxes. Any sales tax exemptions considered by the Legislature should only apply to the state portion of sales tax and not eliminate voter approved local sales taxes.

#### **Statutory Pass-Through Funding**

The City supports the preservation and funding of local government revenues which pass through the State's treasury including Local Ad Valorem Property Tax Reduction (LAVTRF), City County Revenue Sharing (CCRS), alcoholic liquor funds and the local portion of motor fuel tax to local governments.

#### **Government Policies and Procedures**

#### Home Rule Authority

The City of Mission supports the retention and strengthening of local home rule authority to allow locally elected officials to conduct the business of the City in a manner that best reflects the values and standards of their constituents. This includes matters dealing with public health and safety, such as local health orders and rental inspections, and the ability to govern possession of firearms in public spaces in the interest of community safety.

#### **Promotion of Equity and Equality**

Mission supports comprehensive anti-discrimination legislation that offers protections to every person in the state of Kansas without regard to age, race, religion, color, sex, disability, national origin, ancestry, military status, sexual orientation, or gender identity. We further support State efforts to encourage racial equity and promote diversity.

#### **Abandoned and Blighted Properties**

Mission supports streamlining and expediting the process for local governments, neighborhood organizations and private businesses to deal with the blight of abandoned, nuisance, foreclosed housing, and commercial structures to protect the rights and property values of surrounding property owners. Cities should continue to retain the ability to manage vacant property registry programs to counter blight.

#### **Housing-Rental Inspections**

Cities should be provided the authority to require inspections of rental housing for the safety of tenants and to protect the rights and property values of surrounding property owners.

#### **Non-Partisan Local Elections**

The City supports non-partisan local elections and further supports local elections remaining separate from state and national elections. We oppose any legislation that would require local elections to be conducted with partisan identification.

#### **Firearm Safety**

We strongly believe the ability to govern how firearms are possessed or transported throughout our community is a matter of local control. Local government should have the ability to regulate and enforce the possession and use of weapons within city-owned facilities, including City Hall, public parks, the outdoor pool, community center and city vehicles. The City also supports the ability of local governments to set policies regarding the carrying of weapons and firearms by their employees while they are engaged in the course of their employment. We urge State legislators to amend K.S.A. 75-7c which currently restricts local government from enacting important firearm safety measures in their communities.

#### **Education**

#### K-12 Education Financing

Mission is supportive of the Kansas Legislature adequately and equitably funding primary and secondary education to a level that places Kansas among the leading states in support of a world class education and strongly encourages the State to fund special education at the statutorily required ninety-two percent of excess costs. Mission also believes public funding for education is for the public schools, not for non-public schools or homeschooling.

#### Environment and Energy

#### Addressing the Climate Crisis as a Public Policy Priority

Climate change poses a global environmental, economic, social, and public safety crisis. We ask that the State recognize this crisis and join Mission in elevating sustainability and decarbonization as among the top and most urgent of policy priorities. The ongoing climate crisis demands coordinated action at all levels of government. Mission supports State investment in and commitment to decarbonize our electricity, transportation, agriculture, and buildings sectors, so that Kansas can build community resilience and mitigate the financial impacts of climate change on cities.

#### **Energy Policy and Control of Greenhouse Gas Emissions**

Mission supports the establishment of a statewide Energy Office to support the development and implementation of statewide energy policies. We further support the development of a coordinated and comprehensive energy policy/plan, developed with strong input from cities, that would encourage the further implementation of renewable energy and energy-efficient technologies. As energy efficiency saves money, drives investment across all sectors of the economy, creates jobs, and reduces the environmental impact of energy use, we support public and private incentives to encourage energy efficiency by local governments and citizens. Further, Mission encourages the adoption of policies that will position Kansas as a forerunner in renewable energy production. Accordingly, encourages the Kansas Legislature to provide incentives for such energy sources that protect air quality and reduce dependence on oil and gas. More specifically, the City supports policies which allow and encourage homeowners and businesses to utilize and invest in alternative energy rather than imposing fees or additional barriers which result in discouraging investment that can save residents and businesses money. Mission further encourages the State to investigate and adopt innovative green technologies to drawdown greenhouse gas emissions

and/or sequester carbon dioxide (for example carbon dioxide mineralized concrete and photocatalytic concrete technology).

#### **Infrastructure**

#### Improved Coordination with Municipalities with Respect to Federal Funding Opportunities

With recent historic investments at the federal level in infrastructure, sustainability, and clean energy through the Bipartisan Infrastructure law and the Inflation Reduction Act, Mission encourages the Legislative and Executive branches of State government, along with various State agencies to more closely engage with cities to ensure that federal funds are as accessible and flexible as possible for local spending. Continued support, coordination, and guidance to local governments is critical in order to maximize opportunities to successfully leverage these federal investments. We appreciate efforts like the Build Kansas Fund, but there is a need to seek input from municipalities before the role out of such programs to ensure that the application criteria is in alignment with what cities need.

#### **Comprehensive Transportation Plan**

Mission supports full funding of the Eisenhower Legacy Transportation Program. We oppose any use of these funds to balance the state's General Fund budget, and any reduction in funding that jeopardizes existing programs.

#### Multimodal Transportation and "Vision Zero"

We encourage the State to increase planning for and funding of multimodal projects to improve our bike and pedestrian infrastructure. We further encourage the State to prioritize achievement of "vision zero" with the goal of increasing safe, healthy, equitable mobility for all.

#### **Electric Charging Station Infrastructure**

We support the expansion of electric charging station infrastructure throughout the state in order to increase the pace of electric vehicle adoption and positively impact local communities. We support a change to allow sales of electricity at EV charging stations and for EV charging stations to not be classified as regulated utilities.

#### Stormwater Management

Mission endorses regional and cooperative solutions to stormwater quality and quantity challenges that address point and non-point source pollution. We further endorse state measures to incentivize and enable investment in green infrastructure (e.g., street trees, use of native plants) to support sustainable communities.

#### **Building Codes**

Mission opposes any measures to preempt local building codes. Further, cities should continue to be allowed to shape local codes to incentivize net-zero or net-zero ready building requirements.

#### Human Services

#### Investment in the Social Safety Net

The City supports restoring funding to social services programs that provide a safety net for the most vulnerable in our communities. Continued support for these programs at the state level is imperative to keep our communities safe, productive, and vibrant.

#### **Mental Health**

Mental health is a critical component of the public safety and health of Mission's residents and all residents of the state of Kansas. Access to quality mental health services and an increasing need for these services is a growing concern of the City. The City supports increased funding for programs and services to provide enhanced training and support for police and fire departments; for public safety co-responder programs; to provide increased services to youth, for community mental health centers; and for state psychiatric hospitals. Mission advocates for the ability to establish public/private partnerships to increase provision of and access to mental health services and removing from the property tax lid the ability to fund mental health services and programs at the local level.

#### Medicaid Expansion

The City supports the expansion of Medicaid in Kansas. Accordingly, we anticipate that statewide expansion of Medicaid will assist uninsured city residents in obtaining needed medical insurance and services.

#### Housing Needs

Mission supports existing and expanded State efforts to address the housing needs and challenges and, in particular, would like to see additional support for small urban communities which are often excluded in existing programs. Local officials should have the flexibility to address the need for affordable and varied housing types in accordance with their economic development and public safety duties. We urge the Legislature to continue building on existing programs as a lack of affordable and available housing continues statewide, including here in Johnson County. The City also encourages State and local collaborative conversations around homelessness and helping our unhoused populations. Local communities continue to try to address these needs, but more assistance is needed. We urge the Legislature to consider options to assist cities in maintaining and expanding affordable housing stock, including expansion of new housing incentives to cities with fewer than 60,000 residents, and/or providing matching funds. Mission would like to see State surplus funds targeted towards supporting those most in need.

#### Substance Abuse Prevention and Treatment

We support increased funding for proven state tobacco prevention programs to improve the State's "F" grade by the American Lung Association. We also support criminal justice reform measures targeted toward the treatment of drug use as a health issue, not a criminal one. With

the Kansas cigarette sales tax rate well-below the national average, the City supports a statewide increase in the tobacco sales tax as a deterrent to tobacco use.

#### **Miscellaneous**

#### Legalization of Cannabis

The City supports legalization of cannabis in Kansas. At a minimum, the State should adopt legalization of medical cannabis which should be subject to existing state and local sales tax and cities should be able to levy their own excise fees and receive a portion of any state funds to offset the impact of medical cannabis. Each city should have the ability to opt-in to allowing dispensaries in their city and to further regulate operations.

## 2024 Statement of Municipal Policy

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#### **GOVERNING BODY**



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Vice President Roy Cessna Commissioner, Garden City

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> **Executive Director** Nathan Eberline

### **ABOUT THE LEAGUE** SUPPORTING KANSAS CITIES

he League of Kansas Municipalities is a membership association that advocates on behalf of cities, offers training and guidance to city appointed and elected officials, and has a clear purpose of strengthening Kansas communities. Since 1910, the League has been a resource for cities across Kansas and has acted as a body to share ideas, facilitate communication between members, and provide information on best practices in city operations.



## LEGISLATIVE PRIORITIES

The prosperity of Kansas is dependent upon the prosperity of its cities. More than 84% of Kansans live in an incorporated city. To promote healthy and sustainable communities, the elected and appointed city officials of Kansas establish the following as 2024 legislative priorities:

**HOME RULE.** Consistent with the Home Rule Amendment of the Kansas Constitution approved by voters, we support local elected officials making decisions for their communities, particularly tax and revenue decisions.

**GOVERNMENT COMPETITION.** Local governments should retain local control over the services they provide to residents and businesses. Free markets are the best vehicle for allocating goods and services. However, there are circumstances where the free market does not efficiently allocate goods and services, creates externalities that endanger public safety and welfare, or simply does not provide a service. In these instances, it falls to local government to respond to the needs of the people. In addition, local governments provide services for the sole benefit of their residents and should continue to receive tax benefits to provide those services at a low cost.

**CITY ELECTIONS.** We oppose any actions by the state government to impose partisan elections on cities. All cities have the authority to make this decision for their community, and each city, in consultation with its citizens, should make that determination.

**SALES TAX & EXEMPTIONS.** Cities should continue to retain voter-approved local sales tax allocations. The Legislature is granted authority to make decisions relating to statewide sales tax. Local voters vote on and are granted authority to make decisions regarding local sales taxes. Any sales tax exemptions considered by the Legislature should only apply to the state portion of sales tax and not eliminate voter-approved local sales taxes.

**MENTAL HEALTH.** We support allocating additional resources for mental health programs. Funds should be allocated for community mental health centers and additional bed space for patients with mental health issues.

**HOUSING.** A lack of quality housing across the state creates an impediment to growth and economic development. The League supports programs that encourage access to quality housing.

**SALES TAX REVENUE IN STAR BOND DISTRICTS.** The reduction and elimination of the state food sales tax threatens the viability of existing STAR Bond project districts that include groceries by eliminating a source of revenue pledged to repay the bonds. We support the creation of a long-term funding mechanism to ensure state reimbursement of lost funds caused by reduction and elimination of the state share of food sales tax in impacted STAR Bond districts.

**WATER.** Access to water is paramount for the growth and viability of communities. Government at all levels should pursue the conservation, protection, and development of current and future water supplies to ensure access to clean, safe, and affordable water for all Kansans. We support state action, in consultation with municipal providers, to address surface and groundwater resources.

LAVTR. The state legislature, as required by statutes, should fund the Local Ad Valorem Tax Reduction (LAVTR) program.

**AMBULANCE ATTENDANTS.** We support allowing non-certified attendants to drive ambulances for inter- facility patient transfers with one attendant providing patient care. These staff should be trained to operate emergency vehicles. We continue to support the use of certified attendants for emergency situations.

## FINANCE & TAXATION

E ach city is unique in services provided and ability to pay for such services; maximum flexibility should be granted to local governing bodies to determine the amount and source of funding for city services. The League supports the long-established policies of balancing revenue from income, sales, and property taxes to assure the fiscal ability of the state and local governments to provide services citizens need.

**TAX POLICY & SPENDING.** Local spending and taxing decisions are best left to local officials representing the citizens that elected them. We oppose state-imposed limits on the taxing and spending authority of cities. Changes to tax policies should not be undertaken without a full understanding of the overall impact on all taxpayers, taxing entities, and the sources and amounts of revenues generated or eliminated by such policy changes.

**PROPERTY TAXES.** All property taxing authorities, including cities, counties, the state, school districts, special districts, and community colleges should be transparent, and abide by the same limitations, restrictions, and requirements. Any additional transparency measures should not be burdensome or costly. We encourage the state and local governments to make government more efficient and recognize the need to work together on innovative approaches to reduce property taxes.

**PROPERTY TAX EXEMPTIONS.** We encourage the legislature to resist any proposal to further exempt any specific property classification from taxation, including industry-specific exemptions. We support the current statutory definition of machinery and equipment, and the exemption should not be expanded. The Legislature should review existing exemptions to determine if they should continue or be repealed.

**SALES TAX & EXEMPTIONS.** Cities should continue to be able to determine voter-approved local sales tax allocations. The Legislature is granted authority to make decisions relating to statewide sales tax. Local voters vote on and are granted authority to make decisions regarding local sales taxes. Any sales tax exemptions considered by the Legislature should only apply to the state portion of sales tax and not eliminate voter-approved local sales taxes.

**PROPERTY VALUATION.** We support appraisals based on fair-market value as historically used in Kansas. We oppose caps in property valuations and limitations on valuation methods that shift the property tax burden, benefiting one category of property to the detriment of all others, as unconstitutional and inequitable.

**LAVTR.** The state legislature, as required by statutes, should fund the Local Ad Valorem Tax Reduction (LAVTR) program.

**COUNTYWIDE SALES AND USE TAXES.** Since 1977, Kansas has successfully used a city-county revenue sharing formula for the benefit of all. The existing formula benefits city and county taxpayers and ensures there is a fair method to distribute funds generated primarily in cities and approved by voters. The Legislature should fund existing city and county revenue sharing programs as required by statutes.



#### SALES TAX REVENUE IN STAR BOND DISTRICTS.

The reduction and elimination of the state food sales tax threatens the viability of existing STAR Bond project districts that include groceries by eliminating a source of revenue pledged to repay the bonds. We support the creation of a long-term funding mechanism to ensure state reimbursement of lost funds caused by reduction and elimination of the state share of food sales tax in impacted STAR Bond districts.

**REVENUES & SPENDING.** We oppose any law requiring a city to spend a certain threshold to receive and maintain state dollars. All spending decisions should remain at the local level. Cities should be authorized to approve alternative revenue sources to maintain appropriate levels of funding for the health, safety, and welfare of citizens. Cities should be allowed to set financial policies in-line with bond rating requirements and other generally accepted best practices for municipal management.

**BUDGET TIMELINE.** The current statutory framework for adoption of municipal budgets makes it difficult for cities to develop budgets that must be presented to governing bodies five months before the start of a fiscal year. We support legislation to allow the adoption of City budgets by November 30.

**EMS/HOSPITAL FUNDING.** We support expansion of Medicaid to allow hospitals and emergency medical services (EMS) access to federal funding, helping cities maintain and provide critical services for citizens. Absent Medicaid expansion, additional state funding needs to be made available to rural hospitals to retain businesses and employees and sustain the health and lives of Kansans.

#### UNFUNDED MANDATES & LOAN PROGRAMS.

We oppose unfunded mandates. If the state or federal governments seek to promote particular policy objectives, such mandates must be accompanied by an appropriate level of funding. We support changes to allow local governments to participate directly in federal loan programs.

**LOCAL AUTHORITY.** We support cities' ability to impose and collect taxes and fees on telecommunications providers. All cities should have the same banking and investment authority the state grants itself. We support Kansas statutes being modernized to reflect revenue neutral rate requirements when determining a public library's eligibility for state funding.





Cities play a critical role in the protection of the health and safety of citizens. Government at all levels should cooperate in the development of health and safety programs.

**ASSET FORFEITURE.** All assets forfeited, or proceeds of the sale of the same, should remain with the local government that seizes the property.

**MUNICIPAL COURT.** All assessed court funds under a municipal court order, other than restitution collected and payable to a third party and state assessments paid under K.S.A. 12-4117, should be retained by the local municipality. We support municipalities' ability to set appropriate fines and fees.

**EMERGENCY 911 SERVICES.** Cities and counties should maintain local control of the 911 system. The 911 tax should continue to include wireline and wireless communications. We support legislation providing flexibility for local governments to utilize these funds to provide emergency services. 911 funds should not be diverted by the legislature for other uses.

**EMERGENCY MANAGEMENT.** Implementation strategies must promote cooperative efforts between federal, state, and local governments. Changes to the Emergency Management Act should consider the role of a city in responding to disasters.

**MEDICAL CHARGES.** The first person responsible for payment of medical costs should be the individual in custody. Clarification is needed that the entity charging for a crime is responsible in the event those costs cannot be recovered. We support the pooling of resources between state and local law enforcement agencies.

**LAW ENFORCEMENT DISCRETION.** We support local governments' discretion in establishing law enforcement vehicle pursuit policies and the ability of law enforcement officers to use discretion in determining when to make an arrest.

**SERVICE ANIMAL FRAUD.** We support strengthening and redefining the crime of service animal fraud to disincentivize individuals from asserting an animal is a service animal to avoid vicious animals, exotic, livestock, or breed-specific ordinances.

**ALCOHOL & CMB REGULATION.** We support the authority of cities to license and regulate alcoholic liquor and cereal malt beverage retailers and establishments.

**MEDICAL MARIJUANA.** Medical marijuana should be subject to existing state and local sales tax and cities should be able to levy their own excise fees and receive a portion of any state funds to offset the impact of medical marijuana. Cities should have the ability to opt-in to allowing dispensaries in their city. Kansas should only allow the cultivation and processing of medical marijuana and THC in licensed facilities and not allow residential grow operations.

**HOMELAND SECURITY.** Local first responders are the front-line defense in the prevention and response to terrorism and security risks. Local governments should be granted maximum flexibility over implementation of monies and strategies regarding homeland security.

**CYBERSECURITY.** We encourage the State to provide collaborative discussions, training programs, and feasibility studies for the impact of cyber- attacks on cities. Cities will use information provided by the state to determine best practices and policies for municipal implementation.

**AMBULANCE ATTENDANTS.** We support allowing noncertified attendants to drive ambulances for inter-facility patient transfers with one attendant providing patient care. These staff should be trained to operate emergency vehicles. We continue to support the use of certified attendants for emergency situations.

**MENTAL HEALTH.** We support allocating additional resources for mental health programs. Funds should be allocated for community mental health centers and additional bed space for patients with mental health issues.

**MEDICAL WORKFORCE INITIATIVE.** Hospitals and Health Care Facilities are facing an alarming shortage of licensed medical and clinical staff who specialize in the medical and mental health treatment of individuals. We support additional state resources being put toward programs to recruit and retain Health Care Professionals. We support the development of a behavioral health tech certificate program at community or technical colleges. We also support the establishment of a rural psychiatric residency program. ities construct, manage, operate, and maintain numerous infrastructure components that provide a high quality of life. Infrastructure involving transportation, municipal utilities, energy services, and water and environmental structures are dependent on the ability of local officials to self-determine what's appropriate for their communities. This relies on cooperation from state government and full funding as required by law under statutory programs from the state and federal governments.

#### TRANSPORTATION

**CONNECTING LINKS.** The State should maintain KDOT's funding for connecting link programs at a minimum of the FY 2020 level for cities to provide for the maintenance of state highways within city limits. We support full funding of the City Connecting Link Improvement Program (CCLIP).

**CITY-COUNTY HIGHWAY FUND.** The City-County Highway Fund should be fully funded and not diverted for other purposes. Such funding should include the transfer of fees from the registration of out-of-state commercial vehicles, as directed by K.S.A. 9-3425i. Proceeds from increases to the motor fuel tax rates should be allocated in accordance with current statutory provisions.

#### COMPREHENSIVE TRANSPORTATION PROGRAM.

We support a comprehensive transportation system that is safe, efficient, and accessible. The state should fully fund the Eisenhower Legacy Transportation Program (IKE) and cooperate with local governments to maintain and improve the state's transportation infrastructure. We support continued development of multimodal transportation networks and local transportation districts that enable cities to develop transportation initiatives to advance these objectives.

#### **MODERN TRANSPORTATION DEVELOPMENT.** We

support a modern and sustainable transportation system that meets the needs of all Kansans. The state should invest in expanding electric charging station infrastructure, enhancing airport facilities and services, developing recreational trails, and improving passenger and freight rail service. We also support changes to state law that make it easier and more affordable to develop these projects.

**ELECTRIC CHARGING STATIONS.** Any fees or taxes imposed on charging stations remitted to the state should be put into the Special Highway Fund, not the general fund.

**UNIFORM TRAFFIC CODE.** We support a comprehensive review and recodification of the Uniform Traffic Code.

**MATCHING FUNDS.** We support allocating surplus state fund revenues to cities to maximize federal discretionary grant funds.

#### UTILITIES

**INFRASTRUCTURE** 

**BROADBAND.** Access to reliable broadband service is essential to the economic health of cities. We support establishment of Broadband grants to facilitate expansion. Guidance for the grant program and broadband-related statutes must recognize the important role local governments play in such expansion and not remove planning and right of way authority from local governments.

**SERVICE TERRITORY.** Municipalities must retain authority to purchase, construct, or extend infrastructure necessary to supply cities and their inhabitants with public utilities, including electric services. Cities should have the freedom and flexibility to grow and expand service territories.

**MUNICIPAL OPERATION.** We support the ability of cities to operate municipal gas, water, electric, sewer, telecommunications, broadband, solid waste, stormwater or other utility services. We further support the ability of cities to set and control the rates for locally owned and operated utilities.

**RIGHT OF FIRST REFUSAL.** We support municipal utilities having the ability to invest in new electric/transmission projects to provide reliable, affordable service to customers. We oppose efforts prohibiting competition for transmission projects.

**FRANCHISE AUTHORITY.** We oppose any legislation restricting the current franchise authority for cities, including limits on franchise fees.

**MANDATES.** Any mandates passed down to cities by the state or federal government on utility services should not be imposed without a cost-benefit analysis and accompanied by appropriate funding. Regulations should be reasonable in overall scope and timing of implementation.

**RIGHT-OF-WAY.** Cities must maintain the ability to regulate public right-of-way and recover reasonable compensation for use of the right-of-way. Kansas policy should not be dictated by federal mandates. We oppose efforts to codify at the state level federal directives limiting cities' powers.

#### ENERGY

**ELECTRIC UTILITY DEREGULATION.** Community-owned and operated municipal electric utilities make long-term power supply decisions and investments to benefit the overall community. We support continued local control over power supply decisions.

**STATEWIDE ENERGY POLICY.** We support development of a coordinated and comprehensive Energy Plan. Further, we support creative and cooperative implementation of renewable energy and energy efficient technologies that are environmentally sustainable and economically successful. We support public and private incentives to encourage energy efficiency and renewable energy.

**BUILDING CODES.** Cities should continue to be allowed to shape local codes to incentivize net zero or net-zero ready building requirements.

**PROPANE.** We support cities' authority to protect public safety by regulating the capacity of propane units/facilities for residential or commercial purposes.

#### WATER AND ENVIRONMENT

**WATER QUALITY.** We support a clean and safe public water supply and the protection of public health and aquatic life. We endorse regional and cooperative solutions to water quality challenges that address point and non-point source pollution while balancing municipal cost concerns.

**WATER QUANTITY.** We support efforts to extend the life of reservoirs and expand reservoir storage for use by municipal water suppliers. We support immediate state action, in consultation with municipal providers, to address surface and groundwater resources while respecting priority of water rights. Water rights management tools that have been developed in recent years should be modified or expanded so they provide the same type of flexibility and authority to any water rights holder regardless of class.



#### PUBLIC WATER SUPPLY SUPERVISION

**PROGRAM.** We support changes to the statutory language increasing the funding stability for the Kansas Department of Health and Environment's Public Water Supply Supervision program. These changes must balance municipal concerns while recognizing the state has a responsibility to contribute to these public health matters.

**WATER PLANNING.** We support increased municipal representation on the Kansas Water Authority; broad-based revenue sources and distribution for the state Water Plan Fund; and a re-evaluation of the process for adopting the annual state Water Plan Fund budget.

**INFRASTRUCTURE FUNDING.** We support increased federal and state funding to assist local communities with water, wastewater, stormwater, levee and dam infrastructure and associated security needs. We call for loan terms of up to 40 years when the usable lifespan of an improvement will exceed the term of the loan.

**STORMWATER MANAGEMENT.** We endorse regional and cooperative solutions to stormwater quality and quantity challenges that address point and non-point source pollution. We further endorse state measures to incentivize and enable investment in green infrastructure to support sustainable communities.

**SOLID WASTE.** Home rule powers of cities to dispose of and manage municipal solid waste should not be restricted. This includes recycling, electronic waste and composting programs.

**HAZARDOUS WASTE.** We support a comprehensive state and local cooperative approach to provide assistance in identifying hazardous waste and to develop programs to monitor and dispose of such waste. Appropriate education and training should be provided prior to implementation of such programs.

**CLEAN AIR.** We support a state-developed air quality plan that protects the health and safety of Kansans while balancing municipal cost concerns.

WATER AND WASTEWATER CERTIFICATION. We support improved certification programs that align necessary skillsets for real-world water and wastewater system operation with the content of the corresponding exams. We support review of water and wastewater certification to ensure validity and reliability. We encourage contracting and collaboration to help utilities acquire the knowledge, skills, abilities, and certifications needed to effectively serve rate payers.



## HUMAN RESOURCES

Tity employees are the foundation of city government. City governing bodies must have authority to develop local personnel policies to attract and maintain a highquality workforce.

**WORKERS' COMPENSATION.** We support reasonable and just benefits for employees injured within the course and scope of their public employment, and effective enforcement of the Workers' Compensation Act.

**KPERS & KP&F.** We support full funding of the Kansas Public Employees Retirement System (KPERS) and Kansas Police & Fire (KP&F) retirement systems and honoring all commitments made by KPERS and KP&F. The local KPERS system should remain separate from the state and school retirement system. Changes to the KPERS system should support a city's ability to hire and retain qualified public employees, including any undue burden on hiring KPERS retirees, or reduce benefits promised to employees.

**PUBLIC EMPLOYER-EMPLOYEE RELATIONS ACT (PEERA)/COLLECTIVE BARGAINING.** We oppose any federal or state mandate requiring collective bargaining at the local level. **MANDATES.** We oppose state and federal mandates involving public personnel. We oppose federal and state mandates requiring or prohibiting the payment of prevailing wages.

**WEAPONS AND FIREARMS.** We support the ability of local governments to set policies regarding the carrying of weapons and firearms by municipal employees while engaged in their work.

**HEALTH CARE & OTHER BENEFITS.** We support cooperation and study of ways to relieve the financial burden of securing employee health care coverage, including the continued option for cities to participate in the state health care program.

**UNEMPLOYMENT.** We support reasonable and just benefits for employees who are qualified individuals under the Kansas Employment Security Law. We oppose the finding that volunteers, who are paid a nominal stipend, are considered qualified individuals. We support legislation to define "volunteer" in Kansas employment law that is consistent with federal law.



## GOVERNMENT POLICIES & PROCEDURES

biding by constitutional Home Rule, there is a need to ensure local governments maintain autonomy and the authority of self-governance to create a safe and sustainable quality of life for residents.

**HOME RULE.** Consistent with the Home Rule Amendment of the Kansas Constitution approved by voters, we support local elected city officials making decisions for their communities, particularly tax and revenue decisions.

**GOVERNMENT COMPETITION.** Local governments should retain local control over the services they provide to their residents and businesses. Free markets are the best vehicle for allocating goods and services. However, there are circumstances where the free market does not efficiently allocate goods and services, creates externalities that endanger public safety and welfare, or simply does not provide a service. In these instances, it falls to local government to respond to the needs of the people to provide the good or service. In addition, local governments provide services for the sole benefit of their residents and should continue to receive tax benefits to provide those services at a low cost.

**PROTECTION OF THE FIRST AMENDMENT.** The right of the people through democratically elected and appointed officials to petition and speak to government officials shall not be abridged. We support cities' First Amendment right of freedom of association to work together to accomplish common goals.

**POLICE POWERS.** We support the authority of cities to regulate to protect the health, safety, and welfare of the public.

**NON-DISCRIMINATION.** We oppose discrimination against any person by reason of their race, color, religion, sex (including pregnancy, gender identity, or sexual orientation), age, national origin, ancestry, disability, military/veteran status, or genetic information.

**CITY ELECTIONS.** We oppose any actions by the state government to impose partisan elections on cities. All cities have the authority to make this decision for their community, and each city, in consultation with its citizens, should make that determination.

**FILING FOR OFFICE.** To encourage a higher number of candidates to file for office, we support the filing location for city elections being available in the city clerk's office. The state should evaluate remote filing options.

**FILLING OF VACANCIES.** Vacancy filling should remain the responsibility of local governing bodies made up of duly elected officials.

**ANNEXATION.** We support local jurisdictions' ability to make their own decisions regarding orderly growth through annexation.

**SIGN REGULATION.** We support the authority of local government to regulate signs in compliance with federal law.

**PUBLIC PROPERTY & RIGHTS-OF-WAY.** We support the right of cities to control and manage public property and rightsof-way and to impose franchise or use fees on entities that utilize rights-of-way.

**EMINENT DOMAIN.** We support flexibility for local governments to use eminent domain for economic development purposes, including blight remediation, without seeking legislative approval.

**GOVERNMENTAL IMMUNITY.** We support continued immunity for cities from tort liability and legislation strengthening the Kansas Torts Claims Act.

**INTERLOCAL COOPERATION.** We support the principle of voluntary cooperation among all levels of government.

**CITY/COUNTY CONSOLIDATION.** We support processes for local consolidation without undue statutory barriers. Voters should be allowed to determine whether consolidation with another unit of government occurs.

**PRIVATE CEMETERY LIABILITY.** We support removing the requirement for cities to care for and maintain formerly private cemeteries that have been dissolved.

#### **COMMUNITY DEVELOPMENT**

**HOUSING.** The League supports programs that encourage access to quality housing, including but not limited to, the Housing Investor Tax Credit Act, the Kansas Affordable Housing Tax Credit Act, the Kansas Rural Home Loan Guarantee Act, guaranteeing appraisals in rural counties, the Historic Kansas Act, and the Kansas Rural Housing Incentive District Act.

**RURAL HOUSING INCENTIVE LOAN FUND.** We support the creation of a State low interest revolving loan fund to finance development in Reinvestment Housing Incentive Districts.

**ABANDONED AND BLIGHTED HOUSING.** We support streamlining and expediting the process for local governments, neighborhood organizations and private businesses to deal with the blight of abandoned, nuisance, foreclosed housing, and commercial structures to protect the rights and property values of surrounding property owners. Cities should continue to retain the ability to manage vacant property registry programs to counter blight.

**HOUSING-RENTAL INSPECTIONS.** We support giving cities authority to require inspections of rental housing for the safety of tenants and to protect the rights and property values of surrounding property owners.

**REVITALIZATION TOOLS.** We support continued use of the Neighborhood Revitalization Act, Downtown Redevelopment Act, Transportation Development District Act and Community Improvement District Act to promote local neighborhood development.

**ECONOMIC DEVELOPMENT PARTNERSHIPS.** State and regional partnerships are vital to the sustained growth of the state and should be supported by policy and with adequate funding.

**TAX ABATEMENTS.** We support the authority of cities to offer tax abatements to encourage business investment in communities.

**TAX INCREMENT FINANCING (TIF).** We support the continued use of TIF to promote economic development. TIF laws should allow maximum flexibility for efficient use by communities.

**STAR BONDS.** We support the ability of cities to utilize STAR bonds to promote economic development in communities.

**LAND USE AND ZONING.** We support the ability of local officials to make land use and zoning decisions within their community, including decisions about location, placement, size, appearance, and siting of transmission and receiving facilities and any other communications facilities.

**BUILDING CODES.** We oppose any measures to preempt local building codes.

**TOURISM.** We support cooperative ventures between state and local government to promote tourism as an industry vital to growth and development.

**TAX CREDITS.** We support the continued availability of tax credits as a tool for economic development.

#### TRANSPARENCY IN GOVERNMENT

**OPEN MEETINGS.** All levels of government should be subject to the same open meetings requirements. These laws should not be unduly burdensome.

**OPEN RECORDS.** All levels of government should be subject to the same open records requirements. State laws governing open records should balance the public's right of access, with the necessity of protecting the privacy of individual citizens, and the ability of public agencies to conduct essential business functions. We support a city's ability to recoup reasonable costs associated with requests.

**INTERGOVERNMENTAL DIALOGUE.** We support current law regarding the use of state and local public moneys to provide information and advocate on behalf of our cities and citizens. Any reporting system should not increase the administrative burden on local governments.

**BODY CAMERAS.** We support the ability of local governments to determine when and how body cameras will be used by law enforcement officers, including the regulations concerning public access to those recordings, balancing the needs of law enforcement and the individuals whose images are captured in the recordings.





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#### CITY FACTS







Spencer Duncan Government Affairs Director sduncan@lkm.org (21st Session)



John Goodyear General Counsel *jgoodyear@lkm.org* (6<sup>th</sup> Session)



Wendi Stark Legislative Liaison wstark@lkm.org (4<sup>th</sup> Session)



Sage Pourmirza Staff Attorney spourmirza@lkm.org (1st Session)

#### **POLICY DEVELOPMENT**

This *Statement of Municipal Policy* was developed by city officials through the League's policy committees. There are three policy committees that are focused in specific areas: Finance & Taxation, Public Officers & Employees, and Utilities & Environment. The fourth committee, the Legislative Policy Committee, reviews the entire *Statement* and the recommendations of the three specific committees. The *Statement* is then submitted to the Governing Body and is ultimately adopted by the Convention of Voting Delegates at the League's Annual Conference. For more information about the League policy committees or process, check out the League website at *www.lkm.org* or contact us at (785) 354-9565.



#### THE LEAGUE ADVOCATES FOR CITIES

The League advocates on our members' behalf to sponsor and encourage beneficial legislation for cities and oppose legislation that would be detrimental to our members' interest.





#### THE LEAGUE OFFERS GUIDANCE

Member cities can contact the League with a legal inquiry or question. Additionally, we provide sample ordinances and guidance on legislation and rulemaking from both the state and federal level.

#### **COMMUNICATIONS & OUTREACH**

Since 1914, the League has published the *Kansas Government Journal*, a publication for city, county and state government officials that is printed six times a year. The League publishes a weekly e-newsletter, researches municipal issues affecting Kansas communities and develops programs for cities to use to engage their residents and reinforce the importance of civic engagement.



#### **MUNICIPAL TRAINING & EDUCATION**

The League offers members a variety of education and training opportunities throughout the year. Our annual conference brings together leaders in municipal government to offer innovative ideas for cities. Throughout the year, the League works with professionals in the field to train, inspire and solve problems facing municipal leaders at all levels. The League offers over 30 manuals and publications on municipal issues ranging from finance and budgeting, personnel, planning, economic development, open meetings and open records to traffic ordinances.

#### **CONTRACT SERVICES**

The League offers members a competitive rate to have the League engage in contract services, which include codification services, executive personnel search program (LEAPS) and personnel policies.







City of Mission	Item Number:	7b.
ACTION ITEM SUMMARY	Date:	December 20, 2023
Administration/Police Department	From:	Laura Smith

Action items require a vote to recommend the item to full City Council for further action.

**RE:** Classification and Compensation Recommendations

**RECOMMENDATION:** Review and approve an updated salary structure for the City of Mission and reclassifications for specific positions.

**DETAILS:** The challenge to recruit and retain employees over the last several years has become increasingly competitive. The Council has consistently demonstrated a willingness to make employee recruitment and retention a high priority, making significant investments in classification and compensation adjustments City-wide in 2017 and 2021, through wage increases for various part-time staff at the Community Center, in the Police Department in 2023, and through annual performance merit pools. This has allowed us to remain competitive when hiring and has been appreciated by employees.

As we move into 2024, Staff is recommending a change which impacts the City's overall salary structure as well as various reclassification requests for several positions. The recommendations are detailed more fully in a memorandum included in the packet and include:

- Adjustment of the entire salary structure (salary ranges) by 1.5%. The overall structure has not been adjusted for several years. This recommendation does not have an immediate financial impact but allows for more competitive starting salaries and ample room for salary growth for existing employees.
- Reclassification of the part-time Administrative Assistant's position in the Public Works Department to a full-time position. This position is already benefits eligible, so the immediate impact is limited to the addition of 10 hours each week.
- Reclassification of the Parks Maintenance Supervisor's position to Grade 16. This transition was handled internally earlier in the year when supervisory responsibilities were added, and it needs to be formally incorporated into the salary structure.
- Assignment of the Business Manager/Superintendent's position in the Parks + Recreation Department to Grade 23
- Reclassification of the Aquatics Facilities Manager's position from a Grade 17 to an Aquatics Facilities Supervisor's position at a Grade 20.
- Formally classifying the Business Manager's position in the Parks + Recreation Department in the City's salary structure at Grade 23 and eliminating the Administrative Supervisor's position.
- Reclassification of the Part-time Accounting position in the Parks + Recreation

Related Statute/City Ordinance:	NA
Line Item Code/Description:	Various Personnel line items
Available Budget:	TBD

City of Mission	Item Number:	7b.
ACTION ITEM SUMMARY	Date:	December 20, 2023
Administration/Police Department	From:	Laura Smith

Action items require a vote to recommend the item to full City Council for further action.

Department to Regular Part-time (30 hours/week) making the position eligible for benefits and pro-rated vacation and sick leave benefits.

The changes recommended have been carefully reviewed and evaluated and align with the City's overall compensation goals and strategies without resulting in significant financial impacts. If approved, the recommendations would become effective January 1, 2024.

**CFAA CONSIDERATIONS/IMPACTS:** Continually evaluating and maintaining a competitive, sustainable classification and compensation system for City employees allows for high quality services to be delivered without interruption for residents and visitors of all ages and abilities.

Related Statute/City Ordinance:	NA
Line Item Code/Description:	Various Personnel line items
Available Budget:	TBD



#### MEMORANDUM

Date:	December 11, 2023
To:	Mayor and City Council
From: RE:	Laura Smith, City Administrator Recommended Classification and Compensation Adjustments for 2024

The challenge to recruit and retain employees over the last several years has become increasingly competitive. The Council has consistently demonstrated a willingness to make employee recruitment and retention a high priority, making significant investments in classification and compensation adjustments City-wide in 2017, 2021, through wage increases for various part-time staff at the Community Center, in the Police Department in 2023, and through annual performance merit pools. This has allowed Mission to remain competitive when hiring and has been appreciated by employees.

Evaluation and management of the Classification and Compensation structure should be on-going, and any adjustments must be made carefully to not create internal/external equity concerns or salary compression. As we move into 2024, Staff is recommending a change which impacts the City's overall salary structure as well as reclassification requests for four positions. The recommendations include:

#### Salary Structure Adjustment

The entire salary structure is reviewed periodically to ensure it reflects the market appropriately and clearly communicates salary grades for all positions throughout the organization. Staff is recommending adjustment of the entire salary structure (salary ranges) by 1.5%. The overall structure has not been adjusted since September 2021 and should be updated frequently to allow for competitive starting salaries as well as ample room for salary growth for existing employees. There are no immediate financial impacts to make this adjustment as all employees would fall within the new ranges.

A draft salary schedule with the currently approved ranges and the recommended ranges, including the position reclassifications detailed below is included in the packet.

#### **Reclassify Public Works Part-time Administrative Position to Full Time**

The Public Works Department has historically operated with an Administrative

Assistant's position that has been classified as Regular Part-time (30 hours a week) making it benefits eligible and providing for pro-rated vacation and sick leave benefits. Following the departure of the previous employee, there was an opportunity to move an existing employee (former Assistant PW Superintendent) into this part-time role. This allowed for some redefinition of the responsibilities of the position, capitalizing on the skill set of the existing employee.

While some benefits were realized immediately, the reclassification to a full-time position would solidify those and add several others. The rationale for the reclassification request includes the following:

- More consistent coverage of the front desk/phones during operating hours. With a part-time position there are times no one is available to answer public calls or vendor walk-in traffic.
- Assistance with on-site right of way inspection to supplement the work of the PW Superintendent or the Director.
- Ability to fill in on snow shifts as necessary (current employee has CDL license)
- Support in preparing project reimbursement requests (CARS, SMAC, etc.)
- Help in taking before/after pictures of projects and submitting for social media and website updates
- Assistance with special events (Summer Family Picnic, Holiday Lights, etc.) as needed

Because the position is already benefits eligible, the immediate impact is limited to the addition of 10 hours each week.

#### Reclassify Parks Maintenance Supervisor to Grade 16

The employee's salary was updated earlier this year to reflect the addition of supervisory responsibilities, so this reclassification will formally adjust the position's salary grade from a Grade 13 to a Grade 16. There is no immediate financial impact resulting from this reclassification.

#### <u>Reclassify the Aquatics Facilities Manager's position to Grade 20 and retitle to</u> <u>Aquatics Facilities Supervisor</u>

In 2020, the Aquatics Facilities Manager's position was transitioned from hourly to exempt similar to other Supervisor positions within the Department, but the position remained at Grade 17. The role and responsibilities of the position continue to grow and require specific and on-going certifications to ensure Mission's aquatic facilities are

operated efficiently and safely. The position supervises 1 full-time, 60 part-time and 40+ seasonal staff and has significant budget management responsibilities. The increased responsibilities and the operational expertise is consistent with other Supervisor positions included within the Department. If the updated salary structure is approved (1.5% increase) there would be a small financial impact to bring the current employee to the minimum of the new range.

#### Formally Classify the Business Manager's Position in the Parks + Recreation Department

The Powell Community Center feasibility study completed earlier in the year recommended hiring a Business Manager/Superintendent position in the Parks + Recreation Department. This is not the addition of a new position, but the reclassification of the former Administrative Supervisor's position currently at Grade 20 which has been vacant since January 2022. The new position is recommended to be introduced into the City's salary structure at a Grade 23 to reflect the additional responsibilities and expectations for the job.

#### Reclassify the Parks + Recreation Part-time Accounting Position to Regular Parttime

The Parks + Recreation Department has a part-time accounting position that assists the Director, other Parks + Recreation staff and Finance staff in tracking, recording, reporting and record-keeping for the Powell Community Center and the Mission Family Aquatic Center. As we work to implement the recommendations of the feasibility study and continue to build the business models to help guide financial decisions for the Parks + Recreation Department, detailed and accurate data collection and analysis becomes even more important.

In addition to adding approximately 10 hours each week, this reclassification would make the position benefits eligible. Additionally, the reclassification to Regular Part-time (30 hours/week) makes the position eligible for benefits and pro-rated vacation and sick leave benefits. The financial impact of this reclassification is estimated at approximately \$20,000 - 35,000 annually depending on the employee's benefit elections. There is no increase in base salary associated with this reclassification request.

#### Summary

The changes recommended have been carefully reviewed and evaluated and align with the City's overall compensation goals and strategies without resulting in significant financial impacts. If approved, the recommendations would become effective January 1, 2024.

**CFAA CONSIDERATIONS/IMPACTS:** Continually evaluating and maintaining a competitive, sustainable classification and compensation system for City employees allows for high quality services to be delivered without interruption for residents and visitors of all ages and abilities.

Proposed 2024 Classification and Compensation Structure													
City of Mission, Kansas													
	Pay		Current	1	Proposed		Current	F	roposed		Minimum	Μ	aximum
Position	Grade	N	linimum	ľ	Vinimum	N	laximum	N	laximum		Hourly	H	lourly
Mayor (proposed)	NA	\$	12,720	\$	12,911	\$	12,720	\$	12,911		NA		NA
City Councilmember (proposed	NA	\$	4,452	\$	4,519	\$	4,452	\$	4,519		NA		NA
Office Assistant	11	\$	36,517	\$	37,065	\$	53,040	\$	53,836	\$	17.56	\$	25.50
Accountant	12	\$	38,151	\$	38,723	\$	57,037	\$	57,893	\$	18.34	\$	27.42
HR Specialist	17	\$	51,143	\$	51,910	\$	74,132	\$	75,244	\$	24.59	\$	35.64
Budget and Finance Manager	23	\$	71,387	\$	72,458	\$	103,512	\$	105,065	\$	34.32	\$	49.77
City Clerk	17	\$	51,143	\$	51,910	\$	74,132	\$	75,244	\$	24.59	\$	35.64
Deputy City Administrator	31	\$	105,980	\$	107,570	\$	153,663	\$	155,968	\$	50.95	\$	73.88
City Administrator	33	\$	116,285	\$	118,029	\$	168,598	\$	171,127	\$	55.91	\$	81.06
Public Safety Clerk	13	\$	42,074	\$	42,705	\$	61,017	\$	61,932	\$	20.23	\$	29.34
Lead Court Clerk	14	\$	46,097	\$	46,788	\$	66,733	\$	67,734	\$	22.16	\$	32.08
Public Works Director	29	\$	95,675	\$	97,110	\$	138,727	\$	140,807	\$	46.00	\$	66.70
Public Works Superintendent	23	\$	71,387	\$	72,458	\$	103,512	\$	105,065	\$	34.32	\$	49.77
Asst. Public Works Superintendent	19	\$	58,736	\$	59,617	\$	85,150	\$	86,428	\$	28.24	\$	40.94
Lead Maintenance Worker	16	\$	50,633	\$	51,393	\$	73,423	\$	74,525	\$	24.34	\$	35.30
Maintenance Worker I	11	\$	36,571	\$	37,120	\$	53,040	\$	53,836	\$	17.58	\$	25.50
Maintenance Worker II	13	Ś	42.074	Ś	42.705	Ś	61.017	Ś	61.932	Ś	20.23	Ś	29.34
Maintenance Worker III	15	Ś	48.314	Ś	49.039	Ś	70.060	Ś	71.111	Ś	23.23	Ś	33.68
Mechanic	15	\$	48,314	\$	49,039	\$	70,060	\$	71,111	\$	23.23	\$	33.68
Permit Technician	13	\$	42,074	\$	42,705	\$	61,017	\$	61,932	\$	20.23	\$	29.34
Neighborhood Services Officer	14	\$	46,097	\$	46,788	\$	66,733	\$	67,734	\$	22.16	\$	32.08
Community Development Coordinator	16	\$	50,633	\$	51,393	\$	73,423	\$	74,525	\$	24.34	\$	35.30
City Planner	17	\$	51,137	\$	51,904	\$	74,142	\$	75,254	\$	24.58	\$	35.65
Building Inspector	17	\$	51,137	\$	51,904	\$	74,142	\$	75,254	\$	24.58	\$	35.65
Building Official	23	\$	71,387	\$	72,458	\$	103,512	\$	105,065	\$	34.32	\$	49.77
Maintenance Worker	10	\$	35,216	\$	35,745	\$	51,049	\$	51,815	\$	16.93	\$	24.54
Parks Maintenance Supervisor	13	\$	42,074	\$	42,705	\$	61,017	\$	61,932	\$	20.23	\$	29.34
Parks Maintenance Supervisor	16	\$	50,633	\$	51,393	\$	73,423	\$	74,525	\$	24.34	\$	35.30
Facilities & Maintenance Supervisor	23	\$	71,387	\$	72,458	\$	103,512	\$	105,065	\$	34.32	\$	49.77
Aquatics Facilities Manager	17	\$	51,137	\$	51,904	\$	74,142	\$	75,254	\$	24.58	\$	35.65
Aquatics Facilities Supervisor	20	\$	61,060	\$	61,976	\$	88,513	\$	89,840	\$	29.36	\$	42.55
Aquatics Coordinator	13	\$	42,074	\$	42,705	\$	61,017	\$	61,932	\$	20.23	\$	29.34
Recreation Program Supervisor	20	\$	61,060	\$	61,976	\$	88,513	\$	89,840	\$	29.36	\$	42.55
Recreation Program Coordinator	15	\$	48,314	\$	49,039	\$	70,060	\$	71,111	\$	23.23	\$	33.68
Administrative Supervisor	20	\$	61,060	\$	61,976	\$	88,513	\$	89,840	\$	29.36	\$	42.55
Business Manager/Superintendent	23	\$	71,387	\$	72,458	\$	103,512	\$	105,065	\$	34.32	\$	49.77
Rental Coordinator	13	\$	42,074	\$	42,705	\$	61,017	\$	61,932	\$	20.23	\$	29.34
Membership Coordinator	13	\$	42,074	\$	42,705	\$	61,017	\$	61,932	\$	20.23	\$	29.34
Parks & Recreation Director	29	\$	95,675	\$	97,110	\$	138,727	\$	140,807	\$ \$	46.00	\$ \$	66.70 -
Police Records Clerk	13	Ś	42.074	Ś	42.705	Ś	61.017	Ś	61.932	Ś	20.23	Ś	29.34
Community Service Officer	13	Ś	42.074	Ś	42.705	Ś	61.017	Ś	61.932	Ś	20.23	Ś	29.34
CIS Specialist	17	\$	51,143	\$	51,910	\$	74,132	\$	75,244	\$	24.59	\$	35.64
Police Department Ranges Sworn								_					
Police Officer I	PD1	\$	54,112	\$	54,924	\$	77,307	\$	78,467	\$	26.02	\$	37.17
Police Officer II	PD2	\$	66,686	\$	67,686	\$	88,699	\$	90,029	\$	32.06	\$	42.64
Detective	PD3	\$	69,484	\$	70,526	\$	92,421	\$	93,807	\$	33.41	\$	44.43
Sergeant	PD4	\$	75,147	\$	76,274	\$	99,953	\$	101,452	\$	36.13	\$	48.05
Lieutenant	PD5	\$	84,653	\$	85,923	\$	114,784	\$	116,506	\$	40.70	\$	55.18
Captain	PD6	\$	88,145	\$	89,467	\$	117,243	\$	119,002	\$	42.38	\$	56.37
Major (Deputy Chief)	PD7	\$	101,134	\$	102,651	\$	134,520	\$	136,538	\$	48.62	\$	64.67
Police Chief	31	\$	105,980	\$	107,570	\$	153 <u>,</u> 623	\$	155,927	\$	50.95	\$	73.86

City of Mission	Item Number:	7c.
ACTION ITEM SUMMARY	Date:	December 20, 2023
Administration	From:	Laura Smith

Action items require a vote to recommend the item to full City Council for further action.

#### RE: 2023 Budget Amendment

**RECOMMENDATION:** Approve the Resolution amending the maximum budget authority for expenditures in certain funds for the City of Mission's 2023 Budget.

**DETAILS:** The adopted annual budget establishes the maximum, authorized budget expenditure for each fund for the current fiscal year. Exceeding these expenditures without formally amending the budget is a violation of the Kansas statutes (K.S.A. 79-2929(a)). If there is a need to amend the budget, state laws require that a public hearing be held. A public hearing has been scheduled for the City Council legislative meeting on December 20, 2023 and the attached notice was published in The Legal Record on December 5, 2023.

The budget amendments are not the result of unanticipated or unauthorized expenditures. In 2023, the timing of large capital projects and the issuance of bonds after the original budget adoption requires amendments to the Capital Improvement, Street Sales Tax and Parks + Recreation Sales Tax Funds. Continued supply chain issues impacting timing and delivery of vehicles and equipment necessitates the amendment to the Equipment Reservice and Replacement Fund.

The final amendment to the 2023 budget relates to the ARPA funds where federal distributions have been held since 2022. With the decision during the 2023 Budget process to transfer those funds to the General Fund to replace revenues lost because of the COVID-19 pandemic, we need to provide the appropriate expenditure authority to affect that transfer this year.

The budget amendment is accomplished by adoption of the Resolution included in the packet. It increases the expenditure authority in the 2023 Budget for the funds listed in the table below:

Fund	Original 2023 Budget Max Expenditure	Amended 2023 Budget Max Expenditure
Equipment Reserve and Replacement Fund (Fund 24)	\$246,000	\$401,159
Capital Improvement Fund (Fund 25)	\$1,758,650	\$6,857,052
ARPA Fund (Fund 33)	\$0	\$1,512,510
Street Sales Tax Fund (Fund 40)	\$950,000	\$5,234,421
Parks and Recreation Sales Tax Fund (Fund 45)	\$1,225,450	\$3,605,458

Related Statute/City Ordinance:	K.S.A. 79-2929(a)
Line Item Code/Description:	Various Funds
Available Budget:	NA

City of Mission	Item Number:	7c.
ACTION ITEM SUMMARY	Date:	December 20, 2023
Administration	From:	Laura Smith

Action items require a vote to recommend the item to full City Council for further action.

The increased expenditures were identified for all the impacted funds during the 2024 Budget process and the 2023 Revised Budget which was approved by the City Council at the September 6, 2023 Special City Council Meeting reflected the amounts included in the Resolution formally amending the budget.

**CFAA CONSIDERATIONS/IMPACTS:** The 2023 Budget was developed with the goals and objectives of the Communities for All Ages program in mind. A community for all ages seeks to meet the needs of residents, businesses, and visitors regardless of age or abilities. It creates and fosters an active, caring and welcoming community that promotes respect, diversity and inclusion. The goal is to develop policies, services and programs that result in affordable, livable and sustainable communities that are supported by appropriate budgetary allocations.

Related Statute/City Ordinance:	K.S.A. 79-2929(a)
Line Item Code/Description:	Various Funds
Available Budget:	NA
#### CITY OF MISSION RESOLUTION NO.

#### A RESOLUTION OF THE CITY OF MISSION, KANSAS AMENDING THE MAXIMUM BUDGET EXPENDITURE AUTHORITY FOR THE 2023 BUDGET FOR THE EQUIPMENT RESERVE, CAPITAL IMPROVEMENT, STREETS SALES TAX, PARKS AND RECREATION SALES TAX AND ARPA FUNDS.

**WHEREAS,** revenues and expenditures can be difficult to anticipate when the original budget is adopted; and

WHEREAS, the timing of payments and reimbursements for large capital projects can unpredictable based on supply chain issues and other factors; and

WHEREAS, after adoption of the 2023 Budget in September 2022 the City issued General Obligation Bonds to finance street and park improvements resulting in receipt of in bond proceeds; and

WHEREAS, the proposed amendments are not the result of any unexpected or unauthorized expenditures, and expenditures will not exceed the funds available to pay for the expenditures and were anticipated an approved on September 6, 2023 when the 2023 Revised Budget was adopted by the City Council; and

**WHEREAS,** in accordance with state law, the City of Mission conducted a public hearing and has prepared the necessary documents to amend the 2023 Budget to increase the maximum expenditure limits in the impacted funds.

## NOW THEREFORE BE IT RESOLVED BY THE GOVERNING BODY OF THE CITY OF MISSION:

**Section 1.** That the maximum expenditure authority in the 2023 Budget for the following funds has been amended and established as:

Fund	Original 2023 Budget Max Expenditure	Amended 2023 Budget Max Expenditure
Equipment Reserve and Replacement Fund (Fund 24)	\$246,000	\$401,159
Capital Improvement Fund (Fund 25)	\$1,758,650	\$6,857,052
ARPA Fund (Fund 33)	\$0	\$1,512,510
Street Sales Tax Fund (Fund 40)	\$950,000	\$5,234,421
Parks and Recreation Sales Tax Fund (Fund 45)	\$1,225,450	\$3,605,458

PASSED AND APPROVED BY THE CITY COUNCIL this 20th day of December 2023.

**APPROVED BY THE MAYOR** this 20th day of December 2023.

Solana Flora, Mayor

ATTEST:

Robyn L. Fulks, City Clerk

City of Mission	Item Number:	7d.
ACTION ITEM SUMMARY	Date:	December 20, 2023
Public Works	From:	Brent Morton/Laura Smith

Action items require a vote to recommend the item to full City Council for further action.

RE: Rock Creek Channel Preliminary Project Study – Woodson to Reeds Road

**RECOMMENDATION:** Approve the submission of Option 3 from the Rock Creek Channel Preliminary Project Study (Woodson to Reeds Road) to the Johnson County Stormwater Management Program for funding in 2025/2026.

**DETAILS:** Johnson County Stormwater Management Program (SMP) completed a Watershed Master Plan – Phase 1 (WMP) for Watershed 1 (WO1) in March 2022. The portion of Rock Creek Channel located in Mission is within the WO1 boundaries. The WMP used a watershed-based approach to look holistically at watershed characteristics and environmental deficiencies within the watershed. Methodology was also developed to define watershed risk, identification of watershed opportunities and constraints, and concept solutions based on the following factors: flooding, water quality, stream erosion and movement, and watershed hydromodification (i.e., changes in watershed hydrology due to development activities).

The WMP also identified severe risk areas based on the four factors identified above and subsequently identified high concentrations of these risks and grouped them together into "focus areas". The portion of the Rock Creek Channel located within Mission city limits was identified as Focus Area 2 with a preliminary flood risk score of 4.44 (based on a scale of 1 to 5 with 5 identified as the highest risk) and a preliminary risk score of 2.03 for water quality.

In September 2022, The Council approved a task order with Olsson to conduct a Preliminary Project Study (PPS) of Rock Creek Channel from Woodson to Reeds Rd. A PPS is required by Johnson County SMP to submit a project for matching funds for design and construction at up to a 50% cost share. The City received SMP funding for a portion of the PPS.

The PPS is now complete, and the four proposed options have been reviewed by Staff. The four project alternatives are scored through the County's ranking system which looks at change in risk score and a cost-efficiency factor. The next step in the3 process is to submit the PPS to Johnson County SMP for review and potential funding of the project in 2025/2026.

Staff is recommending submission of Alternative Three which consists of:

Related Statute/City Ordinance:	N/A
Line Item Code/Description:	Stormwater Utility Fund
Available Budget:	TBD

City of Mission	Item Number:	7d.
ACTION ITEM SUMMARY	Date:	December 20, 2023
Public Works	From:	Brent Morton/Laura Smith

Action items require a vote to recommend the item to full City Council for further action.

- Lowering the channel from upstream at Woodson to downstream of Reeds Rd
- Upsizing the box culvert at Woodson
- Replacing/up sizing the bridges at Outlook and Reeds Rd.

This is a priority project due to the extensive channel failures that have occurred over the last five years through this section of creek channel. Additionally, it continues the advancing channel improvements from downstream to upstream.

The total estimated project cost is \$8,901,596.00, which is estimated to receive 50% matching funding through the SMP Program. The project is currently budgeted in Mission's Stormwater Capital Improvement Program (CIP) for 2025/2026. Securing a place in line for the SMP Program will then allow Staff to focus attention on financing the remaining portion of the project.

**CFAA CONSIDERATIONS/IMPACTS:** The project includes evaluation of improvements to stormwater and the floodplain to improve safety of stormwater infrastructure that maximizes safety for all users.

Related Statute/City Ordinance:	N/A
Line Item Code/Description:	Stormwater Utility Fund
Available Budget:	TBD

# PRELIMINARY PROJECT STUDY

## ROCK CREEK LAMAR AVENUE TO NALL AVENUE

SMP Project Number: RC-06-023

**Prepared for:** City of Mission, Kansas

November 2023 Olsson Project No. 018-3593

# olsson

### **ACRONYMS AND ABBREVIATIONS**

1D	one-dimensional
2D	two-dimensional
AACE	Association for the Advancement of Cost Engineering
AIMS	Automated Information Mapping System
APWA	American Public Works Association
cfs	cubic feet per second
City	Mission, Kansas
CLOMR	Conditional Letter of Map Revision
CMP	corrugated metal pipe
DWR	Division of Water Resources
FEMA	Federal Emergency Management Agency
FIRM	Flood Insurance Rate Map
FIS	Flood Insurance Study
HEC-1	Hydrologic Engineering Center 1 model
HEC-HMS	Hydrologic Engineering Center Hydrologic Modeling System
HEC-RAS	Hydrologic Engineering Center River Analysis System
HGL	hydraulic grade line
JCW	Johnson County Wastewater
KDHE	Kansas Department of Health and Environment
KPI	key performance indicators
LoF	likelihood of failure
LAG	low adjacent grade
LOE	low opening elevation
LOMR	Letter of Map Revision
NFIP	National Flood Insurance Program
NOAA	National Oceanic and Atmospheric Administration
NOI	
NRCS	Natural Resources Conservation Service
PPS	preliminary project study
RCB	reinforced concrete box
RIPP	risk integrated project prioritization
SMP	Johnson County Stormwater Management Program
USACE	U.S. Army Corps of Engineers
WMP	watershed master plan
WSE	water surface elevation

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### **APPENDICES**

- Appendix A: Coordination with Watershed Organization
- Appendix B: Preliminary Project Study Funding Request
- Appendix C: Digital Files and Project Figures
- Appendix D: Risk Integrated Project Prioritization Scoring Backup Documentation
- Appendix E: Quality Assurance/Quality Control
- Appendix F: Preliminary Project Study Checklist

## **1. PROJECT OVERVIEW**

The Project Overview section provides background information on the project location, the flood risk present at this location, challenges to project implementation and constructability, applicable design standards and regulations, and how this study aligns with the goals of the Johnson County Stormwater Management Program (SMP).

#### 1.1 Tie to the Watershed Master Plan

Olsson has completed this preliminary project study (PPS) for the City of Mission, Kansas (City), for a project located in the Rock Creek watershed adjacent to Johnson Drive, between Lamar Avenue and Nall Avenue, following Rock Creek. The City received approval to proceed with the PPS from Watershed Organization 1 on August 25, 2022. Minutes from this meeting are included in Appendix A. The PPS funding request from the city to SMP is included in Appendix B.

The PPS area is within the Phase 1 Watershed Master Plan (WMP) boundary for Watershed 1, as shown in Figure 1. This PPS area is identified in the Watershed 1 WMP as Focus Area 2, which ranks among the highest priority areas in all of Watershed 1. The Phase 1 WMP also



identifies several watershed-based actions in Focus Area 2 to reduce risk. A Watershed 1 map, priority flood risk areas map, and a more detailed figure showing recommended solutions in Focus Area 2 are all included in Appendix A. All the recommended solutions identified in Focus Area 2 with the PPS project location were evaluated in this PPS in some form. The discussion of these improvements is presented in Section 4 of this PPS.

Figure 1. Watershed 1 Location Map.

#### 1.2 Background

The PPS area is focused along a stretch of Rock Creek located between Johnson Drive and 61st Street and between Lamar Avenue to Nall Avenue as shown in Figure 2. Rock Creek flows from southwest to northeast toward the confluence with Brush Creek, approximately 2.5 miles downstream of the PPS area. The PPS location encompasses an area in downtown Mission that has experienced frequent street and building flooding. Though some of the flood risk reduction solutions evaluated in Section 3 and the alternatives discussed in Section 4 extend outside of this PPS area, Figure 2 shows the extents of the flood risk benefit associated with this PPS.



Figure 2. Preliminary Project Study Area.

The goal of the PPS is to identify improvement alternatives that increase system conveyance and reduce the severity and frequency of street and building flooding along Rock Creek in the PPS location identified in Figure 2. Potential improvement options are vetted for feasibility and effectiveness at reducing flood risk, and those that are feasible and effective become proposed alternatives evaluated in this PPS. Preliminary cost estimates and flood risk reduction calculations are completed for the proposed alternatives.

It is anticipated that the Johnson County SMP will provide partial funding for the flood risk reduction improvements identified in this PPS, including both improvement design and construction costs for the project.

The flood risk reduction associated with this PPS will be primarily localized to the PPS location and no negative impacts are anticipated either upstream or downstream of the PPS area.

#### **1.3 Existing Conditions**

The Rock Creek watershed is almost entirely developed; with most of the watershed development occurring prior to 1970; redevelopment activities are currently ongoing within the PPS area. The degree of hydromodification within the Rock Creek watershed is high; much of the historical creek and its tributaries are buried in pipes or in heavily modified stream segments. The PPS area is located at the upstream end of Rock Creek, where several storm sewer lines discharge into an open channel.

The City has recorded frequent street and building flooding at different points along Rock Creek within the PPS area; the flooding has caused streets to become impassible, flooded buildings, and restricted emergency access to buildings in the PPS area. In addition to the flooding issues, the Rock Creek channel within the PPS area is hydraulically undersized and has had numerous structural deficiencies to the point of complete failure in some sections. Figure 3 shows one of

these failures at the left-bank channel wall just west of Reeds Road that occurred in May 2020 (Shawnee Mission Post 2020).

Figure 4 shows the existing storm sewer and drainage system in the PPS area, including the existing storm sewer interceptor running in Johnson Drive from Lamar Avenue to east of Reeds Road that was installed in 2013. The concept of using a storm sewer interceptor came from a 2010 preliminary engineering study (Black &



Figure 3. Rock Creek Channel Wall Failure (Shawnee Mission Post 2020).

Veatch 2010) that evaluated the flood risk reduction benefit of an interceptor solution. Per this 2010 study, the purpose of this interceptor is to capture stormwater from north of Johnson Drive and redirect it to a Rock Creek discharge location at the downstream end of the PPS area with the goal of reducing the upstream flow rates in Rock Creek, which lowers the water surface elevations and reduces flood risk. The hydraulic benefit of the storm sewer interceptor is discussed in more detail in Section 2.1. Constructing the downstream portion of this interceptor in 2013 was the first phase, and the second phase would extend the interceptor farther west toward Metcalf Avenue to redirect all storm sewer flows in Johnson Drive into the interceptor. These flows currently drain south and discharge into Rock Creek at the upstream end of the

PPS area. Note that the 2010 study did not show this storm sewer interceptor as a stand-alone solution to address flood risk along Rock Creek but was part of a full solution that included Rock Creek channel improvements.

The 100-year storm event inundation limits in existing conditions based on the updated hydraulic analysis competed with this PPS (as discussed in Section 2.1) and are shown in Figure 4. The inundation limits indicate that significant street and structure flooding within the PPS area is caused by a combination of channel and culvert flow capacity constrictions. The streets most affected by flooding are Woodson Road, Martway Street, Outlook Street, Johnson Drive, and Reeds Road and the existing channel culverts that appear to be causing the flow constriction are located at Martway Street, Outlook Street, and Reeds Road. The location and size of these features is shown in Figure 4.

Table 1 provides a summary of the most significant flood events along Rock Creek over the past 20 years based on readings from the stream gauge located near the intersection of Martway Street and Roeland Drive, which is the Rock Creek gauge closest to the PPS area. This gauge is named: BR06-Martway @ Rock Creek (5700) and the gauge data comes from Stormwatch.com (Stormwatch 2023). Table 1 also includes the corresponding total 24-hour rainfall depth data according to information from the rain gauge at the same BR06-Martway gauge station (Stormwatch 2023).

Date of Event	Rock Creek Peak Water Surface Elevation	Total Rainfall Depth	
	(feet)	(inches)	
August 26, 2016	924.38	5.56	
July 20, 2015	924.24	2.68	
July 27, 2017	922.42	4.80	
August 5, 2017	922.23	5.44	
May 24, 2019	921.87	1.68	
May 6, 2012	921.69	2.04	
September 3, 2021	921.43	1.76	
August 6, 2014	921.42	3.48	
September 19, 2013	921.22	2.44	
June 14, 2010	920.47	3.24	
August 20, 2011	920.41	1.56	
May 25, 2018	919.99	1.84	

#### Table 1. Rock Creek Flooding.



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Figure 4 - Existing Flooding and Flow Constrictions



Because of some variation in rainfall intensity and rain pattern across the watershed for these events, there is not a perfect correlation between the highest Rock Creek floods and the greatest rainfall depths; however, generally, the greatest rain events have generated the highest peak water surface elevations in Rock Creek. Based on conversations with City staff, these most recent Rock Creek flood events correlate well to known flooding in the PPS area.

In addition to the 100-year inundation limits shown in Figure 4, the Flood Insurance Rate Map (FIRM) and flood profile for Rock Creek from the Johnson County Flood Insurance Study (FIS) (FEMA 2009) is included in Appendix C. A more detailed presentation for flooding depths and locations is included in Section 3.1, Existing Risk.

#### 1.4 Standards and Regulations

The hydrologic and hydraulic analysis for the existing conditions and proposed improvement alternatives were evaluated in accordance with the criteria established in Section 5600, *Storm Drainage System and Facilities* of the *Standard Specifications and Design Criteria* for the Kansas City Metropolitan Chapter, American Public Works Association (APWA) (APWA 2011). It is assumed that materials and workmanship for stormwater management and related improvements will be constructed in accordance with the provisions of the City's technical specifications and standard details.

#### **1.5 Utility Contacts**

According to the Johnson County Automated Information Mapping System (AIMS) and utility coordination efforts from projects in the vicinity of the PPS area, the utility companies that have facilities in the PPS area are listed in Table 2 and shown on Figure 5.

Utility	Designated Contact	Phone Number	
AT&T	Randy Gaskin	913.383.6948	
Charter	Alex Cashman	913.915.0553	
Consolidated Communications	Clarence Griffin	816.678.9793	
Evergy	Michey Jensen	785.214.9209	
Johnson County Wastewater	Mike Pillar	913.715.8537	
Google	Doug Folk	816.548.1909	
Kansas Gas Service	Melissa Nash	913.216.2580	
WaterOne	Ryan Sirridge	913.449.0377	

Table 2. Ut	ility Contacts	in the P	Preliminary	Project	Study Area.
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Figure 5 - Utilities Within the Preliminary Project Study Area

0 150 300 Feet 1 inch equals 300 feet Utility coordination with these contacts will be performed during project design. Though the proposed improvements will seek to avoid utility conflicts, there will certainly be impacts to utilities within the PPS area. Costs for required utility relocations that fall within existing right-of-way are typically the responsibility of the utility companies. Coordination with utilities to determine locations and relocations will be necessary during the design and construction phases. Specific details about utilities that conflict with the proposed improvement alternatives will be discussed in Section 4.

#### 1.6 Conformance with Federal Emergency Management Agency Regulations

The hydrologic and hydraulic calculations for this PPS are based on the current Federal Emergency Management Agency (FEMA) effective Hydrologic Engineering Center River Analysis System (HEC-RAS) model for Rock Creek. The hydrologic data for the Rock Creek watershed was derived from previous Hydrologic Engineering Center-1 (HEC-1) modeling and updated to reflect current condition storm sewer piping. The hydrological data remains consistent with the HEC-RAS analysis; however, flow rates for additional flood events were interpolated or extrapolated for the purpose of determining a risk score for existing and proposed conditions. The hydrology analysis used for this PPS is discussed further in Section 2.1.1. The current effective HEC-RAS hydraulic model was used as a basis for hydraulic calculations. An existing conditions model was developed to reflect updated HEC-RAS crosssections cut from 2020 lidar information (Johnson County SMP 2020). The hydraulic analysis used for this PPS is discussed further in Section 2.1.2. The proposed alternatives use the existing conditions model as a base and add proposed alternatives to reduce flood risk by reducing the 100-year flood inundation limits. This hydrologic and hydraulic approach is in conformance with FEMA regulations for this type of analysis. A Conditional Letter of Map Revision (CLOMR) and Letter of Map Revision (LOMR) should be completed with this project to update the FEMA regulatory floodway and floodplain.

#### 1.7 Quality Assurance / Quality Control Procedures

Documentation from Olsson's quality control procedures is included in Appendix E. The PPS checklist is included in Appendix F.

#### **1.8 Key Performance Indicators**

The SMP key performance indicators (KPIs) for Watershed Organization 1 have not been identified. Should the KPIs be identified prior to the finalization of this PPS, this section will be updated.

### **2. METHODOLOGY AND APPROACH**

This section documents the methodology and approach to the engineering analyses and field investigations performed with this PPS. The purpose of these analyses and investigations is to characterize the existing risk within the PPS area. This section establishes the baseline method of analysis and the approach to developing proposed alternatives that reduce risk.

#### 2.1 Flood Hydrology and Hydraulics

The goal of this PPS is to reduce flood risk within this PPS area. This section outlines the approach to hydrology and hydraulics used to identify the existing conditions and develop proposed improvement alternatives.

#### 2.1.1 Hydrology Analysis

The Rock Creek watershed is approximately 13 square miles in size and the PPS area is in the upstream end of the watershed with a tributary area of approximately 1.5 square miles. Figure 6 shows the Rock Creek subwatersheds tributary to the PPS area, which is fully developed and covers portions of the cities of Mission, Overland Park, Fairway, Prairie Village, and Mission Hills. Rock Creek is a tributary to Brush Creek; the confluence of these two creeks is located approximately 2.5 miles downstream of the PPS area. There has been very little change in the Rock Creek watershed hydrology since the creation of the current effective modeling.

The effective 2009 Johnson County, Kansas, FIS (FEMA 2009) modeling is the basis for the Rock Creek PPS hydrology. Since the 2009 study, the use of the U.S. Army Corps of Engineers (USACE) HEC-1 program has been superseded by the Hydrologic Engineering Center Hydraulic Modeling System (HEC-HMS). Regarding this hydrologic model change, the HEC-HMS User's Manual (USACE 2023) states the following:

"Development of the Hydrologic Modeling System (HEC-HMS) was initiated as part of the Next Generation Software Project to succeed the aging HEC-1 program for simulating the rainfall-runoff process. However, it was not designed to simply add a graphical user interface to the old program. Instead, it was designed to use advances in engineering and computer science wherever possible to improve the quality of simulation results. The modernization process has therefore resulted in some changes in how computations are performed. While these modernizations result in computation differences between the two programs, the HEC-HMS results are preferred because of the modern techniques that have been implemented."



Figure 6. PPS Area Subwatershed Map.

Using the inputs from the effective HEC-1 model, a comparative HEC-HMS model with identical inputs was created. When comparing the flow results for the effective HEC-1 model versus the comparative HEC-HMS model, the HEC-HMS model results for all but one subwatershed were within 5 percent of the HEC-1 model for the 10-, 50- and 100-year, 24-hour storm events. In almost all cases, the modeled HEC-HMS flows were slightly higher

than the effective HEC-1 flows. A complete flow comparison of the effective HEC-1 model versus the comparative HEC-HMS model results for each subwatershed, including the percent of change between the two modeled flow values, are included in Appendix C.

The close alignment of flow values when comparing the HEC-1 model versus the HEC-HMS model, results in a high level of confidence that using the HEC-HMS model to generate hydrology for this PPS would accurately represent the effective FIS hydrology. Once a comparative HEC-HMS model was created, it was necessary to create an updated HEC-HMS model for this PPS which reflects the current flow routing conditions due to the flow routing change caused by the construction of a storm sewer interceptor in 2013 (see Section 1.3 for more information).

The updated HEC-HMS model was created to reflect the existing interceptor by diverting flow from watersheds north of Johnson Drive along reaches which eventually discharge back into Rock Creek, south of Johnson Drive and west of Nall Avenue. The amount of flow to be diverted along Johnson Drive from each watershed was determined through hydraulic capacity calculations performed in StormCAD. The maximum diversion capacity at specific flow input locations is based on the capacity of the interceptor and other existing storm sewer pipes that tie-into the interceptor. Flows up to the available diversion capacity for each storm event are routed into the interceptor and excess flow is bypassed to multiple junctions south of Johnson Drive.

Table 3 compares the hydrologic peak flow rates at the five input locations that affect the hydraulics in the PPS area from the effective HEC-1 FIS model, the comparative HEC-HMS model (FIS model routing), and the updated HEC-HMS model that reflects the storm sewer interceptor model routing. Flow rates for the 10-, 50- and 100-year, 24-hour storm events are included in Table 3. Additional details from the HEC-HMS model created for this PPS can be found in Appendix C.

Note that though the flow rates presented in Table 3 are slightly different, which reflects different modeling calculation approaches and routing, the same input values (subwatershed size, land cover characteristics, and flow timing) and rainfall depths used in the effective HEC-1 model were used in the updated HEC-HMS model. For these reasons, the flow hydrology for this PPS should be considered consistent with the effective FIS hydrology.

#### 2.1.2 Hydraulic Analysis

Early in the preparation of this PPS, several different requests were made to FEMA, the Kansas Division of Water Resources (DWR), and Johnson County to obtain the current effective HEC-RAS hydraulic model for Rock Creek. From these requests, no definitive effective model was found that incorporates all effective LOMRs. The most current effective

	Hydraulic Model Flow Input Cross- section	Flow Rate Inputs (cubic feet per second)			
Storm Event Recurrence Interval		Effective	Comparative	Updated	
		HEC-1	HEC-HMS	HEC-HMS	
	3.014	1,318	1,331	1,364	
10-year,	2.958	1,404	1,413	1,379	
24-hour	2.815	1,622	1,634	1,567	
(5.29 inches)	2.711	1,700	1,750	1,865	
	2.588	1,911	1,967	2,099	
	3.014	1,970	1,893	1,940	
50-year,	2.958	2,092	2,022	1,971	
24-hour	2.815	2,434	2,360	2,266	
(7.04 inches)	2.711	2,601	2,524	2,710	
	2.588	2,922	2,835	3,025	
	3.014	2,094	2,141	2,195	
100-year,	2.958	2,224	2,299	2,242	
24-hour	2.815	2,591	2,685	2,593	
(7.80 inches)	2.711	2,773	2,870	3,099	
	2.588	3,116	3,223	3,430	

#### Table 3. Hydrology Model Comparison.

model that was provided from FEMA was a truncated model that incorporated changes from a LOMR that was completed in 2022, but only included the area of focus for the LOMR, which was along Rock Creek from Maple Street to Roeland Drive; downstream of the PPS area. The 2022 LOMR documentation FEMA is included in Appendix C. Unfortunately, no record of an update to the original effective model to reflect this LOMR could be found. As a result, it was necessary to create an updated current effective model for the purpose of this PPS.

The basis for the updated current effective model is the HEC-RAS hydraulic model for the 2009 Johnson County FIS. The truncated 2022 LOMR model was then incorporated to create a single updated current effective model. An existing conditions HEC-RAS model was then produced from the updated current effective model to accurately reflect the present-day hydraulic conditions. Cross-sections outside of the 2022 LOMR area were modified to reflect the more current and accurate 2020 Johnson County (1-meter) lidar topography. Further adjustments to improve the PPS model within the 2022 LOMR area were completed by updating the cross-section geometry outside of the Rock Creek channel to reflect the 2020

lidar, while maintaining the channel geometry shape. This lidar dataset is more consistent with observed site conditions.

The steady flow inputs for the existing conditions HEC-RAS model were derived from the updated HEC-HMS model, as described in Section 2.1.1. The 10-, 50-, and 100-year flow rates at each flow change location were pulled directly from the respective elements in the updated HEC-HMS model. The 2-, 5-, and 25-year flow rates were calculated through logarithmic interpolation and extrapolation. Additional details from the HEC-RAS models created for this PPS to evaluate the project hydraulics can be found in Appendix C.

#### 2.1.3 Storm Events

Because this PPS is located within the regulatory floodplain of Rock Creek, the hydrology from the effective FEMA modeling (with the minor adjustments to the project hydrology as discussed in Section 2.1.1) was used for the existing conditions analysis and proposed alternatives analysis. The 10-, 50-, and 100-year, 24-hour storm events listed in Table 3, as well as flows from the 2-, 5-, and 25-year, were all included in the hydraulic modeling effort to accurately portray the full range of flood risk within the PPS area. Updated hydrology using the National Oceanic and Atmospheric Administration (NOAA) Atlas 14 rainfall is not incorporated into this PPS.

#### 2.1.4 Future Anticipated Flooding Condition

SMP has not yet defined the future anticipated conditions scenario. Should the future anticipated conditions scenario be identified prior to the finalization of this PPS, this section will be updated.

Note that the Rock Creek watershed is essentially fully developed. There is an ongoing twodimensional (2D) modeling effort through SMP that will update the Rock Creek watershed hydrology to better reflect the current watershed development characteristics; this effort will represent a fully developed watershed. In addition, the 2D modeling effort will update the watershed hydrology using Atlas 14 rainfall depths and it is anticipated that with the rainfall depth update, peak flows and corresponding flood depths and widths will increase. Though it is outside of the scope of this PPS, at the conclusion of the 2D modeling effort, the proposed PPS selected alternative could be reevaluated to determine if any adjustments to this alternative would provide a greater degree of flood risk reduction.

There is certainly redevelopment potential within the watershed; however, it is not anticipated that this redevelopment activity will be significant enough to alter runoff volumes carried in the downstream end of Rock Creek. In addition, the focus of this PPS is reducing flood risk, which has minimal correlation to minor changes in runoff volume because of upstream redevelopment activities.

#### 2.2 Water Quality

The focus of this PPS is flood risk reduction within the PPS area. Although water quality benefits may be associated with the proposed improvement alternatives, water quality was not a primary consideration for this PPS; therefore, this section is not applicable to this PPS.

#### 2.3 Field Investigations

Several field investigations were performed during this PPS, including a topographic survey, a geotechnical investigation, and a field visit, as described in the sections below.

#### 2.3.1 Survey

Olsson performed a limited topographic survey along Rock Creek within the PPS area to capture key culvert elevations, low structure opening elevations, and soil boring locations within the PPS area. This survey was completed during the weeks of February 13, 2023, and April 10, 2023. This survey information and survey data from several Olsson-designed projects in the vicinity of the PPS area provided a solid survey base map for the proposed alternatives within the PPS area. Potential utility impacts are included with each improvement alternative in Section 4 of this PPS.

#### 2.3.2 Field Visits

Several field visits were completed during the preparation of this PPS. A variety of channel section geometries and bank materials exist within the PPS area. The Rock Creek channel section between Woodson Street and Outlook Street is an approximately 20-foot-wide cast-in-place concrete channel with vertical walls. Downstream of Outlook Street, the channel shape shifts to a trapezoidal channel section with various bottom widths, and side slopes covered with riprap, gabion baskets, or vegetation.

Several channel wall repair areas were identified during these site visits, specifically the east channel bank, east of Outlook Street (see Figure 7) and the west channel bank, east of Reeds Road. Figure 3 shows the channel wall failure at this location. In both locations, a combination of a large block retaining wall in the channel bottom and riprap along the channel slope is the bank stabilization measure.



Figure 7. Existing Channel at Outlook Street.

Near the downstream end of the PPS area, weathered shale is present in the channel bottom. It is anticipated that potential channel lowering would encounter weathered shale and/or limestone in the downstream end of the PPS area.

#### 2.3.3 Geotechnical Investigation

Olsson completed soil borings adjacent to Rock Creek within the PPS area in April 2023 to provide existing soils information and determine the top-of-bedrock elevation along the Rock Creek channel.

Because the proposed PPS improvement alternatives all include lowering the existing channel flowline, the top of rock bed elevations collected indicate where rock excavation will be required to construct the improvements. Figure 8 shows the soil boring locations for this PPS. The boring logs from the geotechnical investigation are included in Appendix C.



Figure 8. Soil Boring Locations.

# **3. EXISTING / FUTURE ANTICIPATED RISK AND POTENTIAL SOLUTIONS**

This section summarizes existing flood risk within the PPS area and lists potential improvement solutions that were analyzed for their ability to reduce flood risk. As the Rock Creek watershed is entirely developed, increased flood risk in the future is not anticipated. The focus of this PPS is flood risk reduction along Rock Creek. Potential solutions target flood risk reduction, and though there may be some water quality risk reduction associated with these solutions, water quality risk reduction is not actively incorporated into the potential solutions.

#### 3.1 Existing Risk

The existing flood risk for the PPS area is characterized by the combination of roadway and building flooding. As previously discussed, the Rock Creek corridor through the City experiences frequent street flooding and periodic building flooding during larger rain events.

The Johnson County SMP has developed the Risk Integrated Project Prioritization (RIPP) methodology for identifying and quantifying flood risks. A RIPP spreadsheet created by SMP allows for a consistent application of this methodology for quantifying risks in all PPSs. The RIPP methodology subdivides risk scores into three asset groupings: buildings, streets, and waterways. The risk scores from each asset grouping are then combined using the weighted averages shown in Table 4 to obtain a total risk score. The default weighting values in Table 4 for each asset grouping were used in this PPS.

Asset Grouping	Weight (Percent)
Buildings	20
Streets	50
Waterways	30

Based on the hydrology and hydraulic analysis performed for this PPS as described in Section 2.1, existing flood risk was determined. Figure 4 shows the streets and buildings identified as flooding within the PPS area and tables 5 and 6 identify the street and building flood risk scores based on the RIPP methodology, respectively. Note that the RIPP methodology for building flooding calculates a likelihood of failure (LoF) risk score for all storm event frequencies when flooding occurs, but only the greatest LoF risk score is used to calculate the total building flood risk score. The greatest LoF risk score for each flooded building is shown in Table 6. The full RIPP scoring spreadsheet showing all existing flood risks within the PPS area is included in Appendix D.

Flooded Street	Street Classification	Storm Event Frequency Before Overtopping	Likelihood of Failure Risk Score	Consequence of Failure Risk Score	Street Risk Score
Martway Street	Collector	5-year	5.0	5.0	5.0
Woodson Road	Residential	10-year	1.5	5.0	2.7
Outlook Street	Residential	< 2-year	5.0	5.0	5.0
Johnson Drive	Arterial	25-year	2.0	5.0	3.1
Reeds Road	Residential	5-year	2.0	5.0	3.1
Dearborn Street	Residential	25-year	1.0	5.0	2.4
Total Existing Street Risk Score					4.4

#### Table 5. Existing Street Flood Risk Summary.

#### Table 6. Existing Building Flood Risk Summary.

Building Address	Storm Event Frequency for Risk Scoring	Likelihood of Failure Risk Score	Consequence of Failure Risk Score	Building Risk Score
5923 Woodson Street	50-year	4.0	4.0	4.0
5929 Woodson Street	25-year	4.3	4.0	4.2
5932 Outlook Street	25-year	3.8	4.0	3.8
5939 Woodson Street	50-year	4.0	4.0	4.0
6150 W. 61st Street	100-year	4.0	4.0	4.0
	4.0			

Using the project weighting values presented in Table 4 and the existing street and building risk score values in tables 5 and 6, the total calculated existing risk score for this PPS is 3.3. This total existing risk score accounts for a waterway (i.e., water quality) risk score of 1.0. The full RIPP spreadsheet that calculates existing project risks is included in Appendix D for reference.

Regarding the existing risk associated with water quality in the PPS area, from the Phase 1 WMP for Watershed Organization 1, project RC19 is in subbasin RC2 and has a medium water quality priority ranking. No water quality enhancements are being considered with this PPS. Therefore, water quality priority in subbasin RC2 would remain medium.

#### **3.2 Future Anticipated Risk**

The Rock Creek watershed is fully developed and there is a low probability that redevelopment activities within the watershed will result in measurable increases in flood risk. For this reason, future risk scores were not calculated for this PPS. As discussed in Section 2.1.1, a new hydrology model was created to replicate the effective flows from the 2009 Johnson County FIS (FEMA 2009) and the effective hydrology was updated to reflect the function of the existing storm sewer interceptor in Johnson Drive. There is an ongoing 2D modeling effort that will update the Rock Creek watershed hydrology using a different hydrologic calculation method and new rainfall data. At the conclusion of the 2D modeling effort, it is recommended that the existing flood risk be reevaluated to reflect the updated hydrology that represents a full development condition within the watershed.

#### **3.3 Flood Risk Reduction Solutions**

A range of potential solutions were evaluated for overall hydraulic performance to determine the risk reduction effectiveness and feasibility of each solution. Hydraulic models in HEC-RAS were created for each potential solution to evaluate the potential impact on water surface elevation and flooding depth in the PPS area. The potential risk reduction solutions that were effective at reducing flood risk, constructable, and amenable to the City were carried forward into the PPS project alternatives. In all PPS project alternatives, several potential solutions are combined to create a project alternative. A summary of the flood risk reduction solutions evaluated in this PPS, the feasibility of each solution, and the City's interest in each solution is presented in Table 7.

Table 7. Flood Risk Reduction Solutions	Table	7.	Flood	Risk	Reduction	Solutions
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Solution Name	Solution Description	Carried Forward as Project Alternative
Storm Sewer Interceptor Extension	As presented in sections 1.3 and 2.1, a storm sewer interceptor solution provides some flood risk reduction benefit, but not a completely stand- alone solution. This solution would extend the existing interceptor farther west in Johnson Drive to redirect all storm sewer flows draining to Johnson Drive into the interceptor. There is a flood risk reduction benefit associated with this interceptor extension, but it must be combined with Rock Creek channel improvements to provide sufficient flood risk reduction.	Yes, in combination with Rock Creek channel improvements

Solution Name	Solution Description	Carried Forward as Project Alternative
Upstream Detention	An evaluation of open space areas (undeveloped/vacant property, park space, green space, etc.) that are tributary to the Rock Creek PPS area were evaluated for potential upstream reginal detention locations. Based on this evaluation, there are not enough available parcels in proximity to each other within the Rock Creek watershed to provide sufficient stormwater detention to reduce downstream flood risk. This solution is not considered a viable potential solution.	No
Rock Creek Channel Widening	This solution maintains the existing channel flowline but widens the existing Rock Creek channel from Woodson Street to Reeds Road by an additional 10 feet. Though there was reduction in the Rock Creek water surface elevation, the culvert restrictions remained and widening the channel would also have a major impact on the private properties along the Rock Creek corridor. Because of the lack of flood risk reduction and private property impacts, this solution is not considered a viable potential solution.	No
Rock Creek Natural Channel Section	This solution maintains the existing channel flowline but replaces the existing vertical walled channel from Woodson Street to Outlook Street with a trapezoidal channel with 3:1 (horizontal: vertical) side slopes restored with native vegetation. Like the channel widening solution, while there was some reduction in the Rock Creek water surface elevation, the reduction was not enough to sufficiently reduce flood risk and would also have a major impact on the private properties along this stretch of Rock Creek. Because of the lack of flood risk reduction and private property impacts, this solution is not considered a viable potential solution.	No
Rock Creek Channel Realignmen t	This solution maintains the existing channel flowline and shape but realigns the channel from west of Outlook Street to west of Reeds Road to provide a single smooth bend to replace the existing S-curve in the channel. Though this solution provides a more efficient channel shape by eliminating two sharp bends in the channel, the realignment would negatively impact the City's plans to redevelop the City-owned property in this corridor. For this reason, this solution is not considered a viable potential solution.	No
Rock Creek Channel Lowering	This solution maintains the existing Rock Creek channel section shape but lowers the channel flow line by 2-3 feet from Woodson Drive to Reeds Road, and ties back into the existing channel flow line east of Reeds Road. The existing culvert flowlines at Outlook Drive and Reeds Road were also lowered with this solution. Channel lowering has a significant impact on lowering the Rock Creek water surface elevation and reducing flood risk and doesn't require additional easements along the channel corridor. This solution is a viable potential solution, when combined with culvert improvements.	Yes, in combination with culvert widening

Solution Name	Solution Description	Carried Forward as Project Alternative
Rock Creek Culvert Widening	As a stand-alone solution, this widening will not sufficiently reduce flood risk; however, when combined with the channel-lowering solution, this culvert-widening solution further lowers the channel water surface elevation by removing the existing culvert restrictions. The culverts at Woodson Street, Outlook Street, and Reeds Road were all widened with this solution. This solution is a viable potential solution, when combined with channel improvements.	Yes, in combination with channel lowering
Structure Buyout	Though this solution would eliminate the structure flood risk, the street flood risk would remain. In addition, the upfront cost to buy out four commercial businesses, a multifamily housing building, and a single- family home is high, and the loss in tax revenue from removing these structures would be significant, therefore this solution is not financially feasible. This solution is not considered a viable potential solution.	No, although this solution could be considered in the detailed design phase should structure buy-out funding become available.

#### 3.4 Water Quality Degradation Risk Reduction Solutions

As mentioned in Section 2.2, the focus of this PPS is flood risk reduction within the Rock Creek corridor, and water quality was not a primary consideration. Though temporary erosion control practices will be incorporated into the construction of improvements, no permanent water quality improvement features are considered with the PPS improvement alternatives.

## **4. PROJECT ALTERNATIVES AND SELECTED ALTERNATIVE**

From the viable project solutions identified in Table 7, a total of four project alternatives were developed and presented in this PPS. Each of these project alternatives are described in detail in this section.

#### 4.1 Project Alternative 1

The improvements associated with Project Alternative 1 are described in detail in this section. In addition, a conceptual opinion of probable cost and the flood risk reduction associated with Project Alternative 1 are provided.

#### 4.1.1 Project Alternative Limits

Project Alternative 1 is focused on lowering the Rock Creek channel with street culvert improvements to reduce the channel WSEs. The limits of Project Alternative 1 are contained within the PPS area shown in Figure 2 extending from east of Woodson Road to east of Reeds Road along Rock Creek. The Project Alternative 1 improvements are shown in Figure

9 and described as follows:

- Replacing the existing varied channel section with a more standard channel section through the entire PPS area, specifically using large block walls for the channel sides and either a concrete or bedrock channel bottom.
- Lowering the Rock Creek channel bottom approximately 1-2 feet from downstream of



Figure 9. Alternative 1 Rock Creek Improvements.

Woodson Street to downstream of Outlook Street.

• Widening and lowering the culverts at Outlook Street and Reeds Road. The existing culvert at Woodson Road will remain in place for Project Alternative 1.

#### 4.1.2 Flood Reduction Improvements

The flood risk reduction benefit of the Project Alternative 1 improvements was evaluated using the updated HEC-RAS model created for this PPS. Figure 9 shows the Rock Creek channel improvements associated with Project Alternative 1, Figure 10 shows the location of the Rock Creek 100-year inundation limits along Rock Creek for each alternative, and Figure 11 compares the HEC-RAS modeled Rock Creek 100-year water surface elevation (WSE) profiles for each of the alternatives with the existing conditions profile.

Figures 10 and 11 compare the hydraulic performance of Project Alternative 1 with the existing condition. As shown in these figures, Project Alternative 1 reduces flood elevations within the PPS area, which lessens the building and street flood risk in this area. Table 8 shows a detailed comparison of flood elevations for existing conditions and Project Alternative 1 at each HEC-RAS-modeled cross-section for a 100-year storm event. A full HEC-RAS model output for all storm events analyzed is included in Appendix C.

Regarding the upstream and downstream limits of Project Alternative 1, the 100-year flood profile for Project Alternative 1 (see Figure 11) shows that the WSE matches the existing conditions profile at cross-section 3.014 (upstream of the project) and ties back into the existing conditions profile at cross-section 2.588, which is downstream of the PPS area. No floodplain impacts are anticipated either upstream or downstream of these tie-in locations. Because of the reduction in the 100-year flood footprint within the PPS area, a FEMA CLOMR and LOMR are anticipated for this project.

#### 4.1.3 Water Quality Improvements

No permanent water quality improvement features are considered with Project Alternative 1.



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Figure 10 - Proposed Flood Inundation Limits

0 140 280 Feet





100-year Event Rock Creek Flood Profiles

	100-year Storm Event			
Cross- section Number	Existing Water Surface Elevation (feet)	Project Alternative 1 Water Surface Elevation (feet)	Change in Water Surface Elevation from Existing Conditions (feet)	
3.130	960.20	960.20	0.00	
3.110	959.72	959.71	-0.01	
3.014	956.32	956.34	0.02	
2.958	956.03	955.64	-0.39	
2.926	953.37	951.18	-2.19	
2.917	952.24	950.48	-1.76	
2.909	952.10	948.74	-3.36	
2.860	952.41	947.52	-4.89	
2.815	951.24	947.47	-3.77	
2.790	948.30	944.30	-4.00	
2.750	947.85	944.66	-3.19	
2.730	947.22	943.11	-4.11	
2.711	947.33	943.43	-3.90	
2.708	947.18	943.43	-3.75	
2.692	945.27	942.88	-2.39	
2.672	944.95	942.98	-1.97	
2.654	941.88	941.68	-0.20	
2.588	942.03	942.03	0.00	

#### Table 8. Existing Conditions and Project Alternative 1 Hydraulic Comparison.

#### 4.1.4 Project Details

This section summarizes pertinent design-related information to describe the proposed improvements associated with Project Alternative 1.

#### 4.1.4.1 Stormwater System

The existing stormwater system within the PPS area that will be affected by Project Alternative 1 improvements includes the existing Rock Creek channel and the two channel culverts at Outlook Street and Reeds Road. The existing Rock Creek channel varies in shape and width through the PPS area. The channel section from east of Woodson Street to Outlook Street is a 20-foot-wide cast-in-place concrete channel with vertical walls that average 8 feet tall. Downstream of Outlook Street, the channel section shifts to a trapezoidal channel section with the channel bottom on bedrock and various bottom widths ranging from 16 feet to 30 feet. The side slopes of this channel range from 2.5:1 (horizontal: vertical) to near vertical and are covered with riprap, gabion baskets, or vegetation. The Project Alternative 1 improvements will revise the channel to a more uniform 20-foot-wide channel with large block wall side slopes that are near vertical and a height that varies from 9 feet to 11 feet.

The existing box culverts at Outlook Street and Reeds Road are a double 10-foot-by-8foot reinforced concrete box (RCB) and a double 10-foot-by-9-foot RCB, respectively. Project Alternative 1 proposes the replacement of these two box culverts with a double 12-foot-by-10-foot RCB and a triple 12-foot-by-9-foot RCB, respectively. It may be more hydraulically efficient at a similar cost to change the triple-cell RCB to a short span bridge at Reeds Road and this alternative solution should be evaluated in the detailed design phase of this project. All existing storm sewer discharges into the Rock Creek channel will be reconnected to the lowered channel at the existing discharge flowline with no pipe size increase.

#### 4.1.4.2 Road/Traffic

The existing roadway profiles for Outlook Street and Reeds Road will be maintained with the installation of new wider culverts at these two locations. A traffic control plan for the full closure and detour during the construction of the new culverts at Outlook Street and Reeds Road at the Rock Creek channel will be necessary to complete this project. This traffic control plan, showing closures and detour routes, will apply to both vehicles and pedestrians using these two streets.

#### 4.1.4.3 Utilities

Several utilities identified in Figure 5 will be affected by the Project Alternative 1 improvements, including water, sewer, gas, and overhead electric. The most significant impacts will be to Johnson County Wastewater (JCW) facilities, specifically 15-inch and 24-inch sewer mains that parallel the existing Rock Creek channel and cross the channel in multiple locations. Based on evaluation of the proposed Project Alternative 1 channel profile, several sewer crossings will be encased and one of these sanitary sewer crossings must be lowered. The concept cost estimate for Project Alternative 1 includes costs to encase and lower the JCW sewer in these locations. Another significant impact is to the overhead power lines that run parallel to the rock Creek channel between Woodson Street and Outlook Street. Coordination with Evergy to relocate these overhead power lines prior to construction of the channel improvements will be necessary. Initial contact with utilities in the PPS area was performed, but more detailed utility coordination during future design efforts will be required to confirm utility relocation areas and time frames. Additional relocations to WaterOne watermains and Kansas Gas Service gas lines may be necessary depending on their depths.

#### 4.1.4.4 Permits

Project Alternative 1 includes the reconstruction and lowering of the existing Rock Creek channel from east of Woodson Street to east of Reeds Road. This channel will include placement of fill, channel excavation, and grading within the FEMA-regulated floodplain of Rock Creek. These activities will require federal, state, and local permits prior to beginning construction. A summary of permitting activities to be initiated during the final design includes the following:

- USACE Section 404 Although Rock Creek has a highly modified channel section, the fill, excavation, and grading activities in Rock Creek will affect the creek and any wetlands that may be present in the defined PPS area and will therefore require permitting from USACE. Because of the impacts, it is likely that Project Alternative 1 would meet the criteria for a Section 404 Individual Permit. The modification of the channel section downstream of Outlook Street could also require stream mitigation based on a loss of biological function. Coordination with USACE during the initial design phase of a project is recommended.
- FEMA The Project Alternative 1 improvements are located within a FEMAregulated floodway and they target revisions to floodplain elevations and limits; therefore, a CLOMR during the design phase of the project and a post-construction LOMR must be completed. As shown in Figure 10, there is a reduction in the 100year flood footprint; therefore, a FEMA CLOMR and LOMR are anticipated for this project.
- Kansas Department of Agriculture, Division of Water Resources DWR has jurisdiction over designated streams with drainage areas greater than 1 square mile. The PPS area has a drainage area of approximately 13 square miles, requiring a DWR permit. A floodplain fill, channel change, and/or a stream obstruction permit from DWR will be required for this alternative.
- Kansas Department of Health and Environment (KDHE) Land disturbance activities greater than 1 acre require filing a notice of intent (NOI) with the KDHE under the National Pollutant Discharge Elimination System. The proposed project will require submitting an NOI.
- City of Mission The City participates in the National Flood Insurance Program (NFIP) and requires a floodplain development permit for construction within the FEMA floodplain. A land disturbance permit from the City is also required for construction sites larger than 1 acre and/or a right-of-way permit is required for work in City right-of-way.

#### 4.1.4.5 Rights-of-way/Easements

The improvements for Project Alternative 1 will be primarily within either the existing drainage easement that follows Rock Creek or City-owned property/City right-of-way through the PPS Area. Figure 5 shows the limits of this drainage easement, City-owned parcels, and City right-of-way. Additional permanent drainage easement may be necessary along the north-south stretch of the Rock Creek channel between Woodson Street and Outlook Street. Temporary construction easements may be necessary in areas where construction is close to the existing easement limits and where construction activity would extend onto private property.

#### 4.1.4.6 Conceptual or Preliminary Design Drawings

A conceptual plan and profile figure for the lowering of the Rock Creek channel and culvert reconstruction associated with Project Alternative 1 is shown on Exhibit 1 in Appendix C. This conceptual plan provided sufficient detail to identify the quantities that went into the concept opinion of probable cost for Project Alternative 1.

#### 4.1.4.7 Escalated Class 3 Opinion of Probable Cost

Table 9 is the concept opinion of probable cost for Project Alternative 1 and this cost is consistent with the level of detail for a Class 3 estimate as defined by the Association for the Advancement of Cost Engineering (AACE 2005).

The preliminary opinion of probable cost for Project Alternative 1 in current dollars is \$7,299,219, and the probable cost escalated to the midpoint of construction (estimated to be September 2026) is \$8,601,297.

## *4.1.4.8 Schedule and Cost Estimate for Establishment and Maintenance for Water Quality Solutions*

As discussed, water quality solutions are not a primary consideration for this PPS. No permanent water quality improvement features are considered with Project Alternative 1.
# **PROJECT ALTERNATIVE 1 PRELIMINARY OPINION OF PROBABLE COST.**

	Client: City of Mission, Kansas						
OISSON Project: Rock Creek PPS							
	Date:	11/27/2023					
	240	11/21/2020					
	ITEM DESCRIPTION	QUANTITY	UNIT	UNIT CO	ST		COST
1	Mobilization	1	LS	\$ 125,0	00.00	\$	125,000.00
2	Clearing & Grubbing	1	LS	\$ 10,0	00.00	\$	10,000.00
3	Removal of Existing Structures	1	LS	\$ 50,0	00.00	\$	50,000.00
4	Shed Relocation	1	LS	\$ 8,0	00.00	\$	8,000.00
5	Tree Removal	20	EA	\$ !	500.00	\$	10,000.00
6	Unclassified Excavation (Channel)	3,594	CY	\$	50.00	\$	179,682.26
7	Excavation (Rock)(Channel)	1,231	CY	\$	75.00	\$	92,322.84
8	2" Asphaltic Concrete Surface	120	Ton	\$	85.00	\$	10,200.00
9	9" Intermediate Asphaltic Concrete	530	Ton	\$	80.00	\$	42,400.00
10	5" Aggregate Base Course (AB-1)	1,040	SY	\$	9.00	\$	9,360.00
11	Curb & Gutter, Combined (Type B)	256	LF	\$	25.00	\$	6,400.00
12	Curb & Gutter, Combined (Type C-1)	218	LF	\$	25.00	\$	5,450.00
13	Sanitary Sewer Reinforced Concrete Encasement (RCE)	255	LF	\$	225.00	\$	57,375.00
14	24" Sanitary Sewer (PS 115 PVC)	96	LF	\$	300.00	\$	28,800.00
15	8" Sanitary Sewer (SDR 26 PVC)	231	LF	\$	220.00	\$	50,820.00
16	4' Diameter Sanitary Sewer Structure 2 EA \$ 6,500.00						13,000.00
17	Sidewalk Construction (4")         8,892         SF         \$         12.00						106,704.00
18	Concrete Paved Channel (6")         1,052         SY         \$ 100.00						105,177.78
19	Salvage Riprap	\$	7,500.00				
20	Large Block Retaining Wall	\$	2,014,200.00				
21	Soldier Pile Wall	\$	562,500.00				
22	Double 12'x10' RCB & Wingwalls         70         LF         \$ 4,000.00						280,000.00
23	Triple 12'x9' RCB & Wingwalls         38         LF         \$ 4,800						182,400.00
24	Inlet (2'x4')(Grate) 5 EA \$ 2,500.00						12,500.00
25	Connect to Existing Structure	\$	4,000.00				
26	Metal Handrail (42")	206	LF	\$ 2	250.00	\$	51,500.00
27	Fence (4' Chain Link)	2,813	LF	\$	55.00	\$	154,715.00
28	Sod (Fescue)	2,653	SY	\$	7.00	\$	18,568.67
29	Traffic Control         1         LS         \$ 50,000.00						50,000.00
30	Erosion Control	1	LS	\$ 25,0	00.00	\$	25,000.00
31	Construction Staking	1	LS	\$ 15,0	00.00	\$	15,000.00
32	Preconstruction Survey	1	LS	\$ 15,0	00.00	\$	15,000.00
				SUBTO	TAL =	\$	4,866,075.54
	CONSTRUCTION CONTINGENCY (20%) =						973,300.00
		TOTAL	CONS	TRUCTION	COST	\$	5,839,375.54
ENGINEERING, SURVEY, PERMITTING, CONSTRUCTION OBSERVATION (25%)							1,459,843.89
TOTAL PROJECT COST (2023 Dollars) =						\$	7,299,219.43
COST ESCALATION TO MIDPOINT OF CONSTRUCTION =						\$	8,601,297.00
	Engineering News Rec	cord Construct	ion Cos	t Index (May i	2023) =		13,288.27
Engineering News Record Construction Cost Index (May 2020) =							11,418.00
	Percent Change Over 3 Years ((2023 ECI - 2020 ECI)/2019 ECI) x 100 / 3 = 5.55						
Cost Date =							August 2023
				Construction	Start =		February 2026
				Constructio	n End =		April 2027
			Con	struction Mid	point =		September 2026
	1	lime to Midpo	int of C	onstruction (Y	'ears) =		3.1
	Escalation Cost [(Current Cost x (1 + Percent Change/	'100) ^ Time to	Midpo	int) - Current	Cost] =	Ş	1,302,076.96

### 4.1.4.9 Relationship to Other Stormwater Facilities

The Project Alternative 1 improvements will tie-in to the existing Rock Creek channel on the upstream end of the project, which is immediately east of Woodson Street, and on the downstream end of the project, which is east of Reeds Road. The Project Alternative 1 improvements lower water surface elevations within Rock Creek to reduce flood risk, so there will be no negative impacts to other stormwater facilities hydraulically connected to Rock Creek.

#### 4.1.4.10 Upstream and Downstream Effects

The improvements proposed with this alternative are contained within the City. The hydraulic modeling confirmed that no negative effects occurred upstream or downstream outside the PPS area.

# 4.1.5 Risk Reduction

The change in flood risk between the existing condition and the Project Alternative 1 improvements for buildings and streets is summarized in tables 10 and 11, respectively. The complete RIPP spreadsheet for Project Alternative 1 is included in Appendix D. The asset class weightings for all risk reduction remains as approved in the Johnson County, Kansas Administrative Procedures for the SMP, adopted July 2022 (Johnson County SMP 2022).

Building Address	Existing Flood Risk	Project Alternative 1 Flood Risk	Change in Flood Risk
5923 Woodson Street	4.0	2.4	1.6
5929 Woodson Street	4.2	2.4	1.8
5932 Outlook Street	3.8	1.7	2.1
5939 Woodson Street	4.0	2.4	1.6
6150 W 61st Street	4.0	3.7	0.3
	1.2		

Table 10. Pro	ject Alternative	1 Building	Flood Ri	sk Reduction.

Street Location	Existing Flood Risk	Project Alternative 1 Flood Risk	Change in Flood Risk
Martway Street	5.0	4.7	0.3
Woodson Road	2.7	2.7	0.0
Outlook Street	5.0	2.1	2.9
Johnson Drive	3.1	2.1	1.0
Reeds Road	3.1	2.1	1.0
Dearborn Street	2.4	2.1	0.3
	1.1		

 Table 11. Project Alternative 1 Street Flood Risk Reduction.

Based on the change in building and street flood risk and using the asset weighting values presented in Table 4, assuming there is no change in water quality risk, the total change in risk for Project Alternative 1 is 0.8. The conceptual opinion of probable cost for Project Alternative 1 escalated to the midpoint of construction is \$8,601,297, and the cost efficiency factor for this alternative is \$10,597,971.

# 4.2 Project Alternative 2

The improvements associated with Project Alternative 2 are described in detail in this section. In addition, a conceptual opinion of probable cost and the flood risk reduction associated with Project Alternative 2 are provided.

# **4.2.1 Project Alternative Limits**

Project Alternative 2 includes the identical Rock Creek channel improvements to Project Alternative 1 (see Figure 9 for these improvements) but adds the extension of the storm sewer interceptor in Johnson Drive from Lamar Avenue to approximately 160 feet west of Barkley Street. In addition to the PPS area identified in Figure 4, the Project Alternative 2 limits include the extension of the existing interceptor as shown in Figure 12, which identifies the improvement limits for the interceptor extension.

# 4.2.2 Flood Reduction Improvements

The flood risk reduction benefit of the Project Alternative 2 improvements was evaluated using the updated HEC-RAS model created for this PPS. Figures 10 and 11 compare the hydraulic performance of Project Alternative 2 with the existing condition. As shown in these figures, Project Alternative 2 reduces flood elevations within the PPS area, which lessens the building and street flood risk in this area.





Figure 12 - Johnson Drive Storm Sewer Interceptor Extension

0 100 200 Feet 1 inch equals 200 feet Note that the Rock Creek flood elevations in the PPS area for Project Alternative 2 are lower than the elevations for Project Alternative 1 by as much as 1 foot at some locations. This flood elevation reduction is attributable to the redirection of upstream flows (approximately 300 cubic feet per second [cfs] in the 100-year storm event) from north of Johnson Drive into the extended 5-foot-by-6-foot (horizontal: vertical) RCB storm sewer interceptor. The flow redirection calculations from StormCAD and HEC-HMS for the storm sewer interceptor extension are included in Appendix C. Table 12 shows a detailed comparison of flood elevations for existing conditions and Project Alternative 2 at each HEC-RAS-modeled cross-section for a 100-year storm event. A full HEC-RAS model output for all storm events analyzed is included in Appendix C.

Cross	100-Year Storm Event					
Section Number	Existing Water Surface Elevation (feet)	Project Alternative 2 Water Surface Elevation (feet)	Change in Water Surface Elevation from Existing Conditions (feet)			
3.130	960.20	960.20	0.00			
3.110	959.72	958.66	-1.06			
3.014	956.32	955.66	-0.66			
2.958	956.03	955.82	-0.21			
2.926	953.37	950.54	-2.83			
2.917	952.24	949.81	-2.43			
2.909	952.10	947.88	-4.22			
2.860	952.41	946.60	-5.81			
2.815	951.24	946.60	-4.64			
2.790	948.30	943.75	-4.55			
2.750	947.85	944.04	-3.81			
2.730	947.22	942.58	-4.64			
2.711	947.33	943.13	-4.20			
2.708	947.18	943.14	-4.04			
2.692	945.27	942.70	-2.57			
2.672	944.95	942.78	-2.17			
2.654	941.88	941.87	-0.01			
2.588	942.03	941.99	-0.04			
2.502	936.66	936.64	-0.02			
2.474	936.14	936.13	-0.01			
2.470	935.89	935.88	-0.01			
2.452	934.32	934.33	0.01			
2.427	934.90	934.90	0.00			

#### Table 12. Existing Conditions and Project Alternative 2 Hydraulic Comparison.

Regarding the upstream and downstream limits of Project Alternative 1, the 100-year flood profile for Project Alternative 1 (see Figure 11) shows that the WSE matches the existing conditions profile at cross-section 3.130 (upstream of the project) and ties back into the existing conditions profile at cross-section 2.427, which is downstream of the PPS area. No floodplain impacts are anticipated either upstream or downstream of these tie-in locations. Because of the reduction in the 100-year flood footprint within the PPS area, a FEMA CLOMR and LOMR are anticipated for this project.

# 4.2.3 Water Quality Improvements

No permanent water quality improvement features are considered with Project Alternative 2.

# 4.2.4 Project Details

This section summarizes pertinent design-related information to describe the proposed improvements associated with Project Alternative 2.

#### 4.2.4.1 Stormwater System

The stormwater system for Project Alternative 2 is identical to the Project Alternative 1 stormwater system but with the addition of the storm sewer interceptor extension in Johnson Drive. The interceptor extension will connect to the existing storm sewer system in Johnson Drive at two locations, as shown in Figure 12. By connecting these two existing 48-inch pipes to the storm sewer interceptor, the downstream side of these two pipes can be abandoned, which will reduce long-term system management costs for these pipes. This effort will also benefit the City's storm sewer system south of Johnson Drive by providing additional capacity in the existing system and greater flexibility in the pipe rehabilitation options available, including slip-lining, which would reduce the pipe conveyance capacity.

#### 4.2.4.2 Road/Traffic

The existing roadway profiles for Outlook Street and Reeds Road will be maintained with the installation of new wider culverts at these two locations. A traffic control plan for the full closure and detour during the construction of the new culverts at Outlook Street and Reeds Road at the Rock Creek channel will be necessary to complete this project. This traffic control plan showing closures and detour routes will apply to both vehicles and pedestrians using these two streets.

The interceptor extension will follow an alignment under the eastbound lanes of Johnson Drive, avoiding known utilities in Johnson Drive and the streetscape elements, utilities, and traffic signals at Barkley Street to the south. Figure 12 shows some of the streetscape elements in Johnson Drive that will be avoided. Construction of this interceptor would require lane closures during construction, limiting through traffic to one

lane in either direction. The streets connecting to Johnson Drive would require a traffic detour during construction, and coordination with all the businesses along Johnson Drive would be critical to maintain business access during construction.

#### 4.2.4.3 Utilities

Project Alternative 2 has the same potential utility conflicts in the PPS area as identified in Section 4.1.4.2 for Project Alternative 1; the most significant conflicts are two JCW sewer mains and an overhead Evergy power line. Additional utility conflicts are present along Johnson Drive; the most significant potential utility conflict is the two existing AT&T communication duct banks that run in Johnson Drive. These duct banks are major fiber networks of several bundled conduits that provide communications to a wide service area in northeast Johnson County. Previous experience shows the relocation of these duct banks is costly and would extend the project schedule by at least



Figure 13. Johnson Drive Streetscape Elements.

two years. Though there is some opportunity to reconfigure the shape of a duct bank to squeeze new facilities over or under the duct bank, relocation is not feasible while still maintaining the project schedule.

The AT&T duct bank location is based on pothole information from earlier projects in



Johnson Drive and utility locates. Figure 14 shows one of the access lids for this duct bank in Johnson Drive. The location of the existing storm sewer interceptor followed an alignment south of the southerly duct bank. The proposed interceptor extension for Project Alternative 2 will cross under the southerly AT&T duct bank along an alignment between the two duct banks to avoid other utilities, traffic signals, and recently constructed streetscape elements located along the southern side of Johnson Drive. Figure 12 shows the alignment of the storm sewer interceptor extension. More detailed concept plan and profile sheets showing the interceptor extension, utilities in the corridor, and improvement quantities within the corridor are included in Appendix C.

#### 4.2.4.4 Permits

Project Alternative 2 has the same type of stream, floodplain, and grading impacts as Project Alternative 1. The same permits identified in Section 4.1.4.4 apply to this project alternative.

#### 4.2.4.5 Rights-of-way/Easements

The Rock Creek channel improvements for Project Alternative 2 will be primarily within either the existing drainage easement that follows Rock Creek or City-owned property/City right-of-way through the PPS area. Figure 5 shows the limits of this drainage easement, City-owned parcels, and City right-of-way. Additional permanent drainage easement may be necessary along the north-south stretch of the Rock Creek channel between Woodson Street and Outlook Street. Temporary construction easements may be necessary in areas where construction is close to the existing easement limits and where construction activity would extend onto private property.

The storm sewer interceptor extension improvements in Johnson Drive are in City rightof-way. Additional temporary construction easements may be necessary to construct this extension in certain areas.

### 4.2.4.6 Conceptual or Preliminary Design Drawings

A conceptual plan and profile figure for the lowering of the Rock Creek channel and culvert reconstruction associated with Project Alternative 2 is shown on Exhibit 1 in Appendix C. Exhibit 2 in Appendix C shows the storm sewer interceptor extension improvements in Johnson Drive. These concept plans provided sufficient detail to identify the quantities that went into the concept opinion of probable cost for Project Alternative 2.

#### 4.2.4.7 Escalated Class 3 Opinion of Probable Cost

Table 13 is the concept opinion of probable cost for Project Alternative 2 and this cost is consistent with the level of detail for a Class 3 estimate as defined by the AACE (AACE 2005).

The preliminary opinion of probable cost for Project Alternative 2 in current dollars is \$9,794,724, and the probable cost escalated to the midpoint of construction (estimated to be September 2026) is \$11,541,964.

# **PROJECT ALTERNATIVE 2 PRELIMINARY OPINION OF PROBABLE COST.**

Project: Rock Creek PPS							
	OISSON Project Number:	018-3593	10				
	Date:	11/27/2023					
	ITEM DESCRIPTION	QUANTITY	UNIT		UNIT COST		COST
1	Mobilization	1	LS	\$	225,000.00	\$	225,000.00
2	Clearing & Grubbing	1	LS	\$	22,000.00	\$	22,000.00
3	Removal of Existing Structures	1	LS	\$	175,000.00	\$	175,000.00
4	Shed Relocation	1	LS	\$	8,000.00	\$	8,000.00
5	Tree Removal	20	EA	\$	500.00	\$	10,000.00
6	Unclassified Excavation (Channel)	3,594	CY	\$	50.00	\$	179,682.26
7	Excavation (Rock)(Channel)	1,231	CY	\$	75.00	\$	92,322.84
8	2" Asphaltic Concrete Surface	580	Ton	\$	85.00	\$	49,300.00
9	9" Intermediate Asphaltic Concrete	2,540	Ton	\$	80.00	\$	203,200.00
10	5" Aggregate Base Course (AB-1)	5,010	SY	\$	9.00	\$	45,090.00
11	Curb & Gutter, Combined (Type B)	2,657	LF	\$	25.00	\$	66,425.00
12	Curb & Gutter, Combined (Type C-1)	218	LF	\$	25.00	\$	5,450.00
13	Brick Pavers	4,997	SF	\$	20.00	\$	99,947.07
14	Sanitary Sewer Reinforced Concrete Encasement (RCE)	315	LF	\$	225.00	\$	70,875.00
15	24" Sanitary Sewer (PS 115 PVC)	96	LF	\$	300.00	\$	28,800.00
16	8" Sanitary Sewer (SDR 26 PVC)	231	LF	\$	220.00	\$	50,820.00
17	4' Diameter Sanitary Sewer Structure	2	EA	\$	6,500.00	\$	13,000.00
18	Sidewalk Construction (4")	10,908	SF	\$	12.00	\$	130,896.74
19	Sidewalk Ramp (6")	240	SF	\$	40.00	\$	9,600.00
20	Detectable Warning Surface	45	SF	\$	65.00	\$	2,925.00
21	Commercial Concrete Apron (8")	70	SY	\$	130.00	\$	9,100.00
22	Concrete Paved Channel (6")	1,052	SY	\$	100.00	\$	105,177.78
23	Salvage Riprap	150	CY	\$	50.00	\$	7,500.00
24	Large Block Retaining Wall	22,380	SFF	\$	90.00	\$	2,014,200.00
25	Soldier Pile Wall	2,500	SFF	\$	225.00	\$	562,500.00
26	Double 12'x10' RCB & Wingwalls         70         LF         \$         4,000.00						280,000.00
27	Triple 12'x9' RCB & Wingwalls 38 LF \$ 4,800.00						182,400.00
28	6'x5' RCB 1,640 LF \$ 810.00						1,328,400.00
29	18" Storm Sewer (RCP Class III)	64	LF	\$	140.00	\$	8,960.00
30	Inlet (2'x4')(Grate)	5	EA	\$	2,500.00	\$	12,500.00
31	Inlet (6'x4') (Curb)	8	EA	\$	8,000.00	\$	64,000.00
32	Connect to Existing Structure	6	EA	\$	1,000.00	\$	6,000.00
33	Metal Handrail (42")	206	LF	\$	250.00	\$	51,500.00
34	Fence (4' Chain Link)	2,978	LF	\$	55.00	\$	163,790.00
35	Sod (Fescue)	2,917	SY	\$	7.00	\$	20,417.37
36	Traffic Control	1	LS	\$	150,000.00	\$	150,000.00
37	Erosion Control	1	LS	\$	35,000.00	\$	35,000.00
38	Construction Staking	1	LS	\$	25,000.00	\$	25,000.00
39	Preconstruction Survey	1	LS	\$	15,000.00	\$	15,000.00
	·				SUBTOTAL =	\$	6,529,779.06
	CONS	STRUCTION	CONT	ING	ENCY (20%) =	\$	1,306,000.00
		TOTAL	CONS	TRI	JCTION COST	\$	7,835,779.06
	ENGINEERING, SURVEY, PERMITTING, CO	NSTRUCTIO	N OBS	SER	VATION (25%)	\$	1,958,944.76
TOTAL PROJECT COST (2023 Dollars) =							9,794,723.82
COST ESCALATION TO MIDPOINT OF CONSTRUCTION =						\$	11,541,964.00
Engineering News Record Construction Cost Index (May 2023) =							13,288.27
Engineering News Record Construction Cost Index (May 2020) =							11,418.00
	Percent Change Over 3 Years (	(2023 ECI - 202	20 ECI)/	2019	9 ECI) x 100 / 3 =		5.5%
	<b>5</b>		August 2023				
				Con	struction Start =		February 2026
				Со	nstruction End =		April 2027
			Con	stru	ction Midpoint =		September 2026
	r	Fime to Midpo	int of C	onst	ruction (Years) =		3.1
	Escalation Cost [(Current Cost x (1 + Percent Change/	(100) ^ Time to	Midpo	int)	- Current Cost] =	Ś	1.747.239.46

# *4.2.4.8 Schedule and Cost Estimate for Establishment and Maintenance for Water Quality Solutions*

As discussed, water quality solutions are not a primary consideration for this PPS. No permanent water quality improvement features are considered with Project Alternative 2.

#### 4.2.4.9 Relationship to Other Stormwater Facilities

The Project Alternative 2 improvements will tie-in to the existing Rock Creek channel on the upstream end of the project, which is immediately east of Woodson Street, and on the downstream end of the project, which is east of Reeds Road. Project Alternative 2 improvements lower water surface elevations within Rock Creek to reduce flood risk, so there will be no negative impacts to other stormwater facilities hydraulically connected to Rock Creek.

The storm sewer interceptor extension included with Project Alternative 2 will connect to the existing storm sewer system in Johnson Drive at two locations, as shown on Figure 12. These two connections to existing 48-inch storm sewer pipes will redirect all the storm sewer flows from Johnson Drive, and areas draining to Johnson Drive from the north, into the interceptor. The benefit to the PPS area is the reduction of flow discharging into Rock Creek at the upstream end of the PPS area. This flow reduction yields a reduction in flood elevations as shown in Figures 10 and 11.

#### 4.2.4.10 Upstream and Downstream Effects

The improvements proposed with this alternative are contained within the City. The hydraulic modeling confirmed that no negative effects occurred upstream or downstream outside the PPS area.

# 4.2.5 Risk Reduction

The change in flood risk between the existing condition and the Project Alternative 2 improvements for buildings and streets is summarized in tables 14 and 15, respectively. The complete RIPP spreadsheet for Project Alternative 2 is included in Appendix D. The asset class weightings for all risk reduction remains as approved in the Johnson County, Kansas Administrative Procedures for the SMP, adopted July 2022 (Johnson County SMP 2022).

Building Address	Existing Flood Risk	Project Alternative 2 Flood Risk	Change in Flood Risk
5923 Woodson Street	4.0	1.0	3.0
5929 Woodson Street	4.2	1.7	2.5
5932 Outlook Street	3.8	1.0	2.8
5939 Woodson Street	4.0	1.0	3.0
6150 W 61st Street	4.0	1.7	2.3
	2.8		

#### Table 14. Project Alternative 2 Building Flood Risk Reduction.

#### Table 15. Project Alternative 2 Street Flood Risk Reduction.

Street Location	Existing Flood Risk	Project Alternative 2 Flood Risk	Change in Flood Risk
Martway Street	5.0	2.7	2.3
Woodson Road	2.7	2.7	0.0
Outlook Street	5.0	2.1	2.9
Johnson Drive	3.1	2.1	1.0
Reeds Road	3.1	2.1	1.0
Dearborn Street	2.4	2.1	0.3
	2.2		

Based on the change in building and street flood risk and using the asset weighting values presented in Table 4, assuming there is no change in water quality risk, the total change in risk for Project Alternative 2 is 1.6. The conceptual opinion of probable cost for Project Alternative 2 is \$11,541,964, and the cost efficiency factor for this alternative is \$7,089,109.

# 4.3 Project Alternative 3

The improvements associated with Project Alternative 3 are described in detail in this section. In addition, a conceptual opinion of probable cost and the flood risk reduction associated with Project Alternative 3 are provided.

# 4.3.1 Project Alternative Limits

Project Alternative 3 is focused on lowering the Rock Creek channel with street culvert improvements to reduce the channel WSEs to a greater extent when compared to Project Alternative 1 considering the additional culvert expansion at Woodson Street. The limits of Project Alternative 3 are contained within the PPS area shown in Figure 2 extending from west of Woodson Road to east of Reeds Road along Rock Creek. The Project Alternative 3 improvements are shown in Figure 15 and described as follows:

- Replacing the existing varied channel section with a more standard channel section through the entire PPS area, specifically using large block walls for the channel sides and either a concrete or bedrock channel bottom.
- Lowering the Rock Creek channel bottom approximately 1-2 feet from upstream of Woodson Street to downstream of Outlook Street



Figure 15. Project Alternative 3 Improvements.

• Widening and lowering the culverts at Woodson Street, Outlook Street, and Reeds Road.

# 4.3.2 Flood Reduction Improvements

The flood risk reduction benefit of the Project Alternative 3 improvements was evaluated using the updated HEC-RAS model created for this PPS. Figures 9 and 10 compare the hydraulic performance of Project Alternative 3 with the existing condition. As shown in these figures, Project Alternative 3 reduces flood elevations within the PPS area, which lessens the building and street flood risk in this area. Table 16 shows a detailed comparison of flood elevations for existing conditions and Project Alternative 3 at each HEC-RAS-modeled

cross-section for a 100-year storm event. A full HEC-RAS model output for all storm events analyzed is included in Appendix C.

	100-Year Storm Event					
Cross Section Number	Existing Water Surface Elevation (feet)	Project Alternative 3 Water Surface Elevation (feet)	Change in Water Surface Elevation from Existing Conditions (feet)			
3.130	960.20	960.20	0.00			
3.110	959.72	959.71	-0.01			
3.014	956.32	956.34	0.02			
2.958	956.03	954.28	-1.75			
2.926	953.37	951.08	-2.29			
2.917	952.24	950.48	-1.76			
2.909	952.10	948.74	-3.36			
2.860	952.41	947.52	-4.89			
2.815	951.24	947.47	-3.77			
2.790	948.30	944.30	-4.00			
2.750	947.85	944.66	-3.19			
2.730	947.22	943.11	-4.11			
2.711	947.33	943.43	-3.90			
2.708	947.18	943.43	-3.75			
2.692	945.27	942.88	-2.39			
2.672	944.95	942.98	-1.97			
2.654	941.88	941.68	-0.20			
2.588	942.03	942.03	0.00			

Table 16. Existing Conditions and Project Alternative 3 Hydraulic Comparison.

Regarding the upstream and downstream limits of Project Alternative 3, the 100-year flood profile for Project Alternative 3 (see Figure 11) shows that the WSE matches the existing conditions profile at cross-section 3.130 (upstream of the project) and ties back into the existing conditions profile at cross-section 2.588, which is downstream of the PPS area. No floodplain impacts are anticipated either upstream or downstream of these tie-in locations. Because of the reduction in the 100-year flood footprint within the PPS area, a FEMA CLOMR and LOMR are anticipated for this project.

# 4.3.3 Water Quality Improvements

No permanent water quality improvement features are considered with Project Alternative 3.

# 4.3.4 Project Details

This section summarizes pertinent design-related information to describe the proposed improvements associated with Project Alternative 3.

### 4.3.4.1 Stormwater System

The existing stormwater system within the PPS area that will be affected by Project Alternative 3 improvements includes the existing Rock Creek channel and the three channel culverts at Woodson Street, Outlook Street, and Reeds Road. The existing Rock Creek channel varies in shape and width through the PPS area. The channel section from east of Woodson Street to Outlook Street is a 20-foot-wide cast-in-place concrete channel with vertical walls that average 8 feet tall. Downstream of Outlook Street, the channel section shifts to a trapezoidal channel section with the channel bottom on bedrock and various bottom widths ranging from 16 feet to 30 feet. The side slopes of this channel range from 2.5:1 (horizontal: vertical) to near vertical and are covered with riprap, gabion baskets, or vegetation. The Project Alternative 3 improvements will revise the channel to a more uniform 20-foot-wide channel with large block wall side slopes that are near vertical and a height that varies from 9 feet to 11 feet.

The existing box culverts at Woodson Street, Outlook Street, and Reeds Road are a double 10-foot-by-7-foot RCB, a double 10-foot-by-8-foot RCB, and a double 10-foot-by-9-foot RCB, respectively. Project Alternative 3 proposes the replacement of these three box culverts with a double 12-foot-by-9-foot RCB, a double 12-foot-by-10-foot RCB, and a triple 12-foot-by-9-foot RCB, respectively. It may be more hydraulically efficient at a similar cost to change the triple-cell RCB to a short span bridge at Reeds Road and this alternative solution should be evaluated in the detailed design phase of this project. All existing storm sewer discharges into the Rock Creek channel will be reconnected to the lowered channel at the existing discharge flowline with no pipe size increase.

### 4.3.4.2 Road/Traffic

The existing roadway profiles for Woodson Street, Outlook Street, and Reeds Road will be maintained with the installation of new wider culverts at these three locations. A traffic control plan for the full closure and detour during the construction of the new culverts at the Woodson Street and Martway Street intersection, Outlook Street, and Reeds Road at the Rock Creek channel will be necessary to complete this project. This traffic control plan showing closures and detour routes will apply to both vehicles and pedestrians using these streets.

### 4.3.4.3 Utilities

Several utilities identified in Figure 5 will be affected by the Project Alternative 3 improvements, including water, sewer, gas, and overhead electric. The most significant impacts will be to JCW facilities, specifically 15-inch and 24-inch sewer mains that parallel the existing Rock Creek channel and cross the channel in multiple locations. In addition, Project Alternative 3 includes an 8-inch sewer crossing that is currently above the existing RCB at the Woodson Street and Martway Street intersection. Based on evaluation of the proposed Project Alternative 3 channel profile, several sewer crossings will be encased and one of these sanitary sewer crossings must be lowered. The concept cost estimate for Project Alternative 3 includes costs to encase and lower the JCW sewer in these locations. Another significant impact is to the overhead powerlines that run parallel to the Rock Creek channel between Woodson Street and Outlook Street. Coordination with Evergy to relocate these overhead power lines prior to the construction of channel improvements will be necessary. Initial contact with utilities in the PPS area was performed, but more detailed utility coordination during future design efforts will be required to confirm utility relocation areas and time frames. Additional relocations to WaterOne watermain and Kansas Gas Service gas lines may be necessary depending on their depths.

### 4.3.4.4 Permits

Project Alternative 3 has the same type of stream, floodplain, and grading impacts as Project Alternative 1. The same permits identified in Section 4.1.4.4 apply to this project alternative.

### 4.3.4.5 Rights-of-way/Easements

The improvements for Project Alternative 3 will be primarily within either the existing drainage easement that follows Rock Creek or City-owned property/City right-of-way through the PPS area. Figure 5 shows the limits of this drainage easement, City-owned parcels, and City right-of-way. Additional permanent drainage easement may be necessary along the north-south stretch of the Rock Creek channel between Woodson Street and Outlook Street. Temporary construction easements may be necessary in areas where construction is close to the existing easement limits and where construction activity would extend onto private property.

# 4.3.4.6 Conceptual or Preliminary Design Drawings

A conceptual plan and profile figure for the lowering of the Rock Creek channel and culvert reconstruction associated with Project Alternative 3 is shown on Exhibit 1 in Appendix C. This concept plan provided sufficient detail to identify the qualities that went into the concept opinion of probable cost for Project Alternative 3.

# 4.3.4.7 Escalated Class 3 Opinion of Probable Cost

Table 17 is the concept opinion of probable cost for Project Alternative 3, and this cost is consistent with the level of detail for a Class 3 estimate as defined by the AACE (AACE 2005).

The preliminary opinion of probable cost for Project Alternative 3 in current dollars is \$7,897,196, and the probable cost escalated to the midpoint of construction (estimated to be September 2026) is \$9,305,944.

# *4.3.4.8 Schedule and Cost Estimate for Establishment and Maintenance for Water Quality Solutions*

As discussed, water quality solutions are not a primary consideration for this PPS. No permanent water quality improvement features are considered with Project Alternative 3.

#### 4.3.4.9 Relationship to Other Stormwater Facilities

The Project Alternative 3 improvements will tie-in to the existing Rock Creek channel on the upstream end of the project, which is immediately west of Woodson Street, and on the downstream end of the project, which is east of Reeds Road. The Project Alternative 3 improvements lower water surface elevations within Rock Creek to reduce flood risk, so there will be no negative impacts to other stormwater facilities hydraulically connected to Rock Creek.

#### 4.3.4.10Upstream and Downstream Effects

The improvements proposed with this alternative are contained within the City. The hydraulic modeling confirmed that no negative effects occurred upstream or downstream outside the PPS area.

# **PROJECT ALTERNATIVE 3 PRELIMINARY OPINION OF PROBABLE COST.**

	Client: City of Mission, Kansas Project: Rock Creek PPS						
	VISSUI Project Number:	018-3593					
	Date:	11/27/2023					
	ITEM DESCRIPTION	QUANTITY	UNIT	UNIT COST	COST		
1	Mobilization	1	LS	\$ 125,000.00	\$ 125,000.00		
2	Clearing & Grubbing	1	LS	\$ 10,000.00	\$ 10,000.00		
3	Removal of Existing Structures	1	LS	\$ 150,000.00	\$ 150,000.00		
4	Shed Relocation	1	LS	\$ 8,000.00	\$ 8,000.00		
5	Tree Removal	20	EA	\$ 500.00	\$ 10,000.00		
6	Unclassified Excavation (Channel)	4,777	CY	\$ 50.00	\$ 238,831.78		
7	Excavation (Rock)(Channel)	1,231	CY	\$ 75.00	\$ 92,322.84		
8	2" Asphaltic Concrete Surface	190	Ton	\$ 85.00	\$ 16,150.00		
9	9" Intermediate Asphaltic Concrete	830	Ton	\$ 80.00	\$ 66,400.00		
10	5" Aggregate Base Course (AB-1)	1,630	SY	\$ 9.00	\$ 14,670.00		
11	Curb & Gutter, Combined (Type B)	734	LF	\$ 25.00	\$ 18,350.00		
12	Curb & Gutter, Combined (Type C-1)	218	LF	\$ 25.00	\$ 5,450.00		
13	Sanitary Sewer Reinforced Concrete Encasement (RCE)	330	LF	\$ 225.00	\$ 74,250.00		
14	24" Sanitary Sewer (PS 115 PVC)	96	LF	\$ 300.00	\$ 28,800.00		
15	8" Sanitary Sewer (SDR 26 PVC)	297	LF	\$ 220.00	\$ 65,340.00		
16	4' Diameter Sanitary Sewer Structure	3	EA	\$ 6,500.00	\$ 19,500.00		
17	Sidewalk Construction (4")	10,978	SF	\$ 12.00	\$ 131,736.00		
18	Sidewalk Ramp (6")	320	SF	\$ 40.00	\$ 12,800.00		
19	Detectable Warning Surface	60	SF	\$ 65.00	\$ 3,900.00		
20	Concrete Paved Channel (6")	1,052	SY	\$ 100.00	\$ 105,177.78		
21	Salvage Riprap	150	CY	\$ 50.00	\$ 7,500.00		
22	Large Block Retaining Wall	22,380	SFF	\$ 90.00	\$ 2,014,200.00		
23	Soldier Pile Wall	2,500	SFF	\$ 225.00	\$ 562,500.00		
24	Double 12'x9' RCB & Wingwalls	160	LF	\$ 3,800.00	\$ 608,000.00		
25	Double 12'x10' RCB & Wingwalls	\$ 4,000.00	\$ 280,000.00				
26	Triple 12'x9' RCB & Wingwalls	\$ 182,400.00					
27	Inlet (2'x4')(Grate)	5	EA	\$ 2,500.00	\$ 12,500.00		
28	Connect to Existing Structure	4	EA	\$ 1,000.00	\$ 4,000.00		
29	Metal Handrail (42")	322	LF	\$ 250.00	\$ 80,500.00		
30	Fence (4' Chain Link)	2,848	LF	\$ 55.00	\$ 156,640.00		
21	Sod (Fescue)	3,120	SY	\$ 7.00	\$ 21,838.44		
32	Traffic Control	1	LS	\$ 75,000.00	\$ 75,000.00		
33	Erosion Control	1	LS	\$ 30,000.00	\$ 30,000.00		
34	Construction Staking	1	LS	\$ 18,000.00	\$ 18,000.00		
35	Preconstruction Survey	1	LS	\$ 15,000.00	\$ 15,000.00		
	001		OONT	SUBTOTAL =	\$ 5,264,756.84		
	CONS		CONT	INGENCY (20%) =	\$ 1,053,000.00		
		\$ 6,31/,/56.84					
	ENGINEERING, SURVEY, PERMITTING, CO	\$ 1,579,439.21					
		\$ 7,897,196.05					
	COST ESCALATION 1	\$ 9,305,944.00					
	Engineering News Rec	13,288.27					
	Engineering News Rec	11,418.00					
	Percent Change Over 3 Years (	5.5%					
		August 2023					
				Construction Start =	February 2026		
			<b>C</b>	construction End =	April 2027		
	-		CON		September 2026		
	Freelation Cost [(Current Cost v / 4 + Dores v) Channel			instruction (Years) =	3.1		
	Escalation Cost [(Current Cost x (1 + Percent Change/	100) ^ Time to	iviidpo	init) - Current Cost] =	ə 1,408,747.48		

# 4.3.5 Risk Reduction

The change in flood risk between the existing condition and the Project Alternative 3 improvements for buildings and streets is summarized in tables 18 and 19, respectively. The complete RIPP spreadsheet for Project Alternative 3 is included in Appendix D. The asset class weightings for all risk reduction remain as approved in the Johnson County, Kansas, Administrative Procedures for the SMP, adopted July 2022 (Johnson County SMP 2022).

Building Address	Existing Flood Risk	Project Alternative 3 Flood Risk	Change in Flood Risk
5923 Woodson Street	4.0	1.0	3.0
5929 Woodson Street	4.2	1.0	3.2
5932 Outlook Street	3.8	1.0	2.8
5939 Woodson Street	4.0	1.0	3.0
6150 W 61st Street	4.0	3.7	0.3
	1.5		

 Table 18. Project Alternative 3 Building Flood Risk Reduction.

Table 13. Floject Alternative 5 Street Flood Nisk Neudotion.	Table	19.	Project	Alternative	3 S	treet	Flood	Risk	Reduction.
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Street Location	Existing Flood Risk	Project Alternative 3 Flood Risk	Change in Flood Risk
Martway Street	5.0	1.0	4.0
Woodson Road	2.7	1.0	1.7
Outlook Street	5.0	1.0	4.0
Johnson Drive	3.1	1.0	2.1
Reeds Road	3.1	1.0	2.1
Dearborn Street	2.4	1.0	1.4
	3.4		

Based on the change in building and street flood risk and using the asset weighting values presented in Table 4, assuming there is no change in water quality risk, the total change in risk for Project Alternative 3 is 2.0. The conceptual opinion of probable cost for Project Alternative 3 is \$9,305,944, and the cost efficiency factor for this alternative is \$4,604,399.

# 4.4 Project Alternative 4

The improvements associated with Project Alternative 4 are described in detail in this section. In addition, a conceptual opinion of probable cost and the flood risk reduction associated with Project Alternative 4 are provided.

# 4.4.1 Project Alternative Limits

Project Alternative 4 includes the identical Rock Creek channel improvements to Project Alternative 3 but adds the extension of the storm sewer interceptor in Johnson Drive from Lamar Avenue to approximately 160 feet west of Barkley Street. In addition to the PPS area identified in Figure 4, the Project Alternative 4 limits include the extension of the existing interceptor as shown in Figure 11, which identifies the improvement limits for the interceptor extension.

# 4.4.2 Flood Reduction Improvements

The flood risk reduction benefit of the Project Alternative 4 improvements was evaluated using the updated HEC-RAS model created for this PPS. Figures 9 and 10 compare the hydraulic performance of Project Alternative 4 with the existing condition. As shown in these figures, Project Alternative 4 reduces flood elevations within the PPS area, which lessens the building and street flood risk in this area. Note that the Rock Creek flood elevations in the PPS area for Project Alternative 4 are lower than the elevations for Project Alternative 3 by as much as 1 foot at some locations. This flood elevation reduction is attributed to the redirection of upstream flows (approximately 300 cfs in the 100-year storm event) from north of Johnson Drive into the extended storm sewer interceptor. The flow redirection calculations from StormCAD and HEC-HMS for the storm sewer interceptor extension are included in Appendix C. Table 20 shows a detailed comparison of flood elevations for a 100-year storm event. A full HEC-RAS model output for all storm events analyzed is included in Appendix C.

Regarding the upstream and downstream limits of Project Alternative 4, the 100-year flood profile for Project Alternative 4 (see Figure 10) shows that the WSE matches the existing conditions profile at cross-section 3.130 (upstream of the project) and ties back into the existing conditions profile at cross-section 2.452, which is downstream of the PPS area. No floodplain impacts are anticipated either upstream or downstream of these tie-in locations. Because of the reduction in the 100-year flood footprint within the PPS area, a FEMA CLOMR and LOMR are anticipated for this project.

	100-Year Storm Event						
Cross Section Number	Existing Water Surface Elevation (feet)	Project Alternative 4 Water Surface Elevation (feet)	Change in Water Surface Elevation from Existing Conditions (feet)				
3.130	960.20	960.20	0.00				
3.110	959.72	958.66	-1.06				
3.014	956.32	955.66	-0.66				
2.958	956.03	953.24	-2.79				
2.926	953.37	950.36	-3.01				
2.917	952.24	949.81	-2.43				
2.909	952.10	947.88	-4.22				
2.860	952.41	946.60	-5.81				
2.815	951.24	946.60	-4.64				
2.790	948.30	943.75	-4.55				
2.750	947.85	944.04	-3.81				
2.730	947.22	942.58	-4.64				
2.711	947.33	943.13	-4.20				
2.708	947.18	943.14	-4.04				
2.692	945.27	942.70	-2.57				
2.672	944.95	942.78	-2.17				
2.654	941.88	941.87	-0.01				
2.588	942.03	941.99	-0.04				
2.502	936.66	936.64	-0.02				
2.474	936.14	936.13	-0.01				
2.470	935.89	935.88	-0.01				
2.452	934.32	934.33	0.01				
2.427	934.90	934.90	0.00				

#### Table 20. Existing Conditions and Project Alternative 4 Hydraulic Comparison.

### 4.4.3 Water Quality Improvements

No permanent water quality improvement features are considered with Project Alternative 4.

# 4.4.4 Project Details

This section summarizes pertinent design-related information to describe the proposed improvements associated with Project Alternative 4.

### 4.4.4.1 Stormwater System

The stormwater system for Project Alternative 4 is identical to the Project Alternative 3 stormwater system with the addition of the storm sewer interceptor extension in Johnson Drive. The interceptor extension will connect to the existing storm sewer system in Johnson Drive at two locations, as shown in Figure 11. By connecting these two existing 48-inch pipes to the storm sewer interceptor, the downstream side of these two pipes can be abandoned, reducing long-term system management costs for these pipes. This effort will also benefit the City's storm sewer system south of Johnson Drive by providing additional capacity in the existing system and greater flexibility in the pipe rehabilitation options available, including slip-lining, which would reduce the pipe conveyance capacity.

#### 4.4.4.2 Road/Traffic

The existing roadway profiles for Woodson Street, Outlook Street, and Reeds Road will be maintained with the installation of new wider culverts at these three locations. A traffic control plan for the full closure and detour during the construction of new culverts at the Woodson Street and Martway Street intersection, Outlook Street, and Reeds Road at the Rock Creek channel will be necessary to complete this project. This traffic control plan showing closures and detour routes will apply to both vehicles and pedestrians using these streets.

The interceptor extension will follow an alignment under the eastbound lanes of Johnson Drive, avoiding known utilities in Johnson Drive and the streetscape elements, utilities, and traffic signals at Barkley Street to the south. Figure 12 shows some of the streetscape elements in Johnson Drive that will be avoided. Construction of this interceptor would require lane closures during construction, limiting through traffic to one lane in either direction. The streets connecting to Johnson Drive would require a traffic detour during construction; coordination with all the businesses along Johnson Drive would be critical to maintain business access during construction.

### 4.4.4.3 Utilities

Several utilities identified in Figure 5 will be affected by the Project Alternative 4 improvements, including water, sewer, gas, and overhead electric. The most significant impacts will be to JCW facilities, specifically 15-inch and 24-inch sewer mains that parallel the existing Rock Creek channel and cross the channel in multiple locations. In addition, Project Alternative 4 includes an 8-inch sewer crossing that is currently above the existing RCB at the Woodson Street and Martway Street intersection. Based on evaluation of the proposed Project Alternative 4 channel profile, several sewer crossings will be encased and one of these sanitary sewer crossings must be lowered. The concept cost estimate for Project Alternative 4 includes costs to encase and lower the

JCW sewer in these locations. Project Alternative 4 will also have a significant impact on the overhead power lines that run parallel to the Rock Creek channel between Woodson Street and Outlook Street. Coordination with Evergy to relocate these overhead power lines prior to the construction of the channel improvements will be necessary. Initial contact with utilities in the PPS area was performed, but more detailed utility coordination during future design efforts will be required to confirm utility relocation areas and time frames. Additional relocations to WaterOne watermain and Kansas Gas Service gas lines may be necessary, depending on their depths.

The proposed interceptor extension for Project Alternative 4 will cross under the southerly AT&T duct bank along an alignment between the two duct banks to avoid other utilities, traffic signals, and recently constructed streetscape elements located along the southern side of Johnson Drive. Figure 12 shows the alignment of the storm sewer interceptor extension. More detailed concept plan and profile sheets showing the interceptor extension, utilities in the corridor, and improvement quantities within the corridor are included in Appendix C.

#### 4.4.4.4 Permits

Project Alternative 4 has the same type of stream, floodplain, and grading impacts as Project Alternative 1. The same permits identified in Section 4.1.4.4 apply to this project alternative.

#### 4.4.4.5 Rights-of-way/Easements

The Rock Creek channel improvements for Project Alternative 4 will be primarily within either the existing drainage easement that follows Rock Creek or City-owned property/City right-of-way through the PPS Area. Figure 5 shows the limits of this drainage easement, City-owned parcels, and City right-of-way. Additional permanent drainage easement may be necessary along the north-south stretch of the Rock Creek Channel between Woodson Street and Outlook Street. To allow the project to be constructed, temporary construction easements may be necessary in areas where construction is close to the existing easement limits and where construction activity would extend onto private property.

The storm sewer interceptor extension improvements are in City right-of-way. Additional temporary construction easements may be necessary to construct this extension in certain areas.

#### 4.4.4.6 Conceptual or Preliminary Design Drawings

A conceptual plan and profile figure for the lowering of the Rock Creek channel and culvert reconstruction associated with Project Alternative 4 is shown on Exhibit 1 in Appendix C. Exhibit 2 in Appendix C shows the storm sewer interceptor extension

improvements in Johnson Drive. These concept plans provided sufficient detail to identify the quantities that went into the concept opinion of probable cost for Project Alternative 4.

### 4.4.4.7 Escalated Class 3 Opinion of Probable Cost

Table 21 is the concept opinion of probable cost for Project Alternative 4 and this cost is consistent with the level of detail for a Class 3 estimate as defined by the AACE (AACE 2005).

The preliminary opinion of probable cost for Project Alternative 4 in current dollars is \$11,254,450, and the probably cost escalated to the midpoint of construction (estimated to be September 2026) is \$13,262,085.

# *4.4.4.8 Schedule and Cost Estimate for Establishment and Maintenance for Water Quality Solutions*

As discussed, water quality solutions are not a primary consideration for this PPS. No permanent water quality improvement features are considered with Project Alternative 4.

#### 4.4.4.9 Relationship to Other Stormwater Facilities

The Project Alternative 4 improvements will tie-in to the existing Rock Creek channel on the upstream end of the project, which is immediately west of Woodson Street, and on the downstream end of the project, which is east of Reeds Road. Project Alternative 4 improvements lower water surface elevations within Rock Creek to reduce flood risk, so there will be no negative impacts to other stormwater facilities hydraulically connected to Rock Creek.

The storm sewer interceptor extension included with Project Alternative 4 will connect to the existing storm sewer system in Johnson Drive at two locations, as shown on Figure 11. These two connections to existing 48-inch storm sewer pipes will redirect all the storm sewer flows from Johnson Drive and areas draining to Johnson Drive from the north into the interceptor. The benefit to the PPS area is the reduction of flow discharging into Rock Creek at the upstream end of the PPS area. This flow reduction yields a reduction in flood elevations as shown in Figure 11.

# **PROJECT ALTERNATIVE 4 PRELIMINARY OPINION OF PROBABLE COST.**

	olccon Project:	Rock Creek P	PS				
	OISSOII Project Number:	018-3593					
	Date:	11/27/2023					
	ITEM DESCRIPTION	QUANTITY	UNIT		UNIT COST		COST
1	Mobilization	1	LS	\$	225,000.00	\$	225,000.00
2	Clearing & Grubbing	1	LS	\$	22,000.00	\$	22,000.00
3	Removal of Existing Structures	1	LS	\$	275,000.00	\$	275,000.00
4	Shed Relocation	1	LS	\$	8,000.00	\$	8,000.00
5	Tree Removal	20	EA	\$	500.00	\$	10,000.00
6	Unclassified Excavation (Channel)	4,777	CY	\$	50.00	\$	238,831.78
7	Excavation (Rock)(Channel)	1,231	CY	\$	75.00	\$	92,322.84
8	2" Asphaltic Concrete Surface	650	Ton	\$	85.00	\$	55,250.00
9	9" Intermediate Asphaltic Concrete	2,840	Ton	\$	80.00	\$	227,200.00
10	5" Aggregate Base Course (AB-1)	5,600	SY	\$	9.00	\$	50,400.00
11	Curb & Gutter, Combined (Type B)	3,135	LF	\$	25.00	\$	78,375.00
12	Curb & Gutter, Combined (Type C-1)	218	LF	\$	25.00	\$	5,450.00
13	Brick Pavers	4,997	SF	\$	20.00	\$	99,947.07
14	Sanitary Sewer Reinforced Concrete Encasement (RCE)	390	LF	\$	225.00	\$	87,750.00
15	24" Sanitary Sewer (PS 115 PVC)	96	LF	\$	300.00	\$	28,800.00
16	8" Sanitary Sewer (SDR 26 PVC)	297	LF	\$	220.00	\$	65,340.00
17	4' Diameter Sanitary Sewer Structure	3	EA	\$	6,500.00	\$	19,500.00
18	Sidewalk Construction (4")	12,994	SF	\$	12.00	\$	155,928.74
20	Sidewalk Ramp (6")	560	SF	\$	40.00	\$	22,400.00
19	Detectable Warning Surface	105	SF	\$	65.00	\$	6,825.00
21	Commercial Concrete Apron (8")	70	SY	\$	130.00	\$	9,100.00
22	Concrete Paved Channel (6")	1,052	SY	\$	100.00	\$	105,177.78
23	Salvage Riprap	150	CY	\$	50.00	\$	7,500.00
24	Large Block Retaining Wall	22,380	SFF	\$	90.00	\$	2,014,200.00
25	Soldier Pile Wall	2,500	SFF	\$	225.00	\$	562,500.00
26	Double 12'x9' RCB & Wingwalls	160	LF	\$	3,800.00	\$	608,000.00
27	Double 12'x10' RCB & Wingwalls	70	LF	\$	4,000.00	\$	280,000.00
28	Triple 12'x9' RCB & Wingwalls	38	LF	\$	4,800.00	\$	182,400.00
29	6'x5' RCB	1,640	LF	\$	810.00	\$	1,328,400.00
30	18" Storm Sewer (RCP Class III)	64	LF	\$	140.00	\$	8,960.00
31	Inlet (2'x4')(Grate)	5	EA	\$	2,500.00	\$	12,500.00
32	Inlet (6'x4') (Curb)	8	EA	\$	8,000.00	\$	64,000.00
33	Connect to Existing Structure	6	EA	\$	1,000.00	\$	6,000.00
34	Metal Handrail (42")	322	LF	\$	250.00	\$	80,500.00
35	Fence (4' Chain Link)	3,013	LF	\$	55.00	\$	165,715.00
36	Sod (Fescue)	3,384	SY	\$	7.00	\$	23,687.15
37	Traffic Control	1	LS	\$	175,000.00	\$	175,000.00
38	Erosion Control	1	LS	\$	40,000.00	\$	40,000.00
39	Construction Staking	1	LS	Ş	40,000.00	Ş	40,000.00
40	Preconstruction Survey	1	LS	Ş	15,000.00	Ş	15,000.00
					SUBTOTAL =	\$	7,502,960.36
	CON	STRUCTION	CONT	ING	ENCY (20%) =	Ş	1,500,600.00
		TOTAL	CONS	STRU	JCTION COST	Ş	9,003,560.36
			ON OBS	SER	VATION (25%)	Ş	2,250,890.09
			TCOS	6T (2	023 Dollars) =	Ş	11,254,450.44
	COST ESCALATION		I OF C	ON	STRUCTION =	Ş	13,262,085.00
Engineering News Record Construction Cost Index (May 2023) =						13,288.27	
	Engineering News Record Construction Cost Index (May 2020) = 11,418.0						11,418.00
Percent Change Over 3 Years ((2023 ECI - 2020 ECI)/2019 ECI) x 100 / 3 =						5.5%	
	Cost Date = Augu						August 2023
				Con	struction Start =		February 2026
Construction End =							April 2027
	Construction Midpoint =						September 2026
		Time to Midpo	oint of C	onst	ruction (Years) =	4	3.1
	Escalation Cost [(Current Cost x (1 + Percent Change,	/100) ^ Time to	o Midpo	oint) ·	- current Cost] =	Ş	2,007,633.93

### 4.4.4.10 Upstream and Downstream Effects

The improvements proposed with this alternative are contained within the City. The hydraulic modeling confirmed that no negative effects occurred upstream or downstream outside the PPS area.

# 4.4.5 Risk Reduction

The change in flood risk between the existing condition and the Project Alternative 4 improvements for buildings and streets is summarized in tables 22 and 23, respectively. The complete RIPP spreadsheet for Project Alternative 4 is included in Appendix D. The asset class weightings for all risk reduction remains as approved in the Johnson County, Kansas, Administrative Procedures for the SMP, adopted July 2022 (Johnson County SMP 2022).

Building Address	Existing Flood Risk	Project Alternative 4 Flood Risk	Change in Flood Risk	
5923 Woodson Street	4.0	1.0	3.0	
5929 Woodson Street	4.2	1.0	3.2	
5932 Outlook Street	3.8	1.0	2.8	
5939 Woodson Street	4.0	1.0	3.0	
6150 W 61st Street	4.0	1.7	2.4	
Total Change in Building Flood Risk =				

#### Table 22. Project Alternative 4 Building Flood Risk Reduction.

#### Table 23. Project Alternative 4 Street Flood Risk Reduction.

Street Location	Existing Flood Risk	Project Alternative 4 Flood Risk	Change in Flood Risk
Martway Street	5.0	1.0	4.0
Woodson Road	2.7	1.0	1.7
Outlook Street	5.0	1.0	4.0
Johnson Drive	3.1	1.0	2.1
Reeds Road	3.1	1.0	2.1
Dearborn Street	2.4	1.0	1.4
	3.4		

Based on the change in building and street flood risk and using the asset weighting values presented in Table 4, assuming there is no change in water quality risk, the total change in risk for Project Alternative 4 is 2.3. The conceptual opinion of probable cost for Project Alternative 4 is \$13,262,085, and the cost efficiency factor for this alternative is \$5,792,460.

# 4.5 Selected Alternative

Table 24 presents the total conceptual opinion of probable cost escalated to the midpoint of construction, total change in risk score, and the cost-efficiency factor (cost per change in risk score) for each of the four proposed project alternatives.

Project Alternative	Project Cost (Escalated to Midpoint of Construction)	Change in Risk Score	Cost-Efficiency Factor
1	\$8,601,297	0.8	\$10,597,971
2	\$11,541,964	1.6	\$7,089,109
3	\$9,305,944	2.0	\$4,604,399
4	\$13,262,085	2.3	\$5,792,460

#### Table 24. Project Alternative Risk Reduction Summary.

Based on these cost-efficiency factors, Project Alternative 3 has the lowest value. Alternatives 1 and 2 costs are comparable to Alternative 3; however, both alternatives 1 and 2 have a change in total risk score of less than Alternative 3, which makes their cost-efficiency factors higher than Alternative 3. Project Alternative 4 has both the highest cost, but also the highest change in risk score, and the cost-efficiency factor for Project Alternative 4 is higher than Project Alternative 3. With the cost-efficiency factor for Project Alternative 3 being the lowest for the alternatives, Project Alternative 3 is the selected alternative for the Rock Creek PPS.

# **5. REFERENCES**

- AACE. 2005. "Cost Estimate Classification System As Applied in Engineering, Procurement, and Construction for the Process Industries." (The Association for the Advancement of Cost Engineering International).
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# APPENDIX A: COORDINATION WITH WATERSHED ORGANIZATION

# APPENDIX B: PRELIMINARY PROJECT STUDY FUNDING REQUEST

# APPENDIX C: DIGITAL FILES AND PROJECT FIGURES

# APPENDIX D: RISK INTEGRATED PROJECT PRIORITIZATION SCORING BACKUP DOCUMENTATION

# APPENDIX E: QUALITY ASSURANCE/QUALITY CONTROL

# APPENDIX F: PRELIMINARY PROJECT STUDY CHECKLIST

# **ROCK CREEK LAMAR AVENUE TO NALL AVENUE**

# **PRELIMINARY PROJECT STUDY**

SMP Project Number: RC-06-023 Mission, Kansas

November 2023

Olsson Project No. 018-3593

Project Alternative 1 (Lower Channel from DS of Woodson St to DS of Reeds Rd)					
Risk Score Improvement					
Asset	Weighting	Existing	Proposed	Change in Risk	
Building	20%	4.0	2.8	1.2	
Street	50%	4.4	3.3	1.1	
Waterway	30%	1.0	1.0	0.0	
	100%		Existing Risk Score:	3.3	
			Proposed Risk Score:	2.5	
			Change in Risk Score:	0.8	
		Estim	ated Total Project Cost:	\$8,601,297	
Cost-Efficicency Factor: \$1					
	Proje	ect Estimate	d BCA Competitiveness	Low	

Project Alternative 2						
(Lower Channel from	(Lower Channel from DS of Woodson St to DS of Reeds Rd + Interceptor Extension)					
Risk Score Improvement						
Asset	Weighting	Existing	Proposed	Change in Risk		
Building	20%	4.0	1.3	2.8		
Street	50%	4.4	2.3	2.2		
Waterway	30%	1.0	1.0	0.0		
	100%		Existing Risk Score:	3.3		
			Proposed Risk Score:	1.7		
			Change in Risk Score:	1.6		
		Estim	ated Total Project Cost:	\$11,541,964		
			Cost-Efficicency Factor:	\$7,089,109		
	Proje	ect Estimate	d BCA Competitiveness	Low		

Project Alternative 3							
(Lower (	(Lower Channel, from US of Woodson St to DS of Reeds Rd)						
Diek Ceere Improvement							
Risk Score improvement							
Asset	Weighting	Existing	Proposed	Change in Risk			
Building	20%	4.0	2.5	1.5			
Street	50%	4.4	1.0	3.4			
Waterway	30%	1.0	1.0	0.0			
	100%		Existing Risk Score:	3.3			
			Proposed Risk Score:	1.3			
			Change in Risk Score:	2.0			
		Estim	ated Total Project Cost:	\$9,305,944			
			Cost-Efficicency Factor:	\$4,604,399			
	Proje	ect Estimate	d BCA Competitiveness	Low			

Project Alternative 4						
(Lower Channel fror	n US of Woodso	on St to DS o	of Reeds Rd + Interceptor	<sup>r</sup> Extension)		
Risk Score Improvement						
Asset	Weighting	Existing	Proposed	Change in Risk		
Building	20%	4.0	1.1	2.9		
Street	50%	4.4	1.0	3.4		
Waterway	30%	1.0	1.0	0.0		
	100%		Existing Risk Score:	3.3		
			Proposed Risk Score:	1.0		
			Change in Risk Score:	2.3		
		Estim	ated Total Project Cost:	\$13,262,085		
			Cost-Efficicency Factor:	\$5,792,460		
	Proje	ect Estimate	d BCA Competitiveness	Low		

# PRELIMINARY PROJECT STUDY ROCK CREEK LAMAR AVENUE TO NALL AVENUE

SMP Project Number RC-06-023

December 13, 2023

olsson # mission




## Phase 1 Watershed Master Plan

- Watershed approach to stormwater management
- Risk-based assessment
  - Flooding
  - Stream erosion
  - Water quality
  - Hydromodification







Risk Focus Area 2 Map

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# Existing Flooding

- Updated hydrologic modeling
- Updated hydraulic modeling
- Flood risk calculation



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# ALIGNMENT - ROCK CREEK CHANNEL (0+00 - 19+00) 000/018-3393/Jinute 200 - Next Cree

# **Rock Creek Proposed Solutions**

olsson # mission

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# ALIGNMENT - ROCK CREEK CHANNEL (0+00 - 19+00) 000/018-3393/Jinute 200 - Next Cree

# **Rock Creek Proposed Solutions**

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# Proposed Alternatives

- Flood inundation mapping
- Increase in flood risk reduction from Alternative 1 to Alternative 4



# Proposed Alternatives

- Flood profile elevations
- Channel lowering
- Flow reduction due to Johnson Drive storm sewer interceptor

### olsson # mission



# **Project Alternatives Comparison**

	(Lower	Proje Channel from D	ect Alternat S of Woods	tive 1 on St to DS of Reeds Rd)	
Risk Sco	ore Improvement				N
	Asset	Weighting	Existing	Proposed	Change in Risk
	Building	20%	4.0	2.8	1.2
1.0	Street	Street 50%	4.4	3.3	1.1
	Waterway	30%	1.0	1.0	0.0
		100%		Existing Risk Score:	3.3
				Proposed Risk Score:	2.5
				Change in Risk Score:	0.8
			Estim	ated Total Project Cost:	\$8,601,297
				Cost-Efficieency Factor:	\$10,597,971
		Proje	ect Estimate	d BCA Competitiveness	Low

in the second	Proj	ect Alternat	tive 2	
(Lower Chann	el from DS of Woodso	on St to DS o	of Reeds Rd + Interceptor	Extension)
Risk Score Improveme	ent			
Asset	Weighting	Existing	Proposed	Change in Risk
Building	20%	4.0	1.3	2.8
Street	50%	4.4	2.3	2.2
Waterway	30%	1.0	1.0	0.0
	100%		Existing Risk Score:	3.3
			Proposed Risk Score:	1.7
			Change in Risk Score:	1.6
		Estim	ated Total Project Cost:	\$11,541,964
			Cost-Efficicency Factor:	\$7,089,109
	Proje	ect Estimate	d BCA Competitiveness	Low

olsson # mission

	Proj	ect Alternat	ive 3	
(Lower)	Channel from U	IS of Woods	on St to DS of Reeds Rd)	
Risk Score Improvement				
Asset	Weighting	Existing	Proposed	Change in Risk
Building	20%	4.0	2.5	1.5
Street	50%	4.4	1.0	3.4
Waterway	30%	1.0	1.0	0.0
	100%		Existing Risk Score:	3.3
			Proposed Risk Score:	1.3
			Change in Risk Score:	2.0
		Estim	ated Total Project Cost:	\$9,305,944
			Cost-Efficicency Factor:	\$4,604,399
	Proje	ect Estimate	d BCA Competitiveness	Low

Risk S	core Improvement				and the second second
	Asset	Weighting	Existing	Proposed	Change in Risk
	Building	20%	4.0	1.1	2.9
	Street	50%	4.4	1.0	3.4
	Waterway	30%	1.0	1.0	0.0
		100%		Existing Risk Score:	3.3
				Proposed Risk Score:	1.0
				Change in Risk Score:	2.3
			Estim	ated Total Project Cost:	\$13,262,085
				Cost-Efficicency Factor:	\$5,792,460
		Proje	ct Estimate	d BCA Competitiveness	Low

# **Questions?**

**CONTACT INFORMATION:** 



bschleeter@olsson.com



913.381.1170





### Brad Schleeter, PE CFM

City of Mission	Item Number:	7e.	
ACTION ITEM SUMMARY	Date:	December 20, 2023	
Public Works	From:	Brent Morton	

Action items require a vote to recommend the item to the full City Council for further action.

**RE:** Johnson County CARS 2024 Interlocal Agreement for Funding of Public Improvements for Roe Avenue (Johnson Drive to 63rd Street)

**RECOMMENDATION:** Approve the Interlocal Agreement with Johnson County for the public improvement of Roe Avenue (Johnson Drive to 63rd Street) (CARS Project No. 320001399) using 2024 CARS Program funding in an amount not to exceed \$870,000.

**DETAILS:** The City of Mission's proposed CARS project for 2024 is the Roe Avenue (Johnson Drive to 63rd Street) Street Rehabilitation Project. The improvements include an Ultra-then Bonded Asphalt Surface (UBAS) surface treatment, spot curb/cutter, stormwater improvements, traffic signal buyout and replacement, new sidewalk, and permanent pavement markings. The stormwater improvements include replacing aging corrugated metal pipe (CMP) that is rated 3.5 or higher.

The Interlocal Agreement specifies the County's participation in the project for a total cost not to exceed \$870,000 and commits the City's funds to the project. Approval of the interlocal agreement is the final step with the County to accept CARS funds for this project. The total conceptual project costs submitted to CARS in 2023 totaled \$1,815,000 with estimated CARS funding of \$870,000. This project is funded at a 50% cost share due to participation by multiple cities including Fairway, Roeland Park, and Prairie Village.

The plans are currently being finalized and will be bid in mid-January 2024 contingent upon KDOT's review since a portion of this road is in their right-of-way. The current Engineer's Estimate is based on design at 80%.

**CFAA CONSIDERATIONS/IMPACTS:** This project supports a number of CFAA considerations, including sidewalk improvements to promote walkability and provide pedestrian modes of transportation for residents and visitors of all ages and abilities.

Related Statute/City Ordinance:	N/A
Line Item Code/Description:	25-90-805-60 CARS Projects - Capital Improvement Fund
Available Budget:	\$1,815,000

### Agreement among Johnson County, Kansas, the City of Mission, Kansas, the City of Fairway, Kansas, and the City of Roeland Park, Kansas, for the Public Improvement of Roe Avenue from Johnson Drive to 63<sup>rd</sup> Street (320001399)

THIS AGREEMENT, made and entered into this \_\_\_\_\_ day of \_\_\_\_\_, 202\_, by and among the Board of County Commissioners of Johnson County, Kansas ("Board"), the City of Mission, Kansas ("Mission"), the City of Fairway, Kansas ("Fairway"), and the City of Roeland Park, Kansas ("Roeland Park"). Mission, Fairway and Roeland Park are collectively referred to as the "Cities".

#### WITNESSETH:

WHEREAS, the parties have determined that it is in the best interests of the general public in making certain public improvements to Roe Avenue from Johnson Drive to 63<sup>rd</sup> Street (the "Project"); and

WHEREAS, the laws of the State of Kansas authorize the parties to this Agreement to cooperate in undertaking the Project; and

WHEREAS, the governing bodies of each of the parties have determined to enter into this Agreement for the purpose of undertaking the Project, pursuant to K.S.A. 12-2908 and K.S.A. 68-169, and amendments thereto; and

WHEREAS, the Project has been approved, authorized, and budgeted by the Board as an eligible project under the County Assistance Road System ("CARS") Program; and

WHEREAS, the Board has, by County Resolution No. 106-90, authorized its Chairman to execute any and all Agreements for County participation in any CARS Program project which has been approved and authorized pursuant to the Policies and Guidelines adopted by the Board and for which funding has been authorized and budgeted therefore; and

WHEREAS, the governing body of Mission did approve and authorize its Mayor to execute this Agreement by official vote of said body on the \_\_\_\_\_ day of \_\_\_\_\_, 202\_.

WHEREAS, the governing body of Fairway did approve and authorize its Mayor to execute this Agreement by official vote of said body on the \_\_\_\_\_ day of \_\_\_\_\_, 202\_.

WHEREAS, the governing body of Roeland Park did approve and authorize its Mayor to execute this Agreement by official vote of said body on the \_\_\_\_\_ day of \_\_\_\_\_\_, 202\_.

NOW, THEREFORE, in consideration of the mutual covenants and agreements hereinafter contained, and for other good and valuable consideration, the parties agree as follows:

1. **Purpose of Agreement**. The parties enter into this Agreement for the purpose of undertaking the Project to assure a more adequate, safe, and integrated roadway network in the developing and incorporated areas of Johnson County, Kansas.

### 2. Estimated Cost and Funding of Project

- a. The estimated cost of the Project ("Project Costs"), a portion of which is reimbursable under this Agreement is One Million Eight Hundred Fifteen Thousand Dollars (\$1,815,000).
- b. Project Costs include necessary costs and expenses of labor and material used in the construction of the Project and construction inspection and staking for the Project.
- c. The Project Costs shall be allocated between the parties as follows:
  - i. The Board shall provide financial assistance for the Project in an amount up to but not exceeding Fifty Percent (50%) of the Project Costs. However, the Board's financial obligation under this Agreement shall be limited to an amount not to exceed Eight Hundred Seventy Thousand Dollars (\$870,000).
    For purposes of this Agreement, Project Costs shall not include any portion of costs which are to be paid by or on behalf of any state or federal governmental entity or for which the Cities may be reimbursed through any source other than the general residents or taxpayers of the Cities. Further, it is understood and agreed by the parties hereto that the Board shall not participate in, nor pay any portion of, the Costs incurred for or related to the following:
    - 1. Land acquisition, right-of-way acquisition, or utility relocation;
    - Legal fees and expenses, design engineering services, Project administration, or financing costs;

- Taxes, licensing or permit fees, title reports, insurance premiums, exactions, recording fees, or similar charges;
- 4. Project overruns;
- Project scope modifications or major change orders which are not separately and specifically approved and authorized by the Board; and
- 6. Minor change orders which are not separately and specifically approved and authorized by the Director of Public Works & Infrastructure of Johnson County, Kansas ("Public Works Director"). Minor change orders are those which do not significantly alter the scope of the Project and which are consistent with the CARS Program Policies and Guidelines and administrative procedures thereto adopted by the Board.

It is further understood and agreed that notwithstanding the designated amount of any expenditure authorization or fund appropriation, the Board shall only be obligated to pay for the authorized percentage of actual construction costs incurred or expended for the Project under appropriate, publicly bid, construction contracts. The Board will not be assessed for any improvement district created pursuant to K.S.A. 12-6a01 <u>et seq</u>., and amendments thereto, or any other improvement district created under the laws of the State of Kansas.

 ii. The Cities shall pay One Hundred Percent (100%) of all Project Costs not expressly the Board's obligation to pay as provided in this Agreement.

### 3. **Financing**

a. The Board shall provide financial assistance, as provided in Paragraph 2.c. above, towards the cost of the Project with funds budgeted, authorized, and appropriated by the Board and which are unencumbered revenues that are onhand in deposits of Johnson County, Kansas. This paragraph shall not be construed as limiting the ability of the Board to finance its portion of the costs and expenses of the Project through the issuance of bonds or any other legally authorized method.

- b. The Cities shall pay their portion of the Project Costs with funds budgeted, authorized, and appropriated by the governing bodies of the Cities.
- 4. Administration of Project. The Project shall be administered by Mission acting by and through its designated representative who shall be the Cities' public official designated as Project Administrator. The Project Administrator shall assume and perform the following duties:
  - Cause the making of all contracts, duly authorized and approved, for retaining consulting engineers to design and estimate the necessary costs and expenses of the Project Costs.
  - b. Submit a copy of the plans and specifications for the Project to the Public Works Director for review prior to any advertisement for construction bidding, together with a statement of estimated Project Costs which reflects the Board's financial obligation under the terms of this Agreement. The Public Works Director or his designee shall review the plans and specifications for the Project and may, but shall not be obligated to, suggest changes or revisions to the plans and specifications.
  - c. If required by applicable state or federal statutes, solicit bids for the construction of the Project by publication in the official newspaper of the City of Mission. If the Project is located in more than one city, then the Project Administrator shall be responsible for determining proper publication. In the solicitation of bids, the appropriate combination of best bids shall be determined by the Project Administrator.
  - d. Cause the making of all contracts and appropriate change orders, duly authorized and approved, for the construction of the Project.
  - e. Submit to the Public Works Director a statement of actual costs and expenses, in the form of a payment request, with attached copies of all invoices and supporting materials, on or before the tenth day of each month following the month in which costs and expenses have been paid. The Public Works Director shall review the statement or payment request to determine whether

the statement or payment request is properly submitted and documented and, upon concurrence with the Finance Director of Johnson County, Kansas ("Finance Director"), cause payment to be made to the Project Administrator of the Board's portion of the Project Costs within thirty (30) days after receipt of such statement or payment request. In the event federal or state agencies require, as a condition to state or federal participation in the Project, that the Board make payment prior to construction or at times other than set forth in this subsection, the Public Works Director and Finance Director may authorize such payment.

f. Except when doing so would violate a state or federal rule or regulation, cause a sign to be erected in the immediate vicinity of the Project upon commencement of construction identifying the Project as part of the CARS Program. The form and location of the sign shall be subject to the review and approval of the Public Works Director.

Upon completion of the construction of the Project, the Project Administrator shall submit to each of the parties a final accounting of all costs and expenses incurred in the Project for the purpose of apportioning the same among the parties as provided in this Agreement. It is expressly understood and agreed that in no event shall the final accounting obligate the parties for a greater proportion of financial participation than that set out in Paragraph 2.c. of this Agreement. The final accounting of Project Costs shall be submitted by the Project Administrator no later than sixty (60) days following the completion of the Project construction.

It is further understood and agreed by the City that to the extent permitted by law and subject to the provisions of the Kansas Tort Claims Act including but not limited to maximum liability and immunity provisions, the City agrees to indemnify and hold the County, its officials, and agents harmless from any cost, expense, or liability not expressly agreed to by the County which result from the negligent acts or omissions of the City or its employees or which result from the City's compliance with the Policy and Procedures.

This agreement to indemnify shall not run in favor of or benefit any liability insurer or third party.

In addition, the Cities shall, and hereby agree to, insert as a special provision of its contract with the general contractor ("Project Contractor") chosen to undertake the Project construction as contemplated by this Agreement the following paragraphs:

The Project Contractor shall defend, indemnify and save the Board of County Commissioners of Johnson County, Kansas and the City harmless from and against all liability for damages, costs, and expenses arising out of any claim, suit, action or otherwise for injuries and/or damages sustained to persons or property by reason of the negligence or other actionable fault of the Project Contractor, his or her sub-contractors, agents or employees in the performance of this contract.

The Board of County Commissioners of Johnson County, Kansas shall be named as an additional insured on all policies of insurance issued to the Project Contractor and required by the terms of his/her agreement with the City.

### 5. Acquisition of Real Property for the Project

- a. The Board shall not pay any costs for acquisition of real property in connection with the Project.
- b. Each City shall be responsible for the acquisition of any real property, together with improvements thereon, located within such City's corporate boundaries, which is required in connection with the Project; such real property acquisition may occur by gift, purchase, or by condemnation as authorized and provided by the Eminent Domain Procedure Act, K.S.A. 26-201 et seq. and K.S.A. 26-501 et seq., and any such acquisition shall comply with all federal and state law requirements.

### 6. **Duration and Termination of Agreement**

a. The parties agree that this Agreement shall remain in full force and effect until the completion of the Project, unless otherwise terminated as provided for in Paragraph 6.b. hereinbelow. The Project shall be deemed completed and this Agreement shall be deemed terminated upon written certification to each of the parties by the Project Administrator that the Project has been accepted as constructed. The Project Administrator shall provide a copy of the Project Administrator's certification to both the Public Works Director and the Finance Director within thirty (30) days of the Project Administrator's determination that the Project is complete.

- b. It is understood and agreed that the Public Works Director shall review the status of the Project annually on the first day of March following the execution of this Agreement to determine whether satisfactory progress is being made on the Project. In the event that the Public Works Director determines that satisfactory progress is not being made on the Project due to one or both of the Cities' breach of this Agreement by not meeting the agreed upon project deadlines or otherwise not complying with the terms of this Agreement, the Public Works Director is authorized to notify the City that has breached the Agreement that it shall have thirty (30) days from receipt of such notification to take steps to cure the breach (the "Cure Period"). It is further understood and agreed that the Board shall have the option and right to revoke funding approval for the Project and terminate this Agreement should the Board find, based upon the determination of the Public Works Director, that satisfactory progress is not being made on the Project and that the Cities have not taken sufficient steps to cure the breach during the Cure Period. Should the Board exercise its option as provided herein, it shall send written notice of the same to the Cities and the Board shall have no further liability or obligation under this Agreement.
- Placing Agreement in Force. The attorney for the Cities shall cause sufficient copies of this Agreement to be executed to provide each party hereto with a duly executed copy of this Agreement for its official records.

IN WITNESS WHEREOF, the above and foregoing Agreement has been executed by each of the parties hereto and made effective on the day and year first above written.

Board of County Commissioners of Johnson County, Kansas	City of Mission, Kansas
Mike Kelly, Chairman	Solana Flora, Mayor
Attest:	Attest:
Lynda Sader Deputy County Clerk	City Clerk
Approved as to form:	Approved as to form:
Robert A. Ford	City Attorney
Assistant County Counselor	
City of Fairway, Kansas	City of Roeland Park, Kansas
Melanie Hepperly, Mayor	Michael Poppa, Mayor
Attest:	Attest:
City Clerk	City Clerk
Approved as to form:	Approved as to form:
City Attorney	City Attorney

City of Mission	Item Number:	9a.		
ACTION ITEM SUMMARY	Date:	December 20, 2023		
PARKS + RECREATION	From:	Penn Almoney		

Action items require a vote to recommend the item to full City Council for further action.

RE: Water Works Park Inclusive Playground Equipment Purchase

**RECOMMENDATION:** Approve a contract with Athco Acquisition Company for Water Works Park inclusive playground equipment in an amount not to exceed \$349,883.

**DETAILS:** During the conceptual redesign of Water Works Park, replacement of the playground in favor of an All-Abilities playground during 2024 project construction was a priority.

Great strides have been made in playground design and materials that significantly enhance the experience for all users. A universally designed, sensory-rich playground creates an environment that enables children to develop physically, socially and emotionally. In these environments, there is very little segregation based on the user's ability. These spaces create engaging areas that provide the optimal level of challenge and plentiful access points to help creativity thrive. Inclusive design goes beyond meeting the minimum accessibility requirements to create interactive play that meets a variety of needs and interests.

Stantec, the firm designing Water Works Park, reached out to several major playground manufacturers and solicited quotes for inclusive playground concepts. Kompan, Berliner, Landscape Structures and Game Time each submitted concepts for an All-Abilities layout. Staff and Stantec reviewed each option, weighing public and stakeholder feedback, and chose Landscape Structure's conceptual layout from the regional distributor – Athco Acquisition Company.

Athco Acquisition Company gave us preferred pricing which is 5% lower than purchasing directly from the manufacturer. Athco also participates in a cooperative contract program through Greenbush that generated an additional 5% discount of \$18,415. These discounts brought the playground, shade system and surfacing costs in line with the anticipated cost estimate of \$355,500.

There are significant inputs and lead times associated with playground manufacturing, delivery and installation. Due to the condensed timeline of this park project, we need to place an order for the playground components as soon as possible. A 50% down payment (\$174,941.50) is due at the time of ordering with the remaining 50% due after

Related Statute/City Ordinance:	N/A
Line Item Code/Description:	45-90-805-09
Available Budget:	\$355,500

City of Mission	Item Number:	9a.
ACTION ITEM SUMMARY	Date:	December 20, 2023
PARKS + RECREATION	From:	Penn Almoney

Action items require a vote to recommend the item to full City Council for further action.

installation is complete.

Staff sees value in ordering the equipment early and is recommending approval of a contract with Athco Acquisition Company for inclusive playground pieces in an amount not to exceed \$349,883. This portion of the Water Works Park project is approved in the 2024 CIP with funding coming from the Parks + Recreation Sales Tax Fund and 2022A Bond proceeds. Installation is estimated for Summer 2024.

**CFAA CONSIDERATIONS/IMPACTS:** This work will help ensure that park patrons of all ages and abilities will be able to enjoy the playgrounds for many years to come. Playgrounds are an amenity that visually establishes a perception of the park and impacts children and families use. Parks and playgrounds provide social benefits by connecting people and neighborhoods. In addition, they serve as a physical and emotional release that builds strength and restores resiliency. Parents and guardians depend on safe and inviting playgrounds which allow their children the opportunity to explore without highly interactive supervision.

Related Statute/City Ordinance:	N/A
Line Item Code/Description:	45-90-805-09
Available Budget:	\$355,500

### Quote

ATHCO Acquisition Corp. 13500 W. 108th St. Lenexa, KS 66215 P: 800-255-1102 F: 913-469-8134 athco@athcollc.com **Prepared by: Matt Cline** 

**TO:** Penn Almoney

Mission, KS

Director of Parks and Recreation



Date: 8/14/2023 All prices subject to acceptance within 30 days

To accept this quote, sign here and return

Payment Terms Net 30 days

Description	QTY		UNIT PRICE		TOTAL
SuperNetplex 8' Tower, Smart Play Motion w/ Play Table, (1)					
SkyWays Cantilever Single Post Pyramid 14'x14' Shade					
10' Entry Height, (1) SkyWays Cantilever Single Post Pyramid					
14'x14' Shade 14' Entry Height, 3-Bay Hedra Swing, 8' Beam with					
(4) Belt Seats, (1) Molded Bucket Seat w/ Harness for Ages 5-12,					
Full Bucket Seat, Proguard Chains, and Standard Welcome	1	¢	167 552 00	¢	167 552 00
Signs for Ages 2-5 and 5-12	· · ·	Ŷ	107,552.00	φ	107,552.00
Divide Sulvi 5,077 Sq. Ft. Sulface America PlayBound Pouled-In-					
Black Aromatic Binder, and 4" Concrete Base for PIP with 4"					
Perf. Drain Tile. Includes minimal design work as shown on 3D					
render.	1	\$	175,481.00	\$	175,481.00
LUMP SUM Labor and Materials to Build PIP Mounds (1) w/					
Tunnel, (2) w/out Tunnel	1	\$	15,790.00	\$	15,790.00
LUMP SUM Labor and Materials to Build PIP Spheres/Half-					
Spheres, (6) 24" diameter and (14) 12" diameter.	1	\$	9,475.00	\$	9,475.00
		\$	-	\$	-
		\$	-	\$	-
		\$	-	\$	-
		\$	-	\$	-
		\$	_	\$	-
		\$	-	\$	-
		\$	-	\$	-
		\$	-	\$	-
		_	SUBTOTAL	\$	368,298.00
NOTES: 50% down payment due at time of order; balance	e to be	1	INSTALLATION OPTION		Included
invoiced upon completion.			FREIGHT		Included
			SALES TAX - N/A	\$	
				Ŷ	
		#2	GREENBUSH CONTRACT 20.6 ESC-PLAYGROUND- REC2021	\$	(18,415.00)
			Quote Total	\$	349,883.00

Owner responsible for relocating any utitilites at footing locations

Assumes no rock at footing locations. Additional charges for labor and equipment rental will be incurred for removal of rock above or below grade.

Current "Tax Exemption Certificate" required when placing orders for materials only

Proposals with labor (installation/repairs) are subject to sales tax unless a "Project Tax Exemption Certificate" is provided when placing the order

All conditions in this proposal are to be accepted into any subcontract issued by a General Contractor

For orders \$1,000 & over, add 3% to the Quote Total if paying by credit card

Thank you!



#### Water Works Park - Mission, Kansas

ltem No.	Description	Unit	Approx. Unit Quantity	Unit Price	Base Price Unit Subtotal	Base Price Item Total			Original Cost Estimate	Updated Cost Estimate Difference
	CIVIL ENGINEERING COSTS						-			
	PARKING			ON-STREET		\$124 720			\$134,296	(\$9.576)
1	Sawcut and Asphalt Removal	sf	1930	\$3.00	\$5,790	¢124,720				(00,000)
2	Curb and Gutter (Type A)	lf	535	\$50.00	\$26,750					
3	Asphalt Pavement (4")	sy	720	\$45.00	\$32,400					
4	AB-3 Base (4")	sy	860	\$18.00	\$15,480					
5	Compaction (6") (Type AA (MR-3-3))	sy	860	\$20.00	\$17,200					
5	4" Concrete Paving (Street Sidewalk replacement)	sf	1200	\$8.00	\$9,600					
6	Handicap Ramp	ea	6	\$2,500.00	\$15,000					
/	Handicap Parking Signs (MUTCD R7-8)	ea If	2	\$500.00	\$1,000					
9	4" Solid White Line Pavement Marking	" ea	2	\$5.00	\$500					
-	Handicap Parking Pavement Marking Symbol (4 x 4)	ou	-	\$300.00	\$1,000					
	SITE UTILITIES					\$100 340			\$32.500	\$67.840
10	Water Utility to Restroom	lf	100	\$50.00	\$5,000					
11	Sanitary Utility to Restroom	lf	75	\$100.00	\$7,500					
12	Miscellaneous Restroom Connection costs	ea	1	\$20,000.00	\$20,000					
13	Electric Service	ls	1	\$7,400.00	\$7,400					
14	Telecom Conduit	/s	1	\$3,700.00	\$3,700					
15	Pavilion Lignung	IS IS	1	\$9,000.00	\$9,000		-			
17	4' x 4' Concrete Area Inlet	ea	2	\$5,000.00	\$0,800					
18	Storm Sewer Pipe - 18" RCP	lf	30	\$125.00	\$3,750		1			
19	Storm Sewer Pipe - 24" RCP	lf	140	\$150.00	\$21,000					L
20	Storm Sewer Pipe - Flared Outlet	ea	1	\$1,000.00	\$1,000					
21	Storm Sewer Underdrain - 6" PP	lf	220	\$15.00	\$3,300					
22	Storm Sewer Underdrain - area inlet	ea	2	\$250.00	\$500		-			
23	i urr reentorcement Mat	sy	695	\$2.00	\$1,390		-			
<b>├</b> ──	Note: Grading for parking included below	<u> </u>					-			l
	PARK COSTS									
	<u>1 ARC 00010</u>									
	DEMOLITION & GRADING					\$132 230			\$120.980	\$11,250
24	Concrete removal	sf	11115	\$2.00	\$22.230	\$102,200			,	,
25	Demo - Playground, Shelter, Curb Removal	ls	1	\$15,000.00	\$15,000					
26	Grading - Fill	cy	1250	\$30.00	\$37,500					
27	Grading - Cut	cy	1250	\$30.00	\$37,500					
28	Grading - Cut Export	cy	1200	\$12.50	\$15,000					
29	Construction Staking	ls	1	\$5,000.00	\$5,000					
	PLAYGROUND					\$355,500			\$344,750	\$10,750
30	Playground Structures (Landscape Structures)	ea	1	\$162,000.00	\$162,000					
31	Playground Surfacing-Poured in place (Landscape	st	5000	\$32.00	\$160,000					
32	Playground extra costs 6" Concrete Curb around surfacing	IS If	220	\$28,000.00	\$28,000		-			
		"	220	\$25.00	\$5,500		-			
	SIDEWALKS					\$287 500			\$282 500	\$5,000
34	6" Concrete Paved Sidewalks	sf	28750	\$10.00	\$287.500	\$207,000			0202,000	00,000
	GENERAL SITE IMPROVEMENTS					\$109,600			\$102,500	\$7,100
35	Solar LED Lighting (Fonroche) (not in base / MP)	ea	8	\$7,000.00	\$56,000					
36	Table and Umbrella - Solar (Sunbolt) (not in base / MP)	ea	1	\$20,000,00	\$20.000					
07	Dardy Darach (Michhama)		5		010,000					
37	Park Berich (Wishbone) Park Picnic Tables (Wishbone)	ea	5	\$2,000.00	\$10,000		-			
38	Removable Bollard (Wishbone)	ea	1	\$1,100,00	\$1 100					
39	Bike Rack (Wishbone)	ea	8	\$750.00	\$6,000		1			
40	Park Signage (not in base / MP) - outside funding	ls	0	\$15,000,00	50					
L	opportunity			\$13,000.00	φυ					ļ
<b> </b>	01010000					AA	-		0015.000	#0.077
01	STRUCTURES	10	1	\$100,000,00	\$100.000	\$321,875	-		\$315,000	\$6,875
42	Restroom Structure (Corworth) *Base Height	15	1	\$200,000.00	\$200,000		-			
43	Restroom Structure Metal Screen Wall	ls	1	\$10,000,00	\$10,000		-			
44	Shelter Columns	ls	1	\$5,000.00	\$5,000		-			
45	Restroom Footing	lf	55	\$125.00	\$6,875					
	PLANTINGS					\$96,880			\$89,300	\$7,580
46	Trees - Overstory	ea	10	\$750.00	\$7,500					
47	Trees - Understory	ea	17	\$350.00	\$5,950					
48	Snrups	ea	60	\$75.00	\$4,500					
49	Perenniais	ea	27/22	\$15.00	\$16,500		-			
51	Seeding	si	34563	\$1.50 \$0.50	\$17 282		-			
52	Tree Relocation (4 trees)	ls	1	\$4,000.00	\$4,000		1			
										L
				Total Project Base Bid Costs		\$1,528,645		Original Project Bid Costs	\$1,421,826	\$106,819
<u> </u>		1					1	Dia Costs		
	Contingency (5%)	percent	0.050	\$1,528,645	İ	\$76,432		İ	\$71,091	l
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	Mobilization (6.5%)	percent	0.065	\$1,528,645		\$99,362			\$92,419	
				Subtotal		\$175,794		Subtotal	\$163,510	
<u> </u>	Total Estimated Construction Cost 2023					\$1,704,439			\$1,585,336	\$119,103
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<u> </u>	Inerence from Budget			v 1,500,000			-		ļ	



